

UTAH SUPREME COURT

R.C.S. v. A.O.L.

291 P.3d 215 (Utah 2012) · Decided November 23, 2012

SUMMARY

The Utah Supreme Court held that applying the Utah Adoption Act to deem an unmarried father's paternity notice filed only when entered into the state registry violated due process when the delay was allegedly caused by state-agency negligence. The court reversed and remanded for a determination of when Vital Records received the notice, and it also held that the due process issue was adequately preserved for appeal.

CASE DETAILS

COURT	Utah Supreme Court
WRITING FOR THE COURT	Justice Durham; Associate Chief Justice Nehring; Justice Parrish; Chief Justice Durrant; Justice Lee
JURISDICTION	Utah
DECIDED	November 23, 2012
DISPOSITION	reversed_and_remanded
PROCEDURAL POSTURE	Ramsey Shaud appealed a district court determination that he had waived rights as a birth father under the Utah Adoption Act and a related order granting a motion in limine that excluded evidence of alleged negligence by Vital Records. The Utah Court of Appeals certified the appeal to the Utah Supreme Court.
STANDARD OF REVIEW	Questions of statutory interpretation and constitutional challenges to statutes are reviewed for correctness, without deference to the district court's legal conclusions.
PRECEDENTIAL VALUE	published precedential opinion
PARTIES	Ramsey Shaud v. A.O.L., Act of Love Adoptions

TOPICS

- adoption
- parental rights
- due process
- statutory interpretation
- preservation of error

PRACTICE AREAS

QUESTIONS PRESENTED

1. Whether applying the Utah Adoption Act's definition of when a notice of paternity proceedings is considered filed deprived Shaud of due process when Vital Records allegedly delayed entering his notice into the registry.
2. Whether Shaud adequately preserved his due process argument in the district court despite not expressly using the words "due process" until his motion to reconsider.

HOLDINGS

1. As applied to Shaud, the Adoption Act's requirement that a notice of paternity proceedings is considered filed only upon entry into the Vital Records registry violated due process because it created an uncertain filing deadline and allowed state-controlled delay to deprive him of the opportunity to develop a relationship with his child. His notice must be considered filed when Vital Records actually received it.
2. Shaud adequately preserved his due process challenge because the district-court briefing and cited authorities presented the constitutional fairness issue and gave the district court an opportunity to address and correct the alleged error; preservation did not depend on using the specific words "due process."

KEY QUOTATIONS

"We hold that the Act is constitutionally defective as applied to Mr. Shaud and deprived him of 'a meaningful chance to preserve his opportunity to develop a relationship with his child,'" (291 P.3d at 215)

"We conclude that the district court's interpretation of the Act's strict compliance standard poses an unacceptable risk of erroneous deprivation of unwed fathers' rights." (291 P.3d at 221)

"To provide Mr. Shaud 'a meaningful chance to preserve his opportunity to develop a relationship with his child,' In re Adoption of T.B., 2010 UT 42, ¶ 31, 232 P.3d 1026, it is unacceptable to apply the statute's 'considered filed' language to Mr. Shaud." (291 P.3d at 222)

"Whether a party has properly preserved an argument, however, cannot turn on the use of magic words or phrases." (291 P.3d at 225)

FACTUAL BACKGROUND

Ramsey Shaud, an unmarried biological father, was informed by the birth mother that he was the child's father and expressed his desire to participate in the pregnancy, attend the birth, and raise the child. Before the child's birth and before the mother's consent to adoption, Shaud filed a paternity petition and sent notice of paternity proceedings to Utah Vital Records by facsimile and mail. Although he asserted that Vital Records received the notice before the mother's consent, the agency did not enter it into the confidential registry until after the consent, and the adoption proceeded.

PROCEDURAL HISTORY

Shaud filed a petition to establish paternity and sent notice of commencement of paternity proceedings to Utah Vital Records before the birth mother's consent to adoption, but Vital Records did not enter the notice into its registry until afterward. The district court held that Shaud had not strictly complied with the Adoption Act, excluded evidence concerning Vital Records' alleged negligence, and terminated or relinquished his parental rights. The Utah Supreme Court reversed and remanded.

DISPOSITION

reversed_and_remanded

REMAND INSTRUCTIONS

The district court must determine whether Vital Records received Shaud's notice of paternity proceedings before the birth mother's consent to the adoption.

CASES CITED

- T.M. v. B.B. (In re Adoption of T.B.), 2010 UT 42, 232 P.3d 1026
- State v. Parduhn, 2011 UT 57, 266 P.3d 765
- State v. Robinson, 2011 UT 30, 254 P.3d 183
- Sanchez v. L.D.S. Soc. Servs., 680 P.2d 753 (Utah 1984)
- Thurnwald v. A.E., 2007 UT 38, 163 P.3d 623
- Wells v. Children's Aid Soc'y of Utah, 681 P.2d 199 (Utah 1984)
- In re Adoption of Baby Boy Doe, 717 P.2d 686 (Utah 1986)
- In re I.K., 2009 UT 70, 220 P.3d 464
- O'Dea v. Olea, 2009 UT 46, 217 P.3d 704
- C.F. v. D.D. (In re Adoption of B.B.D.), 1999 UT 70, 984 P.2d 967
- In re K.B.E., 740 P.2d 292 (Utah Ct. App. 1987)
- Chen v. Stewart, 2004 UT 82, 100 P.3d 1177
- Worrall v. Ogden City Fire Dep't, 616 P.2d 598 (Utah 1980)
- Mathews v. Eldridge, 424 U.S. 319 (1976)
- McBride v. Utah State Bar, 2010 UT 60, 242 P.3d 769
- Hamdi v. Rumsfeld, 542 U.S. 507 (2004)
- Turner v. Rogers, 564 U.S. 431 (2011)
- Lehr v. Robertson, 463 U.S. 248 (1983)
- Stanley v. Illinois, 405 U.S. 645 (1972)
- Johnson v. State, 2011 UT 59, 267 P.3d 880
- Warne v. Warne, 2012 UT 13, 275 P.3d 238
- Weiser v. Union Pac. R.R. Co., 2010 UT 4, 247 P.3d 357
- Pardue v. State, 252 S.W.3d 690 (Tex. Ct. App. 2008)

- Addleman v. McKee, No. 04-70830, 2005 WL 5416768 (E.D. Mich. Mar. 22, 2005)
- Fry v. Pliler, 551 U.S. 112 (2007)
- Patterson v. Patterson, 2011 UT 68, 266 P.3d 828

OPINION

DURHAM, J.

Justice DURHAM, opinion of the Court: INTRODUCTION T1 Ramsey Shaud challenges the district court's determination of his rights as a birth father and its grant of a motion in limine preventing his presentation of evidence.

The district court concluded that Mr. Shaud did not comply with the provisions of the Utah Adoption Act and therefore waived the right to notice of any judicial proceeding in connection with the adoption of Baby Girl T., as well as the right to refuse to consent to her adoption. It also barred him from presenting evidence that the reason he failed to comply with requirements of the Act was because state employees negligently failed to register his notice of paternity proceedings prior to the birth mother's execution of a consent to adoption. [2 We hold that the Act is constitutionally defective as applied to Mr. Shaud and deprived him of "a meaningful chance to preserve his opportunity to develop a relationship with his child," T.M. v. B.B.

(In re Adoption of T.B.), 2010 UT 42, ¶ 31, 232 P.3d 1026. We therefore reverse and remand. BACKGROUND T8 Shasta B. Tew and Mr. Shaud, residents of Florida, engaged in a sexual relationship and conceived Baby Girl T. Ms. Tew learned that she was pregnant in early June *217of 2009. She informed Mr. Shaud that she was pregnant and told him that the child was his.

Mr. Shaud told Ms. Tew that he wanted to participate in all the prenatal doctor appointments, attend the child's birth, and ultimately raise the child. Ms. Tew informed Mr. Shaud that she would place the child for adoption. T4 Mr. Shaud attempted to convince Ms. Tew not to give the child up for adoption and that he was capable of caring for the child. Ms. Tew apparently did not respond to most of Mr. Shaud's entreaties When she did communicate with Mr. Shaud, it was to request that he sign the necessary paperwork to place the child for adoption.

In their last telephone communication before the child's birth, Mr. Shaud again insisted that he be allowed to raise the child. In mid-December, Ms. Tew sent Mr. Shaud a letter stating, "I'll be in Arizona and Utah with my family for the holidays and stay on in Utah for a while." Mr. Shaud continued to send Ms. Tew online messages, attempting to convince her to let him have the baby, but Ms. Tew did not respond. ¶5 Presumably based on his belief that Ms. Tew was going to be somewhere in Utah to deliver the child, Mr. Shaud retained Utah counsel in early January to assert his paternity claim and prevent a potential adoption.

Mr. Shaud's counsel filed a petition to establish paternity and a sworn affidavit with the district court on January 12, 2010. That same day, Mr. Shaud's counsel also sent via facsimile a copy of the notice of commencement of paternity proceedings to the Office of Vital Records and Statistics of the Utah Department of Health (Vital Records). Mr. Shaud's counsel also mailed the original notice.

According to Mr. Shaud, Vital Records received this mailing two days later, on January 14. He also asserts that the original time stamp on the mailing envelope, indicating receipt on January 14, was later crossed out and that the envelope was re-stamped as received on January 20. Vital Records entered Mr. Shaud's notice into its confidential putative father registry on January 20, 2010, at 9:15 a.m.

6 Ms. Tew gave birth to Baby Girl T. on January 15, 2010, nearly a month premature. On January 19, Ms. Tew signed an affidavit consenting to the child's adoption through A Act of Love Adoptions (Act of Love), an adoption agency. Act of Love then contacted Vital Records to determine whether anyone had filed a notice of paternity proceedings. At 8:80 a.m. on January 20, 2010, Vital Records informed Act of Love that it had searched its paternity registry and that "[n]o paternity proceedings have been found pertaining to the child in question."

This occurred forty-five minutes before Vital Records entered Mr. Shaud's notice into the registry. Act of Love proceeded with the adoption. 17 A few weeks later, Act of Love filed a verified petition for the determination of Mr. Shaud's parental rights, asserting that he had waived any rights regarding the child because his notice was not entered into the Vital Records registry before Ms. Tew executed her consent to the adoption.

Mr. Shaud's counsel gathered evidence that Vital Records had been negligent in entering the notice in a timely manner, and that it had strayed from its normal practice of accepting notices sent via facsimile, rather than requiring originals. Act of Love filed a motion in limine to exclude any evidence that Vital Records was negligent because the Adoption Act explicitly states that a notice of paternity proceedings is not "considered filed [until] it is entered into the registry," Utah Code § 78B-6-121(4), and that acts or omissions of third parties do not excuse an unmarried, biological father from strictly complying with the Act's requirements, id. § 78B-6-106(1).

The district court granted the motion and held that Mr. Shaud had relinquished his rights. It concluded that Mr. Shaud had not strictly complied with the Act because his notice of paternity proceedings had not been entered into the Vital Records registry before Ms. Tew consented to the adoption. 8 Mr. Shaud timely appealed the district court's ruling, and the court of appeals certified the case to this court.

We have jurisdiction under Utah Code section 78A-3-102(8)(b). STANDARD OF REVIEW 19 "We review questions of statutory interpretation for correctness, affording *218no deference to the

district court's legal conclusions." *State v. Parduhn*, 2011 UT 57, ¶ 16, 266 P.3d 765 (internal quotation marks omitted). "Constitutional challenges to statutes present questions of law, which we review for correctness."

State v. Robinson, 2011 UT 30, ¶ 7, 254 P.3d 183 (internal quotation marks omitted). ANALYSIS 10 Under the Adoption Act, the consent of an unmarried biological father is not required before his child is placed with adoptive parents if the father does not satisfy certain requirements. See Utah Code § 78B-6-121(8).

The requirement central to this appeal is that an unwed father must "file[] notice of the commencement of paternity proceedings... with [Vital Records], in a confidential registry established by the department for that purpose." *Id.* § 78B-6-121(8)(c). This notice "is considered filed when it is entered [by Vital Records] into the registry." *Id.* § 78B-6-121(4). \$11 The Act's requirements operate under the presumption that an unwed father knows that his "child may be adopted without his consent unless he strictly complies with the provisions of [the Act]."

Id. § 78B-6-102(6)(f). This court has consistently upheld the Act's strict compliance standard as constitutionally sound. See, e.g., *Sanchez v. L.D.S. Soc. Servs.*, 680 P.2d 753, 755 (Utah 1984) ("It is of no constitutional importance that [an unwed father] came close to complying with the statute."). But see *Thurnwald v. A.E.*, 2007 UT 38, ¶¶ 39-40, 163 P.3d 623 (avoiding an interpretation of the Act that would impose an "uncertain filing period" on an unwed father because it would violate principles of due process).

We have upheld the Act's strict compliance standard in part because an unwed father's biological connection to his child does not automatically grant him a fundamental constitutional right to parenthood. Rather, an unwed father has a "provisional right" to parenthood, *Wells v. Children's Aid Soc'y of Utah*, 681 P.2d 199, 206 (Utah 1984), and due process requires only that an unwed father have "a meaningful chance to preserve his opportunity to develop a relationship with his child."

T.M. v. B.B. (In re Adoption of T.B.), 2010 UT 42, ¶ 31, 232 P.3d 1026. 112 But we have not had occasion to address whether due process concerns arise when a father's failure to strictly comply with the Act is allegedly due to a state agency's negligence entirely outside his control. "[A] statute fair upon its face may be shown to be void and unenforceable as applied."

In re Adoption of Baby Boy Doe, 717 P.2d 686, 689 (Utah 1986) (internal quotation marks omitted). As mentioned above, the Act requires that an unwed father file notice of the commencement of paternity proceedings with Vital Records, and this notice is "considered filed" only upon Vital Records' entry of the notice into its confidential registry. Mr. Shaud contends that he provided facsimile and original copies of his notice to Vital Records prior to Ms. Tew's execution of a consent to adoption.

However, due to Vital Records' alleged negligence, Mr. Shaud's notice was not entered into the registry until after Ms. Tew executed her consent. The district court concluded that Mr. Shaud did not strictly comply with the Act because his notice was not timely filed and that any acts or omissions by Vital Records did not excuse his failure to strictly comply with the Act.

113 This is an "exceptional case." In re Adoption of T.B., 2010 UT 42, ¶ 42, 232 P.3d 1026 (internal quotation marks omitted). At the outset we note that, as this case demonstrates, the statute creates an anomaly: a putative father is charged with strict compliance with a requirement he has no power to fulfill. While he may control the delivery of the notice to Vital Records, only the agency may enter it in the registry, which is the actual act of "filing."

Therefore, when the statute purports to require the father to "file," it is requiring an act he has no legal or actual ability to do. { 14 Under the district court's interpretation of the Act, an unwed father does not strictly comply with the Act if he does everything in his power to achieve compliance—such as filing all the appropriate paperwork with Vital Records several days, weeks, or months before a birth mother's consent to adoption—but Vital Records nonetheless fails to register his notice prior to the mother's *219 consent to adoption.

This interpretation would extend to mere negligence by Vital Records, such as misplacing an unwed father's notice, as well as to a hypothetical conscious decision to delay the father's entry into the registry. As discussed below, we see due process problems if provisions of the Act were read to allow a state agency's negligence to cut off an unwed father's opportunity to develop a relationship with his child.

Act of Love also contends that Mr. Shaud did not preserve this due process argument in the district court. It argues that "Mr. Shaud made vague references to his constitutional parental rights and argued that application of the Act was unfair, [but] he did not specifically raise the issue or present legal authority in support of his position prior to the district court's determination of his parental rights."

After reviewing the record, we conclude that Mr. Shaud adequately preserved the due process issue. I. DUE PROCESS 116 The U.S. and Utah Constitutions mandate that when life, liberty, or property is placed in jeopardy by reason of state action, due process must be accorded the individual affected by such action. U.S. Const. amend. XIV; Utah Const. art. 1, § 7.

The bare essentials of due process have been characterized as notice of the proposed action of deprivation "and an opportunity to be heard in a meaningful manner." *Chen v. Stewart*, 2004 UT 82, ¶ 68, 100 P.3d 1177. This constitutional protection "is not a technical conception with a fixed content unrelated to time, place, and circumstances; it is flexible and requires such procedural protections as the particular situation demands."

Worrall v. Ogden City Fire Dep't, 616 P.2d 598, 602 (Utah 1980) (plurality opinion). 117 To determine whether a legislative enactment improperly deprives a person of due process protections, this court has looked to the U.S. Supreme Court's test set forth in *Mathews v. Eldridge*, 424 U.S. 319, 339-50, 96 S.Ct. 893, 47 L.Ed.2d 18 (1976). See, e.g., *McBride v. Utah State Bar*, 2010 UT 60, 1 20, 242 P.3d 769.

[The] *Mathews* [test] dictates that the process due in any given instance is determined by weighing "the private interest that will be affected by the official action" against the Government's asserted interest, "including the function involved" and the burdens the Government would face in providing greater process.

The *Mathews* calculus then contemplates a judicious balancing of these concerns, through an analysis of "the risk of an erroneous deprivation" of the private interest if the process were reduced and the "probable value, if any, of additional or substitute procedural safeguards." *Hamdi v. Rumsfeld*, 542 U.S. 507, 529, 124 S.Ct. 2633, 159 L.Ed.2d 578 (2004) (plurality opinion) (quoting *Mathews*, 424 U.S. at 335, 96 S.Ct.

893). The U.S. Supreme court has found this test "useful in deciding what specific safeguards the Constitution's Due Process Clause requires in order to make a civil proceeding fundamentally fair" *Turner v. Rogers*, - U.S. -, 131 S.Ct. 2507, 2517, 180 L.Ed.2d 452 (2011).

Moreover, our legislature has recognized the due process concerns that arise in the adoption context, stating that "the rights and interests of all parties affected by an adoption proceeding must be considered and balanced in determining what constitutional protections and processes are necessary and appropriate." Utah Code § 78B-6-102(8).

We now discuss the interests at stake in this appeal. A. Mr. Shaud's Interest 118 Mr. Shaud's interest as an unmarried biological father is in having an opportunity to establish a relationship with his biological child. "Under both federal and state law, an unwed biological father has an inchoate interest in a parental relationship with his child that acquires full constitutional protection only when he 'demonstrates a full commitment to the responsibilities of parenthood by [coming] forward to participate in the rearing of his child'" *Thurnwald v. A.E.*, 2007 UT 38, ¶ 25, 163 P.3d 623 (alteration in original) (quoting *Lehr v. Robertson*, 463 U.S. 248, 261 & n. 17, 103 S.Ct.

2985, 77 L.Ed.2d 614 (1983)). These "constitutionally protect-able [p]arental rights do not spring full-blown from the biological connection between parent and child. They require relationships *220more enduring." *T.M. v. B.B. (In re Adoption of T.B.)*, 2010 UT 42, ¶ 30, 232 P.3d 1026 (alteration in original) (quoting *Lehr*, 463 U.S. at 260, 103 S.Ct. 2985).

Thus, the mere biological connection between an unwed father and his child does not, without more, grant the father a protected liberty interest. See *id.* 119 However, we have stated that an

unmarried biological father must be given "an adequate opportunity to comply with the[] statutory requirements" of the Adoption Act in order to assert this interest. Id. 144.

This is because "an unwed father's opportunity interest in developing a relationship with his newborn [is] a provisional right that is itself protected by the due process clause of the Utah Constitution." Thurnwald, 2007 UT 38, ¶ 28, 163 P.3d 623 (internal quotation marks omitted). "So long as a state's adoption code contains procedures that provide a putative father a meaningful chance to preserve his opportunity to develop a relationship with his child, due process is satisfied."

In re Adoption of T.B., 2010 UT 42, ¶ 31, 232 P.3d 1026. In In re Adoption of T.B., we specifically noted that the constitution requires that the state provide meaningful procedures through which the father may protect his opportunity to [develop a substantial relationship with his child].... [D]ue process guarantees an unwed natural father the right to preserve his parental opportunity by following state procedures.

If he fails to comply with the procedures available to protect his right to develop an enduring, committed relationship with his child, a putative father risks the possibility that the natural mother's relinquishment of the child may eliminate his opportunity to acquire constitutionally protectable parental rights before he has been able to obtain them. Id. ¶ 40 (footnote omitted).

1 20 Mr. Shaud's private interest therefore is in the opportunity to develop a substantial relationship with Baby Girl T. The Act must give him a meaningful and adequate procedure to protect this interest. If the Act does give him a meaningful chance to protect his interest, he may not complain of the termination of his interest when he fails to strictly comply with its procedures.

B. The State's Interest T 21 The legislature has expressed that the state's interest in the adoption context is "in providing stable and permanent homes for adoptive children in a prompt manner, in preventing the disruption of adoptive placements, and in holding parents accountable for meeting the needs of children." Utah Code § 78B-6-102(5)(a).

In this respect, "it is beyond dispute that the state must... have legal means to ascertain within a very short time of birth whether the biological parents (or either of them) are going to assert their constitutional rights and fulfill their corresponding responsibilities, or whether adoptive parents must be substituted." Thurnwald, 2007 UT 38, ¶ 34, 163 P.3d 623 (alteration in original) (internal quotation marks omitted).

Moreover, the state has "compelling interests in promoting early and uninterrupted bonding between child and parents and in facilitating final and irrevocable adoptions." Id. (internal quotation marks omitted). C. The Act's Balancing of Interests 122 As previously discussed, an unwed biological father must "file" a notice of paternity proceedings with Vital Records, and this notice is "considered filed" when Vital Records enters the notice into its confidential registry.

Utah Code § 78B-6-121(8)(c), (4). Thus, the Act refers to two separate "filings," only one of which qualifies to trigger protections for the putative father's interest. Unfortunately, the father's filing, which he can control, does not qualify; only Vital Records' acts do. 123 We have noted that, under previous versions of the Adoption Act requiring registration with Vital Records, the registry was intended to serve as "a procedure that would protect the putative father's parental rights if he timely claimed his paternity" and to "strike a balance between two competing interests."

Thurnwald, 2007 UT 38, ¶ 29, 163 P.3d 623 (internal quotation marks omitted). The competing interests are those discussed *221above, namely "the significant state interest in speedily placing infants for adoption and the constitutionally protected rights of putative fathers." *Id.* (internal quotation marks omitted). 124 Mr. Shaud alleges, however, that he attempted to use the registry to protect his parental rights in a timely fashion, but that Vital Records negligently delayed the entry of his notice into the registry until after Ms. Tew had consented to the adoption.

He argues that the district court's interpretation of the Act permits Vital Records' negligence, over which he had no control and of which he had no notice, to extinguish his opportunity to establish a relationship with his child. 25 We conclude that the district court's interpretation of the Act's strict compliance standard poses an unacceptable risk of erroneous deprivation of unwed fathers' rights.

Moreover, we consider it "unnecessary to the state's compelling interests" that a father's notice of paternity proceedings be deemed filed at the time it is entered into the confidential registry. *Id.* at 189. As noted above, we have previously sanctioned the Act's procedural requirements as not violative of due process.

In each of these instances, however, the father's failure to strictly comply with the Act was in some measure due to his own inaction, and not to failures of state-controlled process. See *T.M. v. B.B.* (In re Adoption of T.B.), 2010 UT 42, ¶¶ 26, 44, 232 P.3d 1026 (holding that a "putative father's constitutional rights were not violated" where it was "undisputed that the putative father did not comply with a number of [the Act's] requirements"); *In re I.K.*, 2009 UT 70, ¶ 9, 220 P.3d 464 ("In this case, it is undisputed that the Natural Father did not comply with the first set of Utah's statutory requirements."); *O'Dea v. Olea*, 2009 UT 46, ¶ 45, 217 P.3d 704 (noting that a putative father did not complete the requirements to establish paternity until eighty days after a qualifying circumstance existed), *C.F. v. D.D.*

(*In re Adoption of B.B.D.*), 1999 UT 70, ¶ 12, 984 P.2d 967 (noting that an unwed father "failed to make any attempt to establish legal paternity under the provisions of [the Act]"); *Sanchez v. L.D.S. Soc. Servs.*, 680 P.2d 753, 755 (Utah 1984) (describing an unwed father's attempt to register with Vital Records after the birth mother consented to the child's adoption); *Wells v. Children's Aid Soc'y of Utah*, 681 P.2d 199, 207-08 (Utah 1984) (describing an unwed father's "sufficient opportunity" and failure to assert paternity, "including ample advance notice of the expected time

of birth and the fact that the mother intended to relinquish the child for adoption, advice of counsel on filing the required form, and a copy of the [necessary] form").

Under the Act, a putative father is intended to be the master of his own destiny; he may not argue constitutional unfairness where his parental rights are terminated due to his own failure to comply with the Act. 126 In this instance, however, the allegation is that negligent actions by a state agency have prevented notice from being filed, resulting in the deprivation of a putative father's opportunity interest in establishing a relationship with his child.

Mr. Shaud's allegations therefore present a much different case. Cf. *In re Adoption of Baby Boy Doe*, 717 P.2d 686, 689, 691 (Utah 1986) (holding that an unwed father had shown "that the termination of his parental rights was contrary to basic notions of due process," but also noting that "[i]n all but the most exeeptional cases" the Act achieves the appropriate constitutional balance). {27 We have expressed concern where an unwed father does not have a "guaranteed window" in which to protect his rights.

Thurnwald, 2007 UT 38, ¶ 40, 163 P.3d 623. "[A] firm cutoff date is reasonable, if not essential" in the adoption context, *Sanches*, 680 P.2d at 755, and a putative father must be "certain of when he must... register with [Vital Records] in order to preserve his rights," Thurnwald, 2007 UT 38, ¶ 35, 163 P.3d 623. It is true that Mr. Shaud in theory could be certain regarding when his notice of paternity proceedings would legally be "deemed filed," namely on the date that Vital Records entered his notice into the registry.

However, Mr. Shaud could never be certain on what date, in relation to his own filing, Vital Records would complete the act of registering his notice, and he therefore could not be certain when his liberty interest would be protected under the Act.

In theory, he could *222have filed months before the child's birth, but still be unprotected if Vital Records failed to act to put his notice in the registry. 128 This is an unacceptable result. "Utah's registration statute was designed to facilitate permanent and secure placements for newborn children whose unwed fathers take no steps to identify themselves promptly and acknowledge paternity," *Sanchez*, 680 P.2d at 756 (Durham, J., dissenting), not to penalize those fathers who have done all in their power to comply with the Act prior to a mother's consent to adoption.

It would "flly] in the face of fundamental fairness and due process" to allow a state agency's inaction "to cut off the rights of fathers who are identified and present" and have complied with the Act to the extent they are capable.

In re K.B.E., 740 P.2d 292, 296 (Utah Ct.App.1987) (internal quotation marks omitted); see also Thurnwald, 2007 UT 38, ¶ 38, 163 P.3d 623. 129 Additional or substitute procedural safeguards could easily remedy the problem of an uncertain deadline. With additional safeguards, the state

could remove Vital Records' discretion and provide unwed fathers with certainty regarding when they will have satisfied the requirement that they file a notice of paternity proceedings.

Various states have taken steps to provide such certainty. See, eg., Idaho Code Ann. § 16-1518(2) ("The department shall record the date and time the notice of the commencement of proceedings is filed with the department.

The notice shall be deemed to be duly filed with the department as of the date and time recorded on the notice by the department."); Mont.Code Ann. § 42-2-206(1) ("In order to be entitled, because of registration, to receive notice of a termination of parental rights proceeding, a putative father's registration form... must be received by the department not later than 72 hours after the child's birth."); Neb.Rev.Stat. § 48-104.02 ("[A] notice shall be considered... filed if it is received by the department or postmarked prior to the end of the [statutory deadline].").

130 Moreover, we have previously noted that when the Act is interpreted in ways that promote uncertainty, such an "interpretation actually works against, rather than promotes, the state's compelling interest in permanent adoptions." Thurnwald, 2007 UT 38, ¶ 40, 163 P.3d 623. A "firm cutoff date benefits all parties if it is tied to a certain time period after the child's birth."

Id. This is because "[i]f the rights of unwed fathers are well defined, it will be more difficult for fathers to mount as-applied constitutional challenges to the deprivation of their rights." Id. 1 31 To provide Mr. Shaud "a meaningful chance to preserve his opportunity to develop a relationship with his child," In re Adoption of T.B., 2010 UT 42, ¶ 31, 232 P.3d 1026, it is unacceptable to apply the statute's "considered filed" language to Mr. Shaud.

Rather, we hold that Mr. Shaud's notice must be considered filed when Vital Records received it, because, at that point, Mr. Shaud had done all that he could do to strictly comply with the Act. We recognize the state's competing interest in providing mothers and adoptive parents with notice, within a short time, of whether a putative father has attempted to preserve his paternal rights.

However, "the Constitution recognizes higher values than speed and efficiency," Stanley v. Illinois, 405 U.S. 645, 656, 92 S.Ct. 1208, 31 L.Ed.2d 551 (1972), and due process is "flexible and requires such procedural protections as the particular situation demands." Worrall, 616 P.2d at 602 (plurality opinion}. Deeming Mr. Shaud's notice filed when Vital Records received it provides certainty to Mr. Shaud in protecting his interest in developing a relationship with his daughter and renders the civil proceeding determining Mr. Shaud's parental rights "fundamentally fair" Turner, 131 S.Ct. at 2517.

132 We conclude that the district court's decision allowing Mr. Shaud's notice to be considered filed only when Vital Records entered it into the registry violated his due process rights and cannot stand. We therefore remand this case to the district court for resolution of the question of whether

Vital Records received Mr. Shaud's notice of paternity proceedings prior to the birth mother's consent to the adoption.

II. PRESERVATION 1383 As noted above, an argument has been made in this case that Mr. Shaud's due process claim should not have been *223reached because it was not properly preserved. We disagree. Although we recognize that Mr. Shaud failed to expressly articulate the due process clause as the basis of his constitutional claim, we will not penalize him for failing to use the magic words "due process" when the record clearly demonstrates his argument was founded in the due process clause.

934 "In order to preserve an issue for appeal[,] the issue must be presented to the [district] court in such a way that the [district] court has an opportunity to rule on that issue." Johnson v. State, 2011 UT 59, ¶ 9 n. 6, 267 P.3d 880 (alterations in original) (internal quotation marks omitted). A party asserting error on appeal must have (1) raised the issue "in a timely fashion" in the lower court, (2) "specifically raised" the issue, and (8) introduced "supporting evidence or relevant [legal] authority."

Warne v. Warne, 2012 UT 13, ¶ 16, 275 P.3d 238 (internal quotation marks omitted). We have also stated, even if indirectly, that "[for an issue to be sufficiently raised, it must at least be raised to a level of consciousness such that the trial judge can consider it." Weiser v. Union Pac. R.R. Co., 2010 UT 4, ¶ 14, 247 P.3d 357 (alteration in original) (emphasis added) (internal quotation marks omitted).

1385 As discussed above, the critical issue in this appeal is whether the district court interpreted the Adoption Act in such a way that Mr. Shaud's due process rights were violated. Mr. Shaud argued to this court that "[i]t is unconstitutional and a violation of the notion of fairness and due process if Mr. Shaud is blamed for failing to 'file' his Notice when the only agency empowered to actually 'file' the Notice was grossly negligent in not timely filing it when received."

We look to the record to determine whether this issue was sufficiently identified in the district court. In doing so, we note the following material from the record below: In Act of Love's Verified Petition for Determination of Birth Father's Rights, it noted that this court has "made it clear that strict compliance [with the Adoption Act]... is required." It cited Utah cases supporting this proposition, all of which discuss the due process implications of requiring a putative father to comply with the Act.

Quoting Sanches v. L.D.S. Social Services, Act of Love also noted that it was of "no constitutional importance" that Mr. Shaud "came close to complying with the statute." 680 P.2d 753, 755 (Utah 1984). Act of Love further cited to Thurnwald v. A.E., 2007 UT 38, 163 P.3d 623. There, we held that a strict interpretation of the Act would deprive a putative father of a guaranteed filing period and violate his due process rights.

Id. ¶¶ 25-40. In response, Mr. Shaud distinguished Act of Love's cited case law by arguing that "Mr. Shaud properly complied with the Utah Code" and that any noncompliance was due to "the inept, inadequate and careless acts of the State of Utah [and] its employee, which is entirely and completely out of his control." Act of Love then moved for leave to file an over-length memorandum in response.

It noted that "Mr. Shaud has raised novel arguments and issues of first impression" regarding "a notice of commencement of paternity proceedings that all agree was not timely entered on the Utah registry... but which Mr. Shaud claims was received by... Vital Records before the birth mother... relinquished her rights to the child."

In Act of Love's memorandum, it argued that "(b)ly requiring actual entry of the notice in the registry, the statute furthers the state's compelling interests in preventing the disruption of adoptive placements." (Emphasis added.) It further noted that in Thurnwald this court "held that requiring compliance within one full business day following the child's birth comports with the requirements of due process."

(Emphasis added.) Citing Sanchez, Act of Love again noted that "[i]t is of no constitutional importance that [Mr. Shaud] came close to complying with the statute." Act of Love then filed a motion in limine to exclude evidence regarding when Vital Records received the paternity notice.

In Mr. Shaud's opposition motion, he discussed the Adoption Act's legislative finding that "the rights and interests of all parties affected by an adoption proceeding *224 must be considered and balanced in determining what constitutional protections and processes are necessary and appropriate." Utah Code § 78B-6-102(8) (emphasis added). He also recited the legislature's findings that "an unmarried biological father has an inchoate interest that acquires constitutional protection only when he demonstrates a timely and full commitment to the responsibilities of parenthood" and that the Act "has prescribed the conditions for determining whether an unmarried biological father's action is sufficiently prompt and substantial to require constitutional protection."

Id. § 78B-6-102(5)(e), (6)(a) (emphases added). Mr. Shaud also argued that "gross negligence cannot undermine the constitutional protections that the adoption code affords [him] for doing everything within his power to comply with the adoption code." (Emphasis added.) He asserted that he should "be afforded the maximum constitutional protection as a putative father" and that evidence of Vital Records' negligence "is relevant to establish that Mr. Shaud could do nothing more to protect his constitutional rights as a putative father."

(Emphases added.) Finally, after the district court granted Act of Love's motion in limine and entered an order terminating Mr. Shaud's parental rights, he filed a motion for the court to reconsider its decision and specifically raised the due process issue. He stated that the "tragic

result" of the court's decision "is that putative fathers like Mr. Shaud, who have done everything they physically and legally can do to preserve their rights will have their inherent and constitutional right to raise their children terminated."

He further argued that the court appeared to favor "procedure instead of protecting the inherent constitutional rights of Mr. Shaud" and that it failed to recognize "that a putative father has an opportunity interest in developing a relationship with his newborn and that this right is protected by the due process clause of the Utah Constitution." (Emphasis added.)

(Internal quotation marks omitted.) T 36 On this record, we conclude that a due process issue was adequately preserved in the trial court notwithstanding Mr. Shaud's failure to use the words "due process" until his motion to reconsider.

The briefing in the district court was infused with due process implications, arguments, and cases. Act of Love repeatedly stated that requiring Mr. Shaud's strict compliance did not pose constitutional concerns, and it suggested to the court that it was not a violation of due process to require strict compliance. Both parties repeatedly cited cases that discussed the interaction of the Act's requirements and due process.

The Thurnwald case, discussed by both parties, focused explicitly on interpreting strict compliance with the Act so as to avoid due process implications that arise when a father's compliance is not within his power. {37 Moreover, Mr. Shaud repeatedly made due process arguments, although they were not labeled as such. He noted that courts must balance the rights of all parties to an adoption proceeding to determine "what constitutional protections and processes" are necessary.

As discussed above, this balancing of interests is the general test for determining whether a law improperly infringes on an individual's due process rights. See *Mathews v. Eldridge*, 424 U.S. 319, 334-35, 96 S.Ct. 893, 47 L.Ed.2d 18 (1976); accord *McBride v. Utah State Bar*, 2010 UT 60, ¶¶ 19-20, 242 P.3d 769. Mr. Shaud also argued to the district court, as he does to this court, that he could have done nothing more to strictly comply with the Act and to have protected his constitutional rights as a putative father.

138 These are the same arguments that Mr. Shand asks us to resolve today. Their only shortcoming below was that Mr. Shaud did not use the phrase "due process" until his motion to reconsider. Whether a party has properly preserved an argument, however, cannot turn on the use of magic words or phrases. See *Pardue v. State*, 252 S.W.3d 690, 699 (Tex.Ct.App.2008) ("Although [the defendant] failed to employ the magic words 'due process' in her objection at trial, [her] objection was sufficient to make the trial court aware of the nature of the complaint *225and [she] secured an adverse ruling."); *Addleman v. McKee*, No. 04-70830, 2005 WL 5416768, at *3 n. 2 (E.D.Mich.

Mar. 22, 2005) ("The preservation of the claim of a Constitutional violation should not hinge upon insertion of certain (magic words, but should, instead, rely upon the common judicial sense of those charged with enforcing the basic rights it affords."), *aff'd*, 471 F.3d 576 (6th Cir.2006), overruled on other grounds by *Fry v. Pliler*, 551 U.S. 112, 127 S.Ct.

2321, 168 L.Ed.2d 16 (2007). If a party were to assert below that a law discriminated against his sex in violation of his constitutional rights and cited *United States v. Virginia*, 518 U.S. 515, 116 S.Ct. 2264, 135 L.Ed.2d 735 (1996), we would not fault him for failing to utter the words "equal protection." This would carry the preservation requirement too far. { 39 Here, the district court was presented with arguments about due process and a putative father's constitutional rights in a relationship with his child, case law discussing at length the interplay between the Act's strict compliance standard and constitutional due process, and the general question of whether it was fair to Mr. Shaud to lose the opportunity to establish a relationship with his child because of a state agency's alleged negligence.

All of this presented a clear "opportunity to address the claimed error, and if appropriate, correct it." *Patterson v. Patterson*, 2011 UT 68, ¶ 15, 266 P.3d 828 (internal quotation marks omitted). It was "sufficiently raised, even if indirectly," in that it was "raised to a level of consciousness such that the trial judge [could] consider it." *Weiser*, 2010 UT 4, ¶ 14, 247 P.3d 357 (internal quotation marks omitted).

CONCLUSION { 40 On the alleged facts in this case, Mr. Shaud's notice cannot be considered filed only upon its entry into Vital Records' registry. This definition of "filed" creates unfair uncertainty as to the proper filing date and infringes upon Mr. Shaud's opportunity interest in protecting his relationship with his daughter. Due process requires that we consider Mr. Shaud's notice to have been filed when Vital Records actually received it.

We therefore reverse the district court's ruling and remand this case for resolution as to whether Vital Records received Mr. Shaud's notice prior to the birth mother's consent to the adoption. Justice DURHAM authored the opinion of the Court, in which Associate Chief Justice NEHRING, and Justice PARRISH joined. Justice LEE filed a dissent, in which Chief Justice DURRANT joined.