

SUPREME COURT OF DELAWARE

Ryan v. State

791 A.2d 742 (Del. 2002) · No. No. 26, 2001 · Decided February 22, 2002

SUMMARY

The Delaware Supreme Court held that a person discharged from probation before judgment under 11 Del. C. § 4218 is eligible to seek expungement under 11 Del. C. § 4372 because the discharge results in dismissal of the case. The court reversed and remanded for the Superior Court to consider whether expungement should be granted under the manifest-injustice standard.

CASE DETAILS

COURT	Supreme Court of Delaware
WRITING FOR THE COURT	Berger, Justice; Veasey, Chief Justice; Walsh, Justice; Holland, Justice; Steele, Justice
JURISDICTION	Delaware
DECIDED	February 22, 2002
DOCKET	No. 26, 2001
DISPOSITION	reversed_and_remanded
PROCEDURAL POSTURE	Ryan appealed the Superior Court's denial of his petition to expunge his arrest record following his successful completion of probation before judgment.
STANDARD OF REVIEW	The court applied de novo review to the statutory eligibility question, determining whether the applicable statutes unambiguously permitted expungement after discharge from probation before judgment.
PRECEDENTIAL VALUE	Published Delaware Supreme Court opinion; precedential.
PARTIES	Eric E. Ryan v. State of Delaware

TOPICS

- criminal procedure
- remedies
- statutory interpretation
- appellate procedure

PRACTICE AREAS

- criminal procedure
- expungement
- statutory interpretation
- appellate procedure

QUESTIONS PRESENTED

1. Whether a defendant who pleads guilty and is discharged from probation before judgment under 11 Del. C. § 4218 is eligible to petition for expungement under 11 Del. C. § 4372(a)(2).
2. Whether the five-year limitation associated with use of probation before judgment bars the defendant from seeking expungement.

HOLDINGS

1. A person discharged from probation before judgment under 11 Del. C. § 4218 is eligible to seek expungement under 11 Del. C. § 4372 because discharge results in dismissal of the case, and dismissal is an express statutory category eligible for expungement.
2. The five-year restriction on the use of probation before judgment did not bar Ryan from seeking expungement because the expungement statute contains no corresponding five-year waiting period.

KEY QUOTATIONS

“We hold that an offender whose probation before judgment is discharged is eligible to have his criminal record expunged because, when the probation is discharged, the case is dismissed.” (743)

“While Ryan may not be “innocent in fact,” his discharge from probation renders him innocent as a matter of law and results in a dismissal, which is one of the categories of cases expressly eligible for expungement.” (745)

FACTUAL BACKGROUND

On February 12, 2000, a police officer observed Eric E. Ryan carrying an open can of beer and arrested him after Ryan stated that he was not yet 21 and after a portable Breathalyzer test. Ryan pleaded guilty to underage possession of alcohol in exchange for the officer's agreement not to oppose probation before judgment. Ryan completed the required payment and other conditions, and the Alderman's Court entered an order dismissing the case. He then petitioned for expungement, asserting that the record could interfere with a prospective career in law enforcement or law.

PROCEDURAL HISTORY

Ryan pleaded guilty to underage possession of alcohol and received probation before judgment under 11 Del. C. § 4218. After he satisfied the conditions, the Alderman's Court dismissed the case. The Superior Court denied Ryan's petition for expungement, reasoning that he was not eligible until five years after being sentenced to probation before judgment. The Supreme Court of Delaware reversed and remanded.

DISPOSITION

reversed_and_remanded

REMAND INSTRUCTIONS

The Superior Court was directed to consider Ryan's petition for expungement under the discretionary manifest-injustice standard in 11 Del. C. § 4373(a), which it had not reached after finding Ryan ineligible.

CASES CITED

- Spielberg v. State, 558 A.2d 291, 293 (Del. 1989)
- State v. Skinner, 632 A.2d 82 (Del. 1993)
- Hechinger v. State, 707 A.2d 766, 1998 WL 138932 (Del. Supr.)

OPINION

BERGER, J.

791 A.2d 742 (2002) Eric E. RYAN, Petitioner Below, Appellant, v. STATE of Delaware, Respondent Below, Appellee. No. 26, 2001. Supreme Court of Delaware. Submitted: November 14, 2001. Decided: February 22, 2002. Christopher D. Tease, Wilmington, for Appellant. John Williams, Department of Justice, Dover, for Appellee.

Before VEASEY, Chief Justice, WALSH, HOLLAND, BERGER and STEELE, Justices, constituting the Court en Banc. *743 BERGER, Justice: In this appeal, we consider whether a person who is sentenced to probation before judgment is eligible to have his record expunged. By statute, a person who is acquitted, or whose criminal charges are nolle prossed or dismissed, may petition for the expungement of all police and court records relating to the charges.

Another statute allows certain offenders to plead guilty and receive "probation before judgment." After successfully completing the terms of such probation, the offender is discharged without judgment of conviction.

We hold that an offender whose probation before judgment is discharged is eligible to have his criminal record expunged because, when the probation is discharged, the case is dismissed. Thus, the discharged case expressly falls within one of the categories of cases eligible for expungement.

I. Factual and Procedural Background On February 12, 2000, a police officer observed Eric E. Ryan and a companion, each carrying an open can of beer, walking on South College Avenue, Newark, Delaware. When asked about his age, Ryan stated that he would turn 21 in two weeks. Based on that statement and the portable Breathalyzer test results, the officer arrested Ryan and charged him with underage possession of alcohol in violation of Chapter 25, Section 19-5 of the Newark City Code.

At his trial in April 2000, Ryan agreed to plead guilty as charged in exchange for the arresting officer's agreement not to oppose Ryan's request for probation before judgment pursuant to 11 Del. C. § 4218. The Alderman's Court entered a Probation Before Judgment Sentencing Order that required Ryan to pay a fine, court costs, and an assessment before July 3, 2000, and to appear in court on that day to provide proof of compliance.

Ryan satisfied the conditions of the sentencing order and the court entered an order dismissing the case. A few weeks later, Ryan petitioned the Superior Court for expungement of his arrest record pursuant to 11 Del. C. § 4372(a)(2).

In the petition, Ryan alleged that he is considering a career in law enforcement or law; that he has no other criminal record; and that the criminal record relating to the underage possession of alcohol charge likely will hinder his efforts to pursue his career.

The State opposed Ryan's petition, arguing that Ryan was not eligible to seek expungement for five years after being sentenced to probation before judgment. The Superior Court accepted the State's argument and denied Ryan's petition with leave to refile in five years.[1] This appeal followed. II. Discussion It is settled law that, if a statute is unambiguous, no interpretation is necessary and the plain meaning of the language in the statute controls.[2] The expungement statute, 11 Del.

C. § 4372(a), provides in relevant part: (a) If a person is charged with the commission of a crime and (1) Is acquitted; or (2) A nolle prosequi is taken, or the charge is otherwise dismissed, the *744 person may file a petition setting forth the relevant facts and requesting expungement of the police records, and the court records relating to the charge. Ryan pled guilty to underage possession of alcohol under the probation before judgment statute, 11 Del.

C. § 4218, which provides in part: (a) Subject to the limitations set forth in this section, for [specified offenses] a court exercising criminal jurisdiction after accepting a guilty plea or nolo contendere plea may, with the consent of the defendant and the State, stay the entry of judgment, defer further proceedings, and place the defendant on "probation before judgment" subject to such reasonable terms and conditions as may be appropriate.... * * * (f) Upon fulfillment of the terms and conditions of probation before judgment, the Court shall enter an order discharging the person from probation....

The discharge is the final disposition of the matter. Discharge of a person under this section shall be without judgment of conviction and is not a conviction for purposes of any disqualification or disability imposed by law because of conviction of a crime.

After Ryan completed the terms of his probation, the court entered an order stating that "the case is hereby dismissed." Since the expungement statute expressly states that it applies to cases where the charges were dismissed, and since Ryan's criminal charge was dismissed, it might seem beyond dispute that Ryan is eligible to seek expungement. But the State points out that the purpose of the expungement statute, as stated in 11 Del.

C. § 4371, is "to protect innocent persons from unwarranted damage which may occur as a result of arrest and other criminal proceedings which are unfounded or unproven." Since Ryan pled

guilty, it is difficult to classify him as an "innocent person" whose criminal charges were unfounded or unproven.

Moreover, in *State v. Skinner*[3], this Court held that a person who has been pardoned is not eligible to seek expungement. The State reads *Skinner* as authority for its position that expungement is only available to those who are, in fact, innocent.

We disagree. This Court held that, although *Skinner's* pardon forgave the prior conviction, it did not erase the fact that *Skinner* was convicted. Having decided that a pardon is not the equivalent of an acquittal, *nolle prosequi* or dismissal, the Court concluded that *Skinner* was ineligible to seek expungement: Delaware's expungement statute permits expungement of records only for arrests resulting in acquittal, *nolle prosequi* or other dismissal.

Skinner, because of his conviction, falls outside the specific category of persons expressly entitled to expungement. *Skinner's* pardon, while restoring his civil rights, does not "blot out the existence of guilt." Thus, *Skinner* is not within the class of persons the legislature sought to protect through the expungement statute.[4] The successful completion of probation before judgment, by contrast, does "blot out the existence of guilt."

While *Ryan* may not be "innocent in fact," his discharge from probation renders him innocent as a matter of law and results in a dismissal, which is one of the categories of cases expressly eligible for expungement. Accordingly, we hold that a person who is *745 discharged from probation before judgment pursuant to 11 Del. C. § 4218 is eligible to seek expungement pursuant to 11 Del.

C. § 4372. Finally, we note that 11 Del. C. § 4373(a) vests discretion in the trial judge to determine whether "the continued existence and possible dissemination of information relating to the arrest of the petitioner causes, or may cause, circumstances which constitute a manifest injustice to the petitioner...."[5] It does not appear that the trial court considered whether expungement should be ordered, since it decided that *Ryan* was not eligible.

We express no position on this issue. III. Conclusion Based on the foregoing, this matter is reversed and remanded to the Superior Court for its consideration of *Ryan's* petition for expungement. NOTES [1] The State now concedes that its argument to the trial court was incorrect.

Although the probation before judgment statute may only be used once every five years, there is no reason that the five year restriction should affect one's ability to seek expungement, as the expungement statute contains no time limits.

[2] *Spielberg v. State*, 558 A.2d 291, 293 (Del. 1980). [3] 632 A.2d 82 (Del.1993). [4] 632 A.2d at 87. [5] *Hechinger v. State*, 707 A.2d 766, 1998 WL 138932 (Del.Supr.)

