

SUPREME COURT OF KENTUCKY

Hidalgo v. Commonwealth

290 S.W.3d 56 (Ky. 2009) · No. Nos. 2008-SC-000429-MR, 2008-SC-000518-MR · Decided September 11, 2009

SUMMARY

The Supreme Court of Kentucky affirmed a writ of prohibition preventing a circuit court from conducting a sua sponte shock-probation hearing. The court held that KRS 439.265 requires a defendant to file a motion for shock probation and that, because no qualifying motion was filed, the circuit court lacked jurisdiction to order or consider the hearing. The court also distinguished shock probation from ordinary probation and held that accepting the plea agreement barred the trial court from circumventing its terms.

CASE DETAILS

COURT	Supreme Court of Kentucky
WRITING FOR THE COURT	Justice Cunningham; Cunningham
JURISDICTION	Kentucky
DECIDED	September 11, 2009
DOCKET	Nos. 2008-SC-000429-MR, 2008-SC-000518-MR
DISPOSITION	affirmed
PROCEDURAL POSTURE	Appeal from the Kentucky Court of Appeals' grant of a writ of prohibition preventing the Jefferson Circuit Court from conducting a sua sponte shock-probation hearing; the Jefferson Circuit Court judge filed a cross-appeal.
STANDARD OF REVIEW	De novo review applies to a writ-of-prohibition decision when the alleged error concerns the lower court's acting outside its jurisdiction, because jurisdiction is generally a question of law.
PRECEDENTIAL VALUE	Published Kentucky Supreme Court opinion; precedential.
PARTIES	Dayron Castellanos Hidalgo, a/k/a Dayron Castellanos, Hon. A.C. McCay Chauvin, Judge, Jefferson Circuit Court, cross-appellant v. Commonwealth of Kentucky, et al.

TOPICS

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QUESTIONS PRESENTED

1. Whether a circuit court may sua sponte conduct or schedule a hearing on shock probation when the defendant has not filed a motion for shock probation under KRS 439.265(1).
2. Whether the Commonwealth was entitled to a writ of prohibition because the circuit court was acting beyond its jurisdiction.

HOLDINGS

1. KRS 439.265(1) requires the defendant to make a motion for shock probation, and the circuit court lacks jurisdiction to order a shock-probation hearing or consider shock probation without such a motion.
2. A writ of prohibition was appropriate because the circuit court was acting beyond its jurisdiction and the proceeding violated the statutory limits governing shock probation.
3. By accepting the plea agreement, the circuit court could not circumvent its terms through its own actions.

KEY QUOTATIONS

“Shock probation” is purely a creature of statute.” (290 S.W.3d at 58)

“The plain language of KRS 439.265(1) requires the defendant to make a motion for shock probation.” (290 S.W.3d at 58)

“Because Appellant did not file a motion pursuant to KRS 439.265, and indeed could not as part of his plea agreement, the circuit court lacked jurisdiction to order a hearing or consider shock probation.” (290 S.W.3d at 59)

FACTUAL BACKGROUND

Hidalgo and a co-defendant robbed a Cash Express business with a BB gun, and Hidalgo was indicted for first-degree robbery. During plea negotiations, the Commonwealth offered to amend the charge to second-degree robbery if Hidalgo agreed not to seek probation or shock probation. After accepting the plea, the circuit court denied probation but considered and scheduled a shock-probation hearing on its own motion, despite Hidalgo's having filed no motion for shock probation.

PROCEDURAL HISTORY

Hidalgo pleaded guilty after the Commonwealth agreed to amend the charge to second-degree robbery in exchange for his agreement not to seek probation or shock probation. After the circuit court denied probation but contemplated shock probation and later scheduled a hearing on its own motion, the Commonwealth sought a

writ of prohibition. The Kentucky Court of Appeals granted the writ, and Hidalgo appealed to the Supreme Court of Kentucky; Judge Chauvin cross-appealed.

DISPOSITION

affirmed

CASES CITED

- Chamblee v. Rose, 249 S.W.2d 775, 776 (Ky. 1952)
- Hoskins v. Maricle, 150 S.W.3d 1, 10 (Ky. 2004)
- Grange Mutual Insurance Co. v. Trude, 151 S.W.3d 803, 810 (Ky. 2004)
- Commonwealth v. Gross, 936 S.W.2d 85, 87 (Ky. 1996)
- Corns v. Transportation Cabinet, Department of Highways, Commonwealth of Kentucky, 814 S.W.2d 574, 578 (Ky. 1991)

OPINION

CUNNINGHAM, J.

290 S.W.3d 56 (2009) Dayron Castellanos HIDALGO, a/k/a Dayron Castellanos, Real Party in Interest, Appellant v. COMMONWEALTH of Kentucky, et al., Appellees. Hon. A.C. McCay Chauvin, Judge, Jefferson Circuit Court, Cross-Appellant v. Commonwealth of Kentucky, et al., Cross-Appellees. Nos. 2008-SC-000429-MR, 2008-SC-000518-MR. Supreme Court of Kentucky. June 25, 2009.

As Modified September 11, 2009. *57 Paul S. Gold, Louisville, KY, Counsel for Dayron Castellanos Hidalgo. Max Harding Comley, Stewart Christopher Burch, Logan & Gaines, PLLC, Frankfort, KY, Counsel for Hon. A.C. McCay Chauvin. Jeanne Deborah Anderson, Office of the Attorney General, Office of Criminal Appeals, Frankfort, KY, Teresa Ann Young, Assistant Commonwealth's Attorney, Louisville, KY, Counsel for Commonwealth of Kentucky.

Opinion of the Court by Justice CUNNINGHAM. On June 22, 2007, Appellant, Dayron Castellanos Hidalgo, a/k/a Dayron Castellanos, and a co-defendant robbed a Cash Express business using a BB gun. Appellant was subsequently indicted on one count of robbery in the first degree. During plea negotiations, the Commonwealth offered to amend the charge to robbery in the second degree if Appellant would refrain from seeking probation or shock probation.

At sentencing on January 7, 2008, Appellant supplemented the pre-sentence investigative report with statements regarding his good character, even though he was not technically asking for probation. The Commonwealth objected and the Jefferson Circuit Court denied probation.

However, the court stated that it would consider shock probation if it had authority to do so sua sponte. The court set a status/scheduling hearing in sixty days, at which time it would let the

parties know if shock probation would be considered and, if so, the date of the shock probation hearing.

The Commonwealth objected and moved to set aside the plea and take the case to trial. At the March 10, 2008 status hearing, the circuit court, on its own motion, scheduled *58 a shock probation hearing for April 23, 2008.

The Commonwealth objected and sought a writ of prohibition from the Court of Appeals. The Court of Appeals granted the writ on the basis that the trial court was acting outside its jurisdiction. Appellant then appealed that decision to this Court, and the Hon. A.C. McCay Chauvin filed a cross-appeal.

The sole issue on appeal is the authority of the circuit court to sua sponte conduct a hearing on shock probation. For the following reasons, we affirm the decision of the Court of Appeals. The Court of Appeals has broad discretion in the issuance of writs of prohibition, and each case must be considered on its own merits. *Chamblee v. Rose*, 249 S.W.2d 775, 776 (Ky.1952).

Because writs interfere with the proceedings of a trial court and the efficient dispatch of our appellate duties, the courts of this Commonwealth have formulated a rule governing the discretionary choice between issuing a writ and relegating a petitioner to the right to appeal. *Hoskins v. Maricle*, 150 S.W.3d 1 (Ky.2004). This Court has consistently held that a writ of prohibition is appropriate in two circumstances: 1) when the lower court is acting without or beyond its jurisdiction and there is no adequate remedy through an application to an intermediate court; or 2) when the lower court is acting erroneously within its jurisdiction, and there exists no adequate remedy by appeal or otherwise and great injustice and irreparable injury would result.

Id. at 10. This case is an example of the lower court acting beyond its jurisdiction. The proper standard of review of a decision to deny a writ of prohibition depends on the class of writ case. *Grange Mut. Ins. Co. v. Trude*, 151 S.W.3d 803, 810 (Ky.2004). De novo review is generally the proper standard where the lower court is alleged to be acting outside its jurisdiction, because jurisdiction is generally only a question of law.

Id. Thus, we review the granting of the writ de novo, giving no deference to the judgment below. *Id.* "Shock probation" is purely a creature of statute. KRS 439.265(1) provides in relevant part: Subject to the provisions of KRS Chapter 439 and Chapters 500 to 534, any Circuit Court may, upon motion of the defendant made not earlier than thirty (30) days nor later than one hundred eighty (180) days after the defendant has been incarcerated in a county jail following his conviction and sentencing pending delivery to the institution to which he has been sentenced, or delivered to the keeper of the institution to which he has been sentenced, suspend the further execution of the sentence and place the defendant on probation upon terms the court determines.

Time spent on any form of release following conviction shall not count toward time required under this section. (Emphasis added.) The plain language of KRS 439.265(1) requires the defendant to make a motion for shock probation. Appellant's reliance on 439.265(2) is also misplaced. KRS 439.265(1) provides the framework for the circuit court to acquire jurisdiction to consider shock probation.

Subsection (2) of that statute is concerned only with the defendant's right to a hearing in response to motions "filed in accordance with subsection (1) of this section." KRS 439.265(2). "This statute only establishes a trial court's jurisdiction after the passage of 30 days imprisonment upon conviction and motion of the defendant." *Commonwealth v. Gross*, 936 S.W.2d 85, 87 (Ky.1996) (emphasis added).

Because Appellant did not file a motion pursuant to *59 KRS 439.265, and indeed could not as part of his plea agreement, the circuit court lacked jurisdiction to order a hearing or consider shock probation. A writ of prohibition, therefore, "is appropriate... to prevent [the] lower court from exceeding the lawful power or authority with which it is invested or from assuming some power not authorized by law...; as for example, when the court proceeds contrary to the dictates of a relevant statute."

Corns v. Transportation Cabinet, Dept. of Highways, Commonwealth of Ky., 814 S.W.2d 574, 578 (Ky.1991). The trial court had the option of accepting the plea agreement or rejecting it. RCr 8.10. By accepting the agreement, the court cannot then circumvent its terms by its own actions. Also, we recognize in this decision that "shock probation" is procedurally much different than outright probation.

The latter requires no overt act by the defendant, but must be considered by the court in all eligible cases, regardless of what the Commonwealth agrees to recommend. KRS 533.010(2). The court may grant outright probation against the recommendation of the Commonwealth's Attorney in the plea agreement.

In such a case, it neither violates the plea agreement nor is acting outside its jurisdiction. Based upon all of the foregoing, the order of the Court of Appeals granting the writ of prohibition is hereby affirmed. All sitting. All concur.