

SUPREME COURT OF APPEALS OF WEST VIRGINIA

In re E.C., D.C., and G.S.-1

No. 17-1046 · No. No. 17-1046 · Decided April 13, 2018

SUMMARY

The West Virginia Supreme Court of Appeals vacated a dispositional order terminating the father's parental rights because the circuit court failed to properly adjudicate him as an abusing or neglecting parent before proceeding to disposition. The Court held that this procedural failure constituted plain error affecting substantial rights and remanded for an adjudicatory hearing and further proceedings under applicable West Virginia law and rules.

CASE DETAILS

COURT	Supreme Court of Appeals of West Virginia
WRITING FOR THE COURT	Chief Justice Margaret L. Workman; Justice Robin Jean Davis; Justice Menis E. Ketchum; Justice Allen H. Loughry II; Justice Elizabeth D. Walker
JURISDICTION	West Virginia
DECIDED	April 13, 2018
DOCKET	No. 17-1046
DISPOSITION	vacated
PROCEDURAL POSTURE	Father appealed the Circuit Court of Mingo County's order terminating his parental rights in an abuse and neglect proceeding, arguing that the court terminated his rights without first properly adjudicating him as an abusing or neglecting parent.
STANDARD OF REVIEW	Conclusions of law are reviewed de novo. In an abuse and neglect case tried without a jury, factual findings are reviewed for clear error. Because Father failed to preserve the adjudication issue, the court applied plain-error review, requiring an error that is plain, affects substantial rights, and seriously affects the fairness, integrity, or public reputation of the proceedings.
PRECEDENTIAL VALUE	limited
PARTIES	Petitioner Father G.S.-2 v. West Virginia Department of Health and Human Resources, E.C., D.C., G.S.-1, through guardian ad litem

TOPICS

termination of parental rights

parental rights

family law procedure

appellate procedure

standard of review

PRACTICE AREAS

juvenile law

family law

child abuse and neglect

appellate procedure

QUESTIONS PRESENTED

1. Whether the circuit court plainly erred by terminating Father's parental rights without first conducting an adjudicatory hearing and determining, based on clear and convincing evidence, that the children were abused or neglected and that Father was an abusing or neglecting parent.
2. Whether the dispositional order should be vacated and the case remanded for compliance with the statutory and procedural requirements governing child abuse and neglect proceedings.

HOLDINGS

1. A circuit court may not proceed to dispositional alternatives, including termination of parental rights, until it conducts an adjudicatory hearing and determines from clear and convincing evidence whether the child is abused or neglected and whether the respondent is an abusing or neglecting parent.
2. The court may review the unpreserved issue under the plain-error doctrine, and the failure to adjudicate Father satisfied the plain-error standard because it was an error that was plain, affected substantial rights, and seriously affected the fairness, integrity, and public reputation of the proceedings.
3. When the statutory and rule-based process for disposing of cases involving children adjudicated as abused or neglected is substantially disregarded or frustrated, the resulting dispositional order must be vacated and the case remanded for compliance with that process and entry of an appropriate order.

KEY QUOTATIONS

"Simply put, the DHHR must be required to satisfy its burden of proof in all child abuse and neglect proceedings and parents must be afforded the right to meet such evidence." (3)

"Where it appears from the record that the process established by the Rules of Procedure for Child Abuse and Neglect Proceedings and related statutes for the disposition of cases involving children adjudicated to be abused or neglected has been substantially disregarded or frustrated, the resulting order of disposition will be vacated and the case remanded for compliance with that process and entry of an appropriate dispositional order." (3)

FACTUAL BACKGROUND

The DHHR alleged that G.S.-1 was born prematurely and tested positive at birth for benzodiazepines and marijuana. Father waived the preliminary hearing and was granted a pre-adjudicatory improvement period. The circuit court scheduled an adjudicatory hearing, but no evidence was presented and no adjudicatory findings were made. The DHHR first presented testimonial evidence at the later dispositional hearing, after which the circuit court terminated Father's parental rights to the three children.

PROCEDURAL HISTORY

The DHHR filed an abuse and neglect petition on September 30, 2016. Father waived the preliminary hearing and received a pre-adjudicatory improvement period. Although an adjudicatory hearing was scheduled, the November 16, 2016 hearing produced no evidence or adjudicatory findings. The circuit court later held a dispositional hearing and terminated Father's parental rights, entering its order on October 25, 2017. The Supreme Court of Appeals of West Virginia vacated the dispositional order and remanded for an adjudicatory hearing and further proceedings.

DISPOSITION

vacated

REMAND INSTRUCTIONS

The circuit court must forthwith hold an adjudicatory hearing regarding Father and conduct further proceedings consistent with the West Virginia Rules of Procedure for Child Abuse and Neglect Proceedings and Chapter 49 of the West Virginia Code. The circuit court must maintain the children's current temporary placement until it determines an appropriate permanent placement. The circuit court must conclude the matter by entry of a final order within sixty days of issuance of the Supreme Court's mandate.

CASES CITED

- In re K.H., 235 W. Va. 254, 773 S.E.2d 20 (2015)
- Melinda H. v. William R. II, 230 W. Va. 731, 742 S.E.2d 419 (2013)
- State v. Brandon B., 218 W. Va. 324, 624 S.E.2d 761 (2005)
- State v. Edward Charles L., 183 W. Va. 641, 398 S.E.2d 123 (1990)
- In Interest of Tiffany Marie S., 196 W. Va. 223, 470 S.E.2d 177 (1996)
- In re Cecil T., 228 W. Va. 89, 717 S.E.2d 873 (2011)
- State v. Miller, 194 W. Va. 3, 459 S.E.2d 114 (1995)
- State v. T.C., 172 W. Va. 47, 303 S.E.2d 685 (1983)
- In re Edward B., 210 W. Va. 621, 624, 558 S.E.2d 620, 623 (2001)

OPINION

In re E.C., D.C., and G.S.-1

PER CURIAM.

STATE OF WEST VIRGINIA

SUPREME COURT OF APPEALS

FILED

In re E.C., D.C., and G.S.-1 April 13, 2018

EDYTHE NASH GAISER, CLERK

No. 17-1046 (Mingo County 16-JA-65, 66, and 67) SUPREME COURT OF APPEALS

OF WEST VIRGINIA

MEMORANDUM DECISION

Petitioner Father G.S.-2, by counsel Susan J. Van Zant, appeals the Circuit Court of Mingo County's October 25, 2017, order terminating his parental rights to E.C., D.C., and G.S.- 1.1 The West Virginia Department of Health and Human Resources ("DHHR"), by counsel S.L. Evans, filed a response in support of the circuit court's order. The guardian ad litem ("guardian"), Diana Carter Wiedel, filed a response on behalf of the children also in support of the circuit court's order and a supplemental appendix. On appeal, petitioner argues that the circuit court erred in terminating his parental rights without a proper adjudication. This Court has considered the parties' briefs and the record on appeal. The facts and legal arguments are adequately presented, and the decisional process would not be significantly aided by oral argument. Upon consideration of the standard of review, the briefs, and the record presented, the Court vacates the circuit court's October 25, 2017, dispositional order because of its failure to properly adjudicate petitioner as required by West Virginia Code § 49-4-601. Accordingly, this case satisfies the "limited circumstances" requirement of Rule 21(d) of the West Virginia Rules of Appellate Procedure, and a memorandum decision is appropriate to resolve the issues presented. On September 30, 2016, the DHHR filed an abuse and neglect petition against petitioner alleging that G.S.-1 was born prematurely and tested positive at birth for benzodiazepines and marijuana. On October 17, 2016, the circuit court held a preliminary hearing which petitioner waived. Petitioner was granted a pre-adjudicatory improvement period and an adjudicatory hearing was scheduled. On November 16, 2016, the circuit court held a hearing that was referred to as an adjudicatory hearing. However, according to the record, no evidence was presented and no findings regarding adjudication were made. On March 15, 2017, the guardian brought to the circuit court's attention that "this case got a little bit off track" and that petitioner had not yet 1 Consistent with our long-standing practice in cases with sensitive facts, we use initials where necessary to protect the identities of those involved in this case. See *In re K.H.*, 235 W.Va. 254, 773 S.E.2d 20 (2015); *Melinda H. v. William R. II*, 230 W.Va. 731, 742 S.E.2d 419 (2013); *State v. Brandon B.*, 218 W.Va. 324, 624 S.E.2d 761 (2005); *State v. Edward Charles L.*, 183 W.Va. 641, 398 S.E.2d 123 (1990). Additionally, because one of the children and petitioner share the same initials, they will be referred to as G.S.-1 and G.S.-2, respectively, throughout this memorandum decision. 1 been adjudicated as an abusing parent. Nevertheless, hearings were continued several times until, ultimately, the circuit court held a dispositional hearing on August 30, 2017, at which petitioner's parental rights as to all the children were terminated. According to the record, the first time the DHHR presented any testimonial evidence was at the dispositional hearing. It is from the October 25, 2017, dispositional order that petitioner appeals.2 The Court has previously established the following standard of review: "Although conclusions of law reached by a circuit court are subject to de novo review, when an action, such as an abuse and neglect case, is tried upon the facts without a jury, the circuit court shall make a determination based upon the evidence and shall make findings of

fact and conclusions of law as to whether such child is abused or neglected. These findings shall not be set aside by a reviewing court unless clearly erroneous. A finding is clearly erroneous when, although there is evidence to support the finding, the reviewing court on the entire evidence is left with the definite and firm conviction that a mistake has been committed. However, a reviewing court may not overturn a finding simply because it would have decided the case differently, and it must affirm a finding if the circuit court's account of the evidence is plausible in light of the record viewed in its entirety." Syl. Pt. 1, *In Interest of Tiffany Marie S.*, 196 W.Va. 223, 470 S.E.2d 177 (1996). Syl. Pt. 1, *In re Cecil T.*, 228 W.Va. 89, 717 S.E.2d 873 (2011). In his sole assignment of error, petitioner argues that the circuit court erred in terminating his parental rights because it never properly adjudicated him of abuse and/or neglect. The record shows, however, that petitioner did not object to this issue below, thus requiring the application of a plain error analysis.³ "The 'plain error' doctrine grants appellate courts, in the interest of justice, the authority to notice error to which no objection has been made." *State v. Miller*, 194 W.Va. 3, 18, 459 S.E.2d 114, 129 (1995). To satisfy the "plain error" standard to allow appellate review of unpreserved errors, the appellate court must find: "(1) an error; (2) that is plain; (3) that affects substantial rights; and (4) seriously affects the fairness, integrity, or public reputation of the judicial proceedings." *Id.* at 7, 459 S.E.2d at 118, Syl. Pt. 7, in part. We find that this case meets these elements. This Court has previously held that 2 The mother's parental rights were also terminated. According to the DHHR and the guardian, the children are currently placed in foster homes and the permanency plan is adoption therein. 3 On appeal, petitioner failed to phrase his assignment of error "in such a fashion to alert the Court to the fact that plain error is asserted" per Rule 10(c)(3) of the West Virginia Rules of Appellate Procedure. However, under that rule, this Court has the discretion and jurisdiction to review this issue under the plain error doctrine. 2 "[i]n a child abuse and neglect hearing, before a court can begin to make any of the dispositional alternatives under [West Virginia Code § 49-4-604] it must hold a hearing under [West Virginia Code § 49-4-601] and determine 'whether such child is abused or neglected.' Such finding is a prerequisite to further continuation of the case." Syl. Pt. 1, *State v. T.C.*, 172 W.Va. 47, 303 S.E.2d 685 (1983)(emphasis added). Here, it is apparent that an adjudicatory hearing was scheduled, but it was continued several times, until, ultimately, the circuit court proceeded to disposition without ever holding the hearing or taking evidence pertaining to adjudication. Upon a review of the record, it is clear that the DHHR presented no evidence to meet its burden of proof for establishing abuse and/or neglect and no such findings based upon the conditions existing at the time of the filing of the petition were made by the circuit court as required by West Virginia Code § 49-4-601.⁴ On appeal, the DHHR acknowledges that the record does not show that petitioner was adjudicated as an abusing parent, and further acknowledges that he must be adjudicated in order for his parental rights to be properly terminated. Likewise, the guardian admits that "there were some procedural issues in this matter" and that any adjudication of petitioner, if the circuit court had ruled on the issue, would have been a mistake because there was no evidence to support it. As such, we find that the circuit

court's failure to adjudicate petitioner constitutes a plain error that affected petitioner's substantial rights and seriously affected the fairness, integrity, and public reputation of the proceedings. Simply put, the DHHR must be required to satisfy its burden of proof in all child abuse and neglect proceedings and parents must be afforded the right to meet such evidence. We have held that [w]here it appears from the record that the process established by the Rules of Procedure for Child Abuse and Neglect Proceedings and related statutes for the disposition of cases involving children adjudicated to be abused or neglected has been substantially disregarded or frustrated, the resulting order of disposition will be vacated and the case remanded for compliance with that process and entry of an appropriate dispositional order. Syl. Pt. 5, *In re Edward B.*, 210 W. Va. 621, 624, 558 S.E.2d 620, 623 (2001). For the foregoing reasons, we vacate the circuit court's October 25, 2017, dispositional order and remand the matter, with instructions for the circuit court to forthwith hold an 4 West Virginia Code § 49-4-601(i) provides, in part, that [a]t the conclusion of the adjudicatory hearing, the court shall make a determination based upon the evidence and shall make findings of fact and conclusions of law as to whether the child is abused or neglected and whether the respondent is abusing, neglecting, or, if applicable, a battered parent, all of which shall be incorporated into the order of the court. The findings must be based upon conditions existing at the time of the filing of the petition and proven by clear and convincing evidence. 3 adjudicatory hearing in regard to petitioner, and for further proceedings consistent with the West Virginia Rules of Procedure for Child Abuse and Neglect Proceedings and Chapter 49 of the West Virginia Code.⁵ The circuit court is further directed to conclude the matter by entry of a final order within sixty days of the issuance of the Court's mandate. The Clerk is directed to issue the mandate contemporaneously herewith. Vacated and remanded. ISSUED: April 13, 2018 CONCURRED IN BY: Chief Justice Margaret L. Workman Justice Robin Jean Davis Justice Menis E. Ketchum Justice Allen H. Loughry II Justice Elizabeth D. Walker 5 Further, based on the representations of respondents and the record on appeal, the Court finds that the children's current temporary placement is appropriate and in their best interests at this time. Accordingly, we instruct the circuit court to maintain this temporary placement until such time as the circuit court is tasked with determining an appropriate permanent placement for the children pursuant to West Virginia Code § 49-4-604(b) and the applicable Rules of Procedure for Child Abuse and Neglect Proceedings. 4