

UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF
NEW YORK

Andrews v. Sazerac Company, Inc.

Andrews · No. 1:23-cv-01060 · Decided December 2, 2025

SUMMARY

This document is a December 1, 2025 letter from plaintiff’s counsel in Andrews v. Sazerac Company, Inc., requesting leave to file a motion in limine to exclude evidentiary materials submitted with Sazerac’s motion for summary judgment. The letter challenges several declarations and exhibits based on untimely disclosure, inadequate expert disclosure, reliability, and failure to produce discovery. On December 2, 2025, Judge Arun Subramanian granted leave to file the exclusion motion without expressing an opinion on its merits and directed the Clerk to terminate ECF No. 123.

CASE DETAILS

COURT	United States District Court for the Southern District of New York
WRITING FOR THE COURT	Arun Subramanian
DECIDED	December 2, 2025
DOCKET	1:23-cv-01060
DISPOSITION	other
PROCEDURAL POSTURE	Plaintiff sought leave to file a motion in limine to exclude declarations and exhibits submitted by Defendant in support of its pending motion for summary judgment. The court granted leave to file the motion but expressly reserved judgment on whether the evidence would ultimately be excluded.
PRECEDENTIAL VALUE	nonprecedential

TOPICS

- discovery dispute
- motion in limine
- summary judgment
- expert testimony
- daubert standard

PRACTICE AREAS

- Civil procedure
- Evidence
- Commercial litigation
- Class actions

QUESTIONS PRESENTED

1. Whether Plaintiff should be permitted to file a motion in limine seeking exclusion of evidentiary material submitted in support of Defendant's motion for summary judgment.

KEY QUOTATIONS

“For avoidance of doubt, the Court expresses no opinion yet on whether the material is to be excluded, and will rule on the motion in due course.” (Order at 3)

FACTUAL BACKGROUND

Sazerac submitted several witness declarations and accompanying exhibits in support of its pending motion for summary judgment. Plaintiff contended that some witnesses were disclosed shortly before the close of fact discovery, that two outside experts were not timely disclosed or adequately supported under the Federal Rules, and that additional exhibits and a witness had not been disclosed in discovery. Plaintiff asserted that the late and incomplete disclosures prejudiced the plaintiff and the certified class of Southern Comforter Malt purchasers by preventing meaningful discovery and depositions.

PROCEDURAL HISTORY

The case was pending before the Southern District of New York on Defendant's motion for summary judgment. Plaintiff raised a discovery dispute concerning declarations and exhibits submitted with that motion and requested a conference and leave to move in limine. The court granted permission to file the exclusion motion and directed the Clerk to terminate the related motion at ECF No. 123.

DISPOSITION

other

CASES CITED

- Freeman v. Giuliani, 2025 WL 81370, at *8 (S.D.N.Y. Jan. 13, 2025)
- Pal v. N.Y.U., 2008 WL 2627614, at *5 (S.D.N.Y. June 30, 2008)
- Patterson v. Balsamico, 440 F.3d 104, 117 (2d Cir. 2006)
- Softel Inc. v. Dragon Medical & Scientific Communications Inc., 118 F.3d 955, 961 (2d Cir. 1997)
- Lidle v. Cirrus Design Corp., No. 08-cv-1253, 2009 WL 4907201, at *7 (S.D.N.Y. Dec. 16, 2009)
- Canales v. United States, No. 19-cv-834, 2021 WL 1588809, at *5 (E.D.N.Y. Apr. 22, 2021)

OPINION

REESE tp December 1, 2025 Via CM/ECF Hon. Arun Subramanian United States District Court Southern District of New York 500 Pearl Street, Courtroom 15A New York, NY 10007 T: (212) 805-0238 Re: Andrews v. Sazerac Company, Inc., No. 1:23-cv-01060 Dear Judge Subramanian, This office, with co-counsel, represents Steven Khan (“Plaintiff”) and the Certified Class of Southern Comforter Malt Purchasers in New York.

This letter is submitted in connection with a discovery dispute with Sazerac Company Inc. (“Defendant”), regarding its submissions of evidentiary material with its pending Motion for Summary Judgment. Compliance With Individual Rules.

On December 1, 2025, at 3:00 pm E.T., a Lead Trial Counsel conference occurred. At that time, the undersigned, Charles Moore for Mr. Khan, and Chip Magid, for Sazerac, Lead Trial Counsel for the parties, conferred in good faith by Zoom, in an attempt to resolve this dispute, pursuant to Your Honor’s Individual Rules 5(B)-(C).

The duration of the conference was thirty-two minutes. During the conference, I informed Defendant’s Counsel that I believed the parties to be at an impasse. I informed Defendant’s Counsel that Plaintiff would be requesting a conference with the Court. Nature of Dispute. Yn connection with its Motion for Summary Judgment, Sazerac submitted declarations from Adam Wilkins (ECF No. 113-2), Danny Suich (ECF No. 113-3), Jessica Figueroa (ECF No. 113-6), James Restivo (ECF No. 113-9), and Natalie Cianci (ECF No. 119-2).

For multiple reasons, these submissions, and where applicable, accompanying exhibits, should be excluded.! With respect to the Wilkins and Suich Declarations, these witnesses were disclosed to Plaintiff on March 7, 2024, in Sazerac’s Supplemental Initial Disclosures, just 8 days before the deadlines to complete fact discovery and for Plaintiff to file her Motion for Class Certification.

These untimely disclosures require their exclusion. See Fed. R. Civ. P. 37(c). Plaintiff and the class would be prejudiced by the introduction of these declarations, because we lacked a meaningful opportunity to question them. See *Freeman v. Giuliani*, 2025 WL 81370, at *8 (S.D.N.Y. Jan. 13, 2025) (citing *Pal v. N.Y.U.*, 2008 WL 2627614, at *5 (S.D.N.Y. June 30, 2008) (“finding prejudice ‘ECF 113-2, 113-3, 113-6, 113-9, 119-2, 119-6, 119-7, and 119-8.

100 South 5th Street ° Suite 1900 Minneapolis, Minnesota 55402 Page | 2 sufficient to warrant preclusion of witnesses disclosed on the last day of discovery period where otherwise discovery would have to be reopened on the eve of a pretrial conference”).

The Figueroa and Restivo Declarations warrant exclusion for multiple reasons. First, both are outside experts, with Market Track LLC (“Numerator”) and Nielsen Consumer LLC (“Nielsen”), respectively. Figueroa and Restivo are not testifying based on their personal observations but on “knowledge, skill, experience, training, or education,” and as such constitute experts.

See Fed. R. Evid. 701 and 702; see also Fed. R. Civ. P. 56(c)(4)(“An affidavit or declaration used to support or oppose a motion must be made on personal knowledge...”). However, “[Sazerac] fail[ed] to...identify [Figueroa and Restivo] as required by Rule 26(a) or (e)” at all, much less by the deadline set for expert disclosures. See Order, ECF No. 57 (setting May 29, 2024 as “[d]eadline for Defendant to serve expert reports”).

As such, their exclusion is warranted. Fed. R. Civ. P. 37(c)(1). Second, neither Figueroa nor Restivo's submissions meet the expert requirement of the Federal Rules, and should be excluded under Daubert, and Fed. R. Evid. 702.

As just a cursory example, neither identified a list of their publications in the previous 10 years, cases testified in during the last 4 years, or provided a statement of compensation for the study. See Fed. R. Civ. P. 26(a)(2)(B)(iv)-(vi). Nor did they provide a detailed explanation of the methods used in creating their studies. See Fed. R. Evid. 702(c) and (d).

For example, Mr. Restivo simply states, "The data provided on page 7 of the 2024 report is derived from proprietary consumer surveys fielded by or on behalf of NIQ, the responses to which are recorded at or near the time of the survey." See Restivo Decl. ¶ 4.

In so doing, he has expressly declined to explain the method used, such that the Court could determine whether "the testimony is the product of reliable principles and methods" and whether "the expert's opinion reflects a reliable application of the principles and methods to the facts of the case." See Fed. R. Evid. 702(c) and (d).

In fact, Mr. Restivo did not even provide the full report, but only page 7 of the report. See Fed. R. Evid. 1002 (requiring production of the original writing rather than testimony about what it said); Fed. R. Civ. P. 26(a)(2)(B)(ii) (requiring production of the facts and data) and (iii) any exhibits that will be used to summarize or support [the opinion]).

Third, the Softel factors weigh against allowing Figueroa and Restivo to testify in support of Sazerac's Motion for Summary Judgment. *Patterson v. Balsamico*, 440 F.3d 104, 117 (2d Cir. 2006), quoting *Softel Inc. v. Dragon Medical & Scientific Communications Inc.*, 118 F.3d 955, 961 (2d Cir. 1997) (reciting criteria).

The first Softel factor is not met, because Sazerac provides "[no] explanation for the failure to comply with the [disclosure requirement]" of Rule 26. *Patterson*, 440 F.3d at 117. The Restivo study was produced in April 2024, at least a month before Defendant's deadline for expert disclosures. Compare Restivo Decl. ¶ 3 with Order, ECF No. 57. Figueroa is even more concerning, as one study was conducted a month after the expert disclosure deadline, and the other study an entire year.

See Figueroa Decl. ¶¶ 5-6. Defendant's disregard of these deadlines is without any justification or explanation. The second factor, "the importance of the testimony of [Figueroa and Restivo]" to Sazerac's summary judgment motion is limited, because it makes similar arguments in its Motions to Exclude Letter for Leave to File Motion in Limine December 1, 2025 Page | 3 The third Softel factor, the "prejudice suffered by [Plaintiff and the Class] as a result of having to prepare to meet the new testimony," is significant.

Patterson, 440 F.3d at 117. Plaintiff cannot adequately contest the findings of Figueroa and Restivo because their untimely submission prevents Class Counsel from deposing them, and their limited reports provide insufficient information to determine their reliability.

Finally, though the fourth Softe/ factor requires consideration of “whether a continuance would mitigate the possibility of prejudice...[this] is unwarranted.” *Lidle v. Cirrus Design Corp.*, No. 08-cv-1253, 2009 WL 4907201, at *7 (S.D.N.Y. 2009) (excluding expert report, because “failure to include the data set forth in [untimely] report in [] opening report [wa]s indefensible.”).

While “no trial date has been set, given the [previous] extensions of the discovery deadlines, any further delay in this case would be unreasonable in the absence of any explanation by [Sazerac] for their failure to comply with [Rule 26],” and is detrimental to the right of members of the proposed class. *Canales v. U.S.*, No. 19-cv-834, 2021 WL 1588809, at *5 (E.D.N.Y.

2021) (applying Softe/, and rejecting possibility of continuance, where an expert’s “‘supplemental’ report asserts an entirely new theory of causation that was not disclosed”). Finally, exhibits D-F to the Cianci Declaration were never produced in discovery, despite being the subject of properly served interrogatories and discovery requests. ECF 119-6, through 119-8.

Nor was Ms. Cianci ever disclosed as a witness. Fed. R. Civ. P. 37(c). Plaintiff respectfully requests leave to file a motion in limine to exclude this evidence. Respectfully submitted, /s/ Charles D. Moore Charles D. Moore REESE LLP cc: All counsel of record, via CM/ECF GRANTED. Plaintiff may file a motion to exclude the evidentiary material from consideration on summary judgment.

For avoidance of doubt, the Court expresses no opinion yet on whether the material is to be excluded, and will rule on the motion in due course. The Clerk of Court is respectfully directed to terminate the motion at ECF No. 123. SO ORDERED. Arun Subramanian, U.S.D.J. Date: December 2, 2025