

SUPREME COURT OF SOUTH DAKOTA

Blair-Arch v. Arch

2014 SD 94 (2014) · No. #26990 · Decided December 23, 2014

SUMMARY

The South Dakota Supreme Court considered whether a domestic abuse protection order could be entered by default when the respondent did not personally attend the hearing but appeared through authorized counsel. The court held that the circuit court abused its discretion by entering a default because counsel appeared to defend the case; it reversed and remanded for a hearing on the petition.

CASE DETAILS

COURT	Supreme Court of South Dakota
WRITING FOR THE COURT	Konenkamp, Justice; Gilbertson, Chief Justice; Severson, Justice; Portra, Circuit Court Judge, sitting for Zinter, Justice; Smith, Circuit Court Judge, sitting for Wilbur, Justice
JURISDICTION	South Dakota
DECIDED	December 23, 2014
DOCKET	#26990
DISPOSITION	reversed_and_remanded
PROCEDURAL POSTURE	Myril Arch appealed a domestic abuse protection order entered against him by default after his attorney appeared at the protection-order hearing but Arch did not personally appear.
STANDARD OF REVIEW	The decision to enter a default judgment is reviewed for abuse of discretion. A circuit court abuses its discretion when it makes an error of law or reaches an arbitrary or unreasonable decision.
PRECEDENTIAL VALUE	Published precedential opinion
PARTIES	Myril Arch, II v. Cynthia Blair-Arch

TOPICS

- domestic violence
- default judgment
- family law procedure
- civil procedure
- appellate procedure

PRACTICE AREAS

- Family law
- Domestic violence
- Civil procedure
- Appellate procedure
- Remedies

QUESTIONS PRESENTED

1. Whether a circuit court may enter a domestic abuse protection order by default when the respondent's attorney appears at the hearing on the respondent's behalf and is authorized to present a defense.
2. Whether the hearing notices and Sixth Circuit Rule 95-1 permitted entry of a default judgment without a formal application and the written notice required by SDCL 15-6-55(b)(1).

HOLDINGS

1. A domestic abuse protection order may not be entered by default solely because the respondent did not personally attend the hearing when the respondent's attorney appeared on the respondent's behalf and was authorized to present a defense. The court should proceed with the hearing with counsel participating, or, if the respondent's personal presence is essential, require the petitioner to subpoena the respondent.
2. Even if Arch were required to personally appear, the circuit court could not enter a default judgment without the notice required for an application for default judgment. The court should have proceeded ex parte on the petition or required a formal application for default judgment with at least three days' written notice.

KEY QUOTATIONS

“Myril appeared at the protection order hearing by counsel and the hearing should have proceeded with counsel participating on Myril’s behalf.” (¶ 18)

“Even if Cynthia’s notice of hearing required Myril to personally appear for the protection order hearing, the circuit court should have directed Cynthia to proceed with the hearing ex parte in Myril’s absence.” (¶ 18)

FACTUAL BACKGROUND

Cynthia Blair-Arch alleged that her brother, Myril Arch II, threatened her and others, damaged her property with a pickup truck, harassed her, and interfered with access to her residence and restaurant. She sought a five-year domestic abuse protection order, and the circuit court issued a temporary order pending a hearing. At the January 24, 2014 hearing, Arch did not appear personally, but his attorney appeared, stated that he was authorized to present Arch's defenses, and argued that Blair-Arch still had the burden of proving her case.

PROCEDURAL HISTORY

Cynthia Blair-Arch filed a petition for a domestic abuse protection order, and the circuit court issued a temporary order and scheduled multiple hearings. At the final hearing, Arch's counsel appeared and stated that counsel was authorized to defend, but the circuit court entered a five-year protection order by default based on Arch's personal nonappearance. The South Dakota Supreme Court reversed and remanded for a hearing on the petition.

DISPOSITION

reversed_and_remanded

REMAND INSTRUCTIONS

The circuit court must conduct a hearing on Cynthia Blair-Arch's petition for a protection order. The court may proceed with Arch's counsel participating, or otherwise follow the required procedure for an ex parte hearing or a properly noticed application for default judgment. Blair-Arch's motion for appellate attorney's fees was denied.

CASES CITED

- Ladson v. BPM Corp., 2004 S.D. 74, ¶ 23, 681 N.W.2d 863, 869
- Upper Plains Contracting Inc. v. Pepsi Americas, 2003 S.D. 3, ¶ 11, 656 N.W.2d 323, 327
- Gartner v. Temple, 2014 S.D. 74, ¶ 7, ___ N.W.2d ___, ___
- Arneson v. Arneson, 2003 S.D. 125, ¶ 14, 670 N.W.2d 904, 910
- Thurman v. CUNA Mut. Ins. Soc'y, 2013 S.D. 63, ¶ 11, 836 N.W.2d 611, 616
- State v. Lemler, 2009 S.D. 86, ¶ 40, 774 N.W.2d 272, 286
- Hendrickson v. Wagners, Inc., 1999 S.D. 74, ¶ 14, 598 N.W.2d 507, 510-11
- Hanneman v. Nygaard, 784 N.W.2d 117, 123 (N.D. 2010)
- Sjomeling v. Stuber, 2000 S.D. 103, ¶ 11, 615 N.W.2d 613, 616
- In re A.N.D., 883 So. 2d 910, 914 n.3 (Fla. Dist. Ct. App. 2004)
- Smith v. Johnston, 711 N.E.2d 1259, 1264 (Ind. 1999)
- State v. Tselios, 593 A.2d 243, 244 (N.H. 1991)
- Freedman v. Horike, 969 N.Y.S.2d 193, 194 (N.Y. App. Div. 2013)
- Matter of Derek P. v. Doris Q., 939 N.Y.S.2d 151, 153 (N.Y. App. Div. 2012)
- In re C.G., 261 S.W.3d 842, 851 (Tex. App. 2008)
- Baleanu v. Sandulescu, 78 So. 3d 98, 99 (Fla. Dist. Ct. App. 2012)
- Bloch v. Bentfield, 403 P.2d 559, 564 (Ariz. Ct. App. 1965)
- Heidary v. Yadollahi, 121 Cal. Rptr. 2d 695, 699 (Cal. Ct. App. 2002)
- Warden v. Lamb, 277 P. 867, 868 (Cal. Dist. Ct. App. 1929)
- Ohio Valley Radiology Assocs., Inc. v. Ohio Valley Hosp. Ass'n, 502 N.E.2d 599, 603 (Ohio 1986)
- Skinner v. Leyland, 854 N.E.2d 573, 576-77 (Ohio Ct. App. 2006)
- Melvin v. Melvin, 580 A.2d 811, 818 (Pa. Super. Ct. 1990)

OPINION

Blair-Arch v. Arch 2014 SD 94

KONENKAMP, J.

#26990-rev&rem-JKK 2014 S.D. 94

IN THE SUPREME COURT

OF THE

STATE OF SOUTH DAKOTA

**** CYNTHIA BLAIR-ARCH, Petitioner and Appellee, v. MYRIL ARCH, II, Respondent and Appellant. ****

APPEAL FROM THE CIRCUIT COURT OF
THE SIXTH JUDICIAL CIRCUIT
HUGHES COUNTY, SOUTH DAKOTA

THE HONORABLE JOHN BROWN

Judge ****

CHRISTINA L. KLINGER

May, Adam, Gerdes & Thompson, LLP Pierre, South Dakota Attorneys for petitioner and appellee.

BRAD A. SCHREIBER

The Schreiber Law Firm, Prof., LLC Pierre, South Dakota Attorneys for respondent and appellant.

CONSIDERED ON BRIEFS

ON NOVEMBER 17, 2014

OPINION FILED 12/23/2014

#26990 KONENKAMP, Justice [¶1.] In this appeal, we address the question whether a protection order may be entered against a respondent by default when an attorney appears at the protection order hearing on the respondent's behalf, authorized to present a defense. Background [¶2.] On November 12, 2013, Cynthia Ann Arch filed a petition and affidavit for a domestic abuse protection order against her brother, Myril Arch II. The documents were completed pro se on forms provided by the South Dakota Unified Judicial System (UJS). By filling in blanks and checking boxes on the forms, Cynthia alleged that both she and Myril resided in Hughes County, that Myril had threatened her and committed acts of harassment against her, and that she was the victim of a crime of violence. [¶3.] Cynthia specifically described an incident in which Myril used his pickup to spin gravel against her home and break a window. She also described occasions when Myril threatened to kill her, her brother, and her son, including threats left on voice mail. In addition, Cynthia described Myril's threats against her restaurant business and efforts he made to block the road to her home nearby. She also made allegations about Myril's lack of sobriety. Cynthia sought a five-year protection order to keep Myril away from herself, her business, and her residence. Alleging that she feared bodily injury and for her life, Cynthia also sought a temporary protection order pending the hearing on the permanent order. Cynthia signed the form under oath before a deputy clerk of courts. #26990 [¶4.] The circuit court issued a notice of hearing on Cynthia's petition and also entered a temporary protection order pending the hearing. The hearing was initially set for November 27, 2013. But, on November 21, the circuit court rescheduled the hearing for December 3, 2013. An order provided in relevant part that "Respondent shall present him/her self before the . . . [c]ourt" for the rescheduled hearing. On December 3, 2013, the circuit court ordered another rescheduling for January 8, 2014. This order also provided in relevant part that "Respondent shall present him/her self before the . . . [c]ourt" for the rescheduled hearing. 1 [¶5.] Both Cynthia and Myril obtained counsel, and the hearing set for January 8, 2014, was again

rescheduled for January 24. Cynthia served Myril with notice of the rescheduled hearing on January 10. This notice did not include language requiring Myril to “present himself” for the hearing. 2 [¶6.] The protection order hearing proceeded on January 24, 2014. Cynthia appeared personally along with her counsel. Although Myril did not appear personally, counsel appeared on his behalf. The court noted Myril’s absence and asked his counsel if Myril would be appearing. Counsel responded negatively. The court then asked Cynthia’s counsel how she wished to proceed. Cynthia’s counsel asserted a right to call Myril as a witness and requested that Cynthia be granted a

1. The orders rescheduling the hearings were issued on pre-printed UJS forms served on Myril.

2. This notice was not on a UJS form, but was completed by Cynthia’s counsel.

-2- #26990 protection order “[a]s a result of [Mylil’s] non-appearance.” Myril’s counsel resisted, stating that he was authorized to proceed without Myril and arguing that Cynthia still had the burden of going forward with her evidence. Counsel further asserted that Myril was not waiving any of his defenses or rights. Cynthia’s counsel then outlined the history of rescheduled hearings in the case and noted that the previous hearing had been rescheduled at Myril’s request so that he could undergo a medical procedure. Counsel asserted that there would have been no need to reschedule the previous hearing if Myril did not intend to be there. [¶7.] The court asked Myril’s counsel if there were any question that Myril was aware of the time set for the hearing. Counsel responded negatively. The court then ruled, “I’m going to grant the Protection Order based on default.” The court discussed the logistics of the protection order with both counsel and concluded the proceedings. [¶8.] The circuit court filed its protection order on a form provided by the UJS. By filling in blanks and checking boxes on the form, the court found that jurisdiction and venue were properly before the court and that Cynthia and Myril were “family or household members.” The court went on to find, by a preponderance of the evidence, that “domestic abuse” had occurred. The court also found that Myril had actual notice of the hearing and an opportunity to participate. The court excluded Myril from Cynthia’s residence and from coming within one-hundred yards of her residence or place of employment. The court also prohibited Myril from any phone calls, e-mail, third-party contact, or correspondence with Cynthia. The court allowed Myril access to his own property near Cynthia’s -3- #26990 residence but forbade him from initiating contact with Cynthia. The order was made effective for five years. Analysis and Decision [¶9.] On appeal, Myril argues that the circuit court abused its discretion in granting Cynthia a protection order by default because his attorney appeared at the protection order hearing on his behalf and was authorized to present his defense. [¶10.] “The decision to enter a default judgment . . . ‘rests with the sound discretion of the [circuit] court and we will not disturb the [circuit] court’s decision absent an abuse of that discretion.’” *Ladson v. BPM Corp.*, 2004 S.D. 74, ¶ 23, 681 N.W.2d 863, 869 (quoting *Upper Plains Contracting Inc. v. Pepsi Americas*, 2003 S.D. 3, ¶ 11, 656 N.W.2d 323, 327). “An abuse of discretion ‘is a fundamental error of judgment, a choice outside the range of

permissible choices, a decision, which, on full consideration, is arbitrary or unreasonable.” *Gartner v. Temple*, 2014 S.D. 74, ¶ 7, __ N.W.2d __, __ (quoting *Arneson v. Arneson*, 2003 S.D. 125, ¶ 14, 670 N.W.2d 904, 910); accord *Thurman v. CUNA Mut. Ins. Soc’y*, 2013 S.D. 63, ¶ 11, 836 N.W.2d 611, 616 (quoting *State v. Lemler*, 2009 S.D. 86, ¶ 40, 774 N.W.2d 272, 286). “A [circuit] court abuses its discretion when it makes an error of law.” *Thurman*, 2013 S.D. 63, ¶ 11, 836 N.W.2d at 615-16 (citing *Hendrickson v. Wagners, Inc.*, 1999 S.D. 74, ¶ 14, 598 N.W.2d 507, 510-11). [¶11.] “Proceedings for domestic violence protection orders are civil actions for injunctive relief and are summary in nature.” *Hanneman v. Nygaard*, 784 N.W.2d 117, 123 (N.D. 2010); see also *Sjomeling v. Stuber*, 2000 S.D. 103, ¶ 11, 615 N.W.2d 613, 616. “In a typical civil case, a default cannot be entered when the -4- #26990 party’s counsel attends the hearing. Thus, a default is entered when no one appears to defend the case.” *In re A.N.D.*, 883 So.2d 910, 914 n.3 (Fla. Dist. Ct. App. 2004). “A default judgment is appropriate only where a party has not appeared in person or by counsel[.]” *Smith v. Johnston*, 711 N.E.2d 1259, 1264 (Ind. 1999). 3 “[U]nless a party to an action is subpoenaed, the party can be represented at the hearing or trial by an attorney, and personal appearance is not required.” *State v. Tselios*, 593 A.2d 243, 244 (N.H. 1991). [¶12.] Cynthia did not subpoena Myril. Myril’s counsel attended the protection order hearing and appeared on Myril’s behalf to defend the case. Cynthia cites no authority that obligated Myril to personally appear at the hearing. Nothing in the protection order statutes requires a respondent to personally appear despite the fact that the Legislature has imposed that requirement where mutual protection orders are sought. SDCL 25-10-5.2(1). [¶13.] Cynthia asserts that the series of hearing notices issued to Myril required him to personally appear. But none of the notices stated that Myril must “personally appear.” The second and third notices did state that Myril should “present himself” before the court, but even if that language is construed as a

3. See also *Freedman v. Horike*, 969 N.Y.S.2d 193, 194 (N.Y. App. Div. 2013)

(“The nonappearance of a party does not necessarily result in a default, ‘particularly where counsel appears upon the absent party’s behalf and offers an explanation for his or her failure to attend.’” (quoting *Matter of Derek P. v. Doris Q.*, 939 N.Y.S.2d 151, 153 (N.Y. App. Div. 2012))); *In re C.G.*, 261 S.W.3d 842, 851 (Tex. App. 2008) (“There is no default when a party is represented at trial by counsel, even though the party failed to appear personally for the trial.”). -5- #26990 command for him to personally appear, it was not repeated in the final notice of hearing issued by Cynthia. [¶14.] Cynthia argues that application of Sixth Circuit Rule 95-1 required Myril to personally appear. Rule 95-1 provides: In addition to the time and date set for hearing, the notice of hearing on any motion (except any motion where less than eleven days notice is given) may state the last date upon which an objection, resistance or response (requiring a hearing) shall be filed and served on parties in interest, which date shall be at least three business days in advance of the date set for hearing. The notice of hearing shall also state that if no objection, resistance or response is timely filed and served, the movant need not appear at the hearing and the court may grant the relief requested without further hearing. (Emphasis added). Following this rule, Cynthia inserted the

following additional provisions into her final notice of hearing: NOTICE IS FURTHER GIVEN that you have until three business days prior to the date of the hearing within which to file and serve any objection, resistance, or response which will require a hearing. NOTICE IS FURTHER GIVEN that if no objection, resistance, or response is timely filed and served, this movant will not appear at the hearing, and the Court may grant the requested relief without further hearing. [¶15.] Cynthia argues that these provisions notified Myril that he had until three days before the hearing to file an objection, resistance, or response and that if he failed to do so, the court could grant the requested relief without further hearing. Since Myril failed to respond or personally appear, Cynthia asserts that he was appropriately held in default. But this misconstrues Rule 95-1. By its terms, it applies to notices of hearings on “motion[s].” *Id.* Cynthia did not give notice of -6- #26990 hearing on a motion, but on her petition, the initial pleading in a protection order case. 4 SDCL 25-10-3. Nothing in SDCL chapter 25-10 requires any written objection, resistance, or response to a petition for a protection order before the hearing. Rule 95-1 may have had some application if Cynthia had moved for a default judgment for Myril’s failure to appear and then noticed a hearing on that motion. That is not what occurred, however. [¶16.] South Dakota Rules of Civil Procedure require a three-day written notice on an application for a default judgment. See SDCL 15-6-55(b)(1) (“If the party against whom judgment by default is sought has appeared in the action, he . . . shall be served with written notice of the application for judgment at least three days prior to the hearing on such application.”). 5 Thus, as many courts have held,

4. A “pleading” is “[a] formal document in which a party to a legal proceeding . .

. sets forth or responds to allegations, claims, denials, or defenses.” Black’s Law Dictionary 1270 (9th ed. 2009). “Examples of pleadings are complaints, petitions, counterclaims, and answers.” Bryan A. Garner, *A Dictionary of Modern Legal Usage* 667 (2d ed. 1995). A “motion” is “[a] written or oral application requesting a court to make a specified ruling or order.” Black’s Law Dictionary 1106 (9th ed. 2009). “[A] motion is not a pleading.” Garner, at 575.

5. Under Rule 55 (SDCL 15-6-55(b)(1)), an appearance in an action entitling a party to notice of an application for a default judgment is not limited to an appearance at trial. See 10A Charles Alan Wright & Arthur R. Miller, *Federal Practice and Procedure* § 2686 (3d ed. 1998). Appearance is construed broadly and includes “defendant’s seeking, taking, or agreeing to some step or proceeding in the cause beneficial to himself or detrimental to plaintiff[.]” *Id.* (quoting *Heleasco Seventeen, Inc. v. Drake*, 102 F.R.D. 909, 912 (D.C. Del. 1984)). Thus, by agreeing to or seeking a continuance or continuances, and by obtaining counsel to advocate for him and to appear on his behalf for the protection order hearing, Myril “appeared in the action” notwithstanding his failure to appear personally at the hearing. This distinguishes this case from cases where a party never appears in an action, continued . . . -7- #26990 even if Myril was required to personally appear for the protection order hearing, the circuit court should have proceeded with the hearing, even in his absence. When a defendant fails to appear for trial, the court has two options: proceed with the trial, requiring the plaintiff to put on its case, or enter a

default due to the failure to defend. The default may not be entered, however, until the defendant is served with notice of the application for default and afforded an opportunity to explain. *Baleanu v. Sandulescu*, 78 So.3d 98, 99 (Fla. Dist. Ct. App. 2012) (citations omitted). 6 continued . . . and a default judgment is granted at trial. See, e.g., *Ladson v. BPM Corp.*, 2004 S.D. 74, ¶¶ 22-25, 681 N.W.2d 863, 869 (upholding a default judgment granted to the plaintiff at trial where the defendant corporation never answered the complaint or otherwise appeared in the action by counsel as required by law and as repeatedly instructed by the circuit court).

6. Accord *Bloch v. Bentfield*, 403 P.2d 559, 564 (Ariz. Ct. App. 1965) (“When a case is regularly called for trial, the trial may proceed although one party does not appear and this is not a hearing as by default.”); *Heidary v. Yadollahi*, 121 Cal. Rptr. 2d 695, 699 (Cal. Ct. App. 2002) (holding: (1) that where a defendant who previously answered does not appear for trial, a plaintiff’s only remedy is to make a motion with the court to continue with that trial; (2) that entry of default is not authorized; and (3) that the hearing held “under such circumstances is uncontested as distinguished from a default hearing[.]” (quoting *Warden v. Lamb*, 277 P. 867, 868 (Cal. Dist. Ct. App. 1929)); *Ohio Valley Radiology Assocs., Inc. v. Ohio Valley Hosp. Ass’n*, 502 N.E.2d 599, 603 (Ohio 1986) (“[W]hen a party who has filed a responsive pleading . . . fails to appear for trial, no default within the meaning of Civ. R. 55(A) occurs, and the trial court is not required to give seven days’ notice to the absent party before allowing the party seeking relief to proceed with an ex parte trial.”); *Skinner v. Leyland*, 854 N.E.2d 573, 576-77 (Ohio Ct. App. 2006) (internal quotation marks omitted) (“The proper action for a court to take when a defending party who has pleaded fails to show for trial is to require the party seeking relief to proceed ex parte in the opponent’s absence. Such a procedure . . . is diametrically opposed to the concept of default[.]” (quoting *Ohio Valley Radiology Assocs., Inc.*, 502 N.E.2d at 603)); *Melvin v. Melvin*, 580 A.2d 811, 818 (Pa. Super. Ct. 1990) (“When a defendant receives continued . . . -8- #26990 [¶17.] Cynthia asserts that she complied with this notice requirement by inserting the language from Sixth Circuit Rule 95-1 into her notice of hearing on the protection order. Yet, as discussed above, Rule 95-1 was not applicable to Cynthia’s notice of hearing on the protection order petition. Further, Cynthia could not avoid the notice requirement in that manner. “In most cases, a party seeking default judgment from the court must file an application. The requirement for a formal application ties in with the requirement that the claimant must give defaulting parties [three] days’ written notice of the application for the default judgment.” 10 James Wm. Moore, *Moore’s Federal Practice*, Default: Default Judgment § 55.30[2] (3d ed. 2013). Cynthia made no formal application for a default judgment. [¶18.] Based upon the foregoing, the circuit court abused its discretion in granting Cynthia a protection order by a default judgment. Myril appeared at the protection order hearing by counsel and the hearing should have proceeded with counsel participating on Myril’s behalf. If Cynthia deemed Myril’s presence essential to the hearing, she should have subpoenaed him. Even if Cynthia’s notice of hearing required Myril to personally appear for the protection order hearing, the circuit court should have directed Cynthia to proceed with the hearing ex parte

in Myril's absence. Alternatively, if Cynthia wanted a default judgment, she should have filed a formal application for a default judgment with at least three days' continued . . . proper notice and nonetheless fails to appear or provide a satisfactory excuse for non-appearance at, or prior to, the time set for trial, the trial may proceed properly in the defendant's absence.”). -9- #26990 written notice as required by SDCL 15-6-55(b)(1). Having reached these conclusions, we need not address Myril's other appellate issues. [¶19.] The order is reversed and the case is remanded for a hearing on Cynthia's petition for a protection order. Cynthia's motion for appellate attorney's fees is denied. [¶20.] Reversed and remanded. [¶21.] GILBERTSON, Chief Justice, and SEVERSON, Justice, and PORTRA and SMITH, Circuit Court Judges, concur. [¶22.] PORTRA, Circuit Court Judge, sitting for ZINTER, Justice, disqualified. [¶23.] SMITH, Circuit Court Judge, sitting for WILBUR, Justice, disqualified. - 10 -