

## SUPREME COURT OF SOUTH CAROLINA

# South Carolina Retirement System Investment Commission v. Loftis

402 S.C. 382 (2013) · Decided April 17, 2013

### SUMMARY

The South Carolina Supreme Court dismissed as moot a petition for a writ of mandamus seeking to compel the custodian of the South Carolina Retirement Systems Group Trust to authorize funding of a specific private-equity investment. The court held that the custodian's authorization of the transfer left no act for the court to order and declined to consider broader relief concerning future investments.

### CASE DETAILS

|                       |                                                                                                                                                                                                                                                                                              |
|-----------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| COURT                 | Supreme Court of South Carolina                                                                                                                                                                                                                                                              |
| WRITING FOR THE COURT | Chief Justice Toal; Justice Beatty; Justice Kittredge; Justice Hearn; Acting Justice James E. Moore                                                                                                                                                                                          |
| JURISDICTION          | South Carolina                                                                                                                                                                                                                                                                               |
| DECIDED               | April 17, 2013                                                                                                                                                                                                                                                                               |
| DISPOSITION           | dismissed                                                                                                                                                                                                                                                                                    |
| PROCEDURAL POSTURE    | The South Carolina Retirement System Investment Commission petitioned the Supreme Court of South Carolina in its original jurisdiction for a writ of mandamus compelling the custodian of the South Carolina Retirement Systems Group Trust to authorize funding of a particular investment. |
| PRECEDENTIAL VALUE    | published opinion                                                                                                                                                                                                                                                                            |
| PARTIES               | South Carolina Retirement System Investment Commission v. Curtis M. Loftis, Jr., in his capacity as custodian of the South Carolina Retirement Systems Group Trust                                                                                                                           |

### TOPICS

[civil procedure](#) [remedies](#) [equitable relief](#) [trust administration](#)

### PRACTICE AREAS

[civil procedure](#) [mandamus](#) [mootness](#) [equitable relief](#) [trust administration](#)

### QUESTIONS PRESENTED

1. Whether the Commission's petition for a writ of mandamus became moot after Loftis authorized the specific transfer of funds sought in the petition.
2. Whether the court should issue prospective injunctive relief directing the custodian to follow Commission directives concerning future investments despite completion of the particular act requested.

## HOLDINGS

1. The petition for a writ of mandamus was moot because Loftis performed the precise act the Commission sought to compel—authorizing funding of the Warburg Pincus Fund XI investment—and no effective relief remained for the court to order.
2. The court declined to issue prospective injunctive relief directing Loftis to comply with Commission directives concerning future investments because the petition sought only an order concerning the completed Warburg Pincus Fund XI transfer and presented no remaining justiciable controversy.

## KEY QUOTATIONS

*“A case is moot where a judgment rendered by the Court will have no practical legal effect upon an existing controversy because an intervening event renders any grant of effectual relief impossible for the Court.”* (402 S.C. at 384)

*“In our view, once Loftis agreed to perform the precise act sought in the petition for a writ of mandamus by authorizing the funding of the investment in Warburg Pincus Fund XI, there was simply nothing left for the Court to order, and it is now impossible for this Court to issue a writ of mandamus compelling Loftis to perform the act in question.”* (402 S.C. at 385)

## FACTUAL BACKGROUND

The Commission sought to compel Curtis M. Loftis, as statutory custodian of the South Carolina Retirement Systems Group Trust, to authorize the transfer of funds for the Warburg Pincus Private Equity XI, L.P. investment. Loftis authorized the transfer on April 15, 2013, after receiving information he had requested from the Commission. At oral argument, the parties agreed that the investment had been funded, although the Commission argued that the case remained live because of Loftis's alleged misunderstanding of his legal authority and sought prospective relief concerning future investments.

## PROCEDURAL HISTORY

The Commission sought expedited mandamus relief requiring Loftis to authorize funding of the Warburg Pincus Private Equity XI, L.P. investment. Loftis authorized the transfer before filing his return, and the parties conceded at oral argument that the investment had been funded. The court held that the requested act had been performed, rendering the petition moot, and dismissed it.

## DISPOSITION

dismissed

## CASES CITED

- Ex parte Doe, 393 S.C. 147, 151, 711 S.E.2d 892, 894 (2011)
- Sloan v. Friends of the Hunley, Inc., 369 S.C. 20, 26, 630 S.E.2d 474, 477 (2006)
- Sloan v. Greenville County, 361 S.C. 568, 572, 606 S.E.2d 464, 467 (2004)
- Miller v. State, 377 S.C. 99, 659 S.E.2d 492 (2008)
- Nelson v. Ozmint, 390 S.C. 432, 434-35, 702 S.E.2d 369, 370 (2010)

## OPINION

### PER CURIAM.

PER CURIAM. This Court accepted the petition of the South Carolina Retirement Investment Commission (the Commission) in its Original Jurisdiction to determine whether the Commission was entitled to a writ of mandamus requiring respondent, Curtis M. Loftis, Jr., in his capacity as custodian of the South Carolina Retirement Systems Group Trust, to authorize the funding of the Warburg Pincus Private Equity XI, L.P. investment (Warburg Pincus Fund XI). The Court also agreed to expedite the matter based on the Commission's assertion that • the failure to fund the investment by April 16, 2013, would cause the Commission to default on its contractual obligations and result in severe financial penalties. \*384Loftis filed a return to the petition on April 15, 2013, stating he authorized the transfer of funds the morning of April 15 because information he had previously requested from the Commission, and which he maintained was a necessary prerequisite to authorizing the transfer of funds, had been submitted to the Court along with the Commission's attachments to its petition for a writ of mandamus.

Loftis contended the action had been rendered moot because he performed the sole action the Commission requested this Court mandate he perform: authorizing the transfer of funds to Warburg Pincus Fund XI. At oral argument, the parties conceded that funding for the Warburg Pincus Fund XI investment has occurred. Nevertheless, the Commission maintains Loftis' decision to fund the investment does not moot this matter in its entirety based on Loftis' alleged misapprehension of his legal authority in his position as custodian of the South Carolina Retirement Systems Group Trust.

The Commission argues the Court should consider injunctive relief to direct the custodian to follow Commission directives to fund future investments. We disagree. A case is moot where a judgment rendered by the Court will have no practical legal effect upon an existing controversy because an intervening event renders any grant of effectual relief impossible for the Court.

Ex parte Doe, 393 S.C. 147, 151, 711 S.E.2d 892, 894 (2011)(quoting Sloan v. Friends of the Hunley, Inc., 369 S.C. 20, 26, 630 S.E.2d 474, 477 (2006)); Sloan v. Greenville Cnty., 361 S.C. 568, 572, 606 S.E.2d 464, 467 (2004). Where there is no actual controversy, this Court will not decide moot or academic questions. Sloan v. Friends of the Hunley, id. at 26, 630 S.E.2d at 477.

Generally, in mandamus cases, the performing of the act by the individual moots the case. See *Miller v. State*, 377 S.C. 99, 659 S.E.2d 492 (2008) (declining to issue a writ of mandamus to compel the clerk of court to accept a habeas petition because, among other things, petitioner's 2004 habeas petition became moot upon petitioner's release from prison). There are exceptions to this rule.

E.g. *Nelson v. Ozmint*, 390 S.C. 432, 434-35, 702 S.E.2d 369, 370 (2010) (declining to dismiss the petition for a writ of mandamus as moot because \*385the issue was capable of repetition but generally will evade review).

However, we believe the unique facts of this case render this matter moot. Here, the Commission sought a writ of mandamus compelling Loftis, as the statutory custodian of the Retirement Systems Group Trust, to authorize a transfer of funds to Warburg Pincus Fund XI. Indeed, the entire content of the Commission's petition and its attachments concern the funding of this particular investment.

In our view, once Loftis agreed to perform the precise act sought in the petition for a writ of mandamus by authorizing the funding of the investment in Warburg Pincus Fund XI, there was simply nothing left for the Court to order, and it is now impossible for this Court to issue a writ of mandamus compelling Loftis to perform the act in question. See *Sloan v. Friends of the Hunley*, id. at 26, 630 S.E.2d at 477; see also 52 Am.Jur.2d Mandamus § 44 (West 2011) (a writ of mandamus should not issue in anticipation that a party will refuse to perform his or her duty when time comes).

Accordingly, we dismiss the petition for a writ of mandamus as moot. DISMISSED. TOAL, C.J., BEATTY, KITTREDGE, HEARN, JJ., and Acting Justice JAMES E. MOORE, concur.