

SUPREME COURT OF ALABAMA

Ex parte Michael Brown (In re Michael Brown v. State of Alabama)

11 So. 3d 933 (Ala. 2008) · No. 1061663 · Decided October 3, 2008

SUMMARY

The Supreme Court of Alabama affirmed Michael Brown’s capital-murder conviction and death sentence. The court assumed, without deciding, that admitting a detective’s testimony about an unavailable witness’s out-of-court statements may have been erroneous under the Confrontation Clause and the doctrine of curative admissibility. Because Brown did not object at trial and the evidence of guilt was overwhelming, the court held that any error did not constitute plain error.

CASE DETAILS

COURT	Supreme Court of Alabama
WRITING FOR THE COURT	Lyons, Justice; Lyons; See; Woodall; Stuart; Smith; Bolin; Parker; Murdock
JURISDICTION	Alabama
DECIDED	October 3, 2008
DOCKET	1061663
DISPOSITION	affirmed
PROCEDURAL POSTURE	Brown petitioned the Supreme Court of Alabama for certiorari review of the Court of Criminal Appeals' affirmance of his capital-murder convictions and death sentence. The Supreme Court granted review on whether testimonial out-of-court statements were admissible under curative admissibility, but affirmed without deciding that merits issue because any error did not constitute plain error.
STANDARD OF REVIEW	Pure questions of law in criminal cases are reviewed de novo. Because Brown failed to object to the challenged testimony, the Supreme Court reviewed for plain error under Rule 45A, Ala. R. App. P.; plain-error review is stricter than review of preserved claims.
PRECEDENTIAL VALUE	Published opinion; precedential authority of the Supreme Court of Alabama.
PARTIES	Michael Brown v. State of Alabama

TOPICS

criminal procedure

suppression of evidence

sixth amendment

appellate procedure

harmless error

PRACTICE AREAS

criminal procedure

evidence

constitutional criminal procedure

appellate procedure

QUESTIONS PRESENTED

1. Whether the admission of Robert Smith's testimonial out-of-court statements violated Brown's constitutional right to confront witnesses under Crawford v. Washington.
2. Whether the Court of Criminal Appeals correctly applied the doctrine of curative admissibility to permit testimony about Smith's statements after defense counsel's cross-examination of the investigating detective.
3. Whether, assuming the challenged testimony was inadmissible, its admission constituted plain error requiring reversal.

HOLDINGS

1. The court did not decide whether the challenged testimony was admissible under Crawford or the doctrine of curative admissibility because, even assuming it was inadmissible, the error could not constitute plain error.
2. Even assuming that the admission of the detective's testimony concerning Smith's statements was erroneous, it was harmless and did not rise to the level of plain error.

KEY QUOTATIONS

"The plain-error doctrine applies only if the error is 'particularly egregious' and if it 'seriously affect[s] the fairness, integrity or public reputation of judicial proceedings.'" (11 So. 3d at 936)

"Therefore, under all the circumstances, any error in the admission of Hagler's testimony regarding Smith's statements would be harmless and would not constitute plain error." (11 So. 3d at 940-941)

FACTUAL BACKGROUND

Betty Kirkpatrick was found strangled and with her throat cut in her mobile home; her purse and gold Ford Thunderbird were missing. Multiple witnesses testified that Brown drove the Thunderbird and admitted killing Kirkpatrick, including statements that he attempted to choke her, cut her throat with a kitchen knife, and wrapped the knife handle with a paper towel. Forensic testing found blood on the paper towel consistent with Brown's blood, although Brown could not be excluded as the donor. At trial, a detective also testified on redirect about Robert Smith's out-of-court statements denying involvement and implicating Brown.

PROCEDURAL HISTORY

Brown was convicted in the trial court of two counts of capital murder and sentenced to death after the jury recommended death by an 11-1 vote. The Alabama Court of Criminal Appeals unanimously affirmed the conviction and sentence. The Supreme Court of Alabama granted certiorari to review the admission of

statements made by Robert Smith, but held that, even assuming the statements were inadmissible, their admission was harmless and did not constitute plain error.

DISPOSITION

affirmed

CASES CITED

- Brown v. State, [Ms. CR-04-0293, June 29, 2007] ____ So. 3d ____ (Ala. Crim. App. 2007)
- Ex parte Morrow, 915 So. 2d 539, 541 (Ala. 2004)
- Ex parte Key, 890 So. 2d 1056, 1059 (Ala. 2003)
- United States v. Young, 470 U.S. 1, 15 (1985)
- Ex parte Price, 725 So. 2d 1063, 1072 (Ala. 1998)
- Hall v. State, 820 So. 2d 113, 121-22 (Ala. Crim. App. 1999)
- Ex parte Walker, 972 So. 2d 737, 742-43 (Ala. 2007)
- Ex parte D.L.H., 806 So. 2d 1190, 1193 (Ala. 2001)
- Varner v. State, 497 So. 2d 1135, 1138 (Ala. Crim. App. 1986)
- Crawford v. Washington, 541 U.S. 36, 59, 68-69 (2004)
- United States v. Frady, 456 U.S. 152, 163 & n.14 (1982)
- United States v. Atkinson, 297 U.S. 157, 160 (1936)
- Ex parte Hodges, 856 So. 2d 936, 947-48 (Ala. 2003)
- Ex parte Bryant, 951 So. 2d 724, 727 (Ala. 2006)
- Hyde v. State, 778 So. 2d 199, 209 (Ala. Crim. App. 2000)
- Ex parte T.D.T., 745 So. 2d 899, 906 (Ala. 1999)

OPINION

LYONS, J.

11 So. 3d 933 (2008) Ex parte Michael BROWN (In re Michael Brown v. State of Alabama). 1061663. Supreme Court of Alabama. October 3, 2008. Rehearing Denied December 19, 2008. *934 Bryan A. Stevenson and Angela L. Setzer of Equal Justice Initiative of Alabama, Montgomery, for petitioner. Troy King, atty. gen., and Jasper B. Roberts, Jr., asst. atty. gen., for respondent.

LYONS, Justice. Michael Brown was convicted of two counts of capital murder for the killing of Betty Kirkpatrick. The murder was made capital because it was committed during the course of a robbery and a burglary. The jury recommended by a vote of 11-1 that Brown be sentenced to death, and the trial court followed the jury's recommendation. *935 Brown appealed.

The Court of Criminal Appeals unanimously affirmed Brown's conviction and sentence. *Brown v. State*, [Ms. CR-04-0293, June 29, 2007] ___ So.3d ___ (Ala.Crim.App.2007). Brown petitioned this Court for certiorari review; we granted his petition to review one issue: whether the Court of Criminal Appeals correctly concluded that certain out-of-court statements were admissible under the doctrine of curative admissibility.

For the reasons discussed below, we need not decide that issue to affirm the judgment of the Court of Criminal Appeals. I. Facts and Procedural History The following facts are from the Court of Criminal Appeals' opinion: "The State's evidence tended to show the following.

On October 12, 2001, Ricky Kirkpatrick and his wife discovered the body of his 65-year-old mother, Betty Kirkpatrick, in her mobile home in Hueytown. Her head was covered with a plastic bag and her throat had been cut. A knife and a paper towel were lying on her chest. Betty Kirkpatrick's purse and her gold 1986 Ford Thunderbird automobile were missing.

The forensic pathologist testified that Betty Kirkpatrick died of 'asphyxia by strangulation and smothering.' (R. 431.) She also had bruises on her face and hands that, he said, were caused by blunt-force trauma. "Several witnesses testified that they saw Brown driving a gold Thunderbird around the time of the murder. Alisha Spindlow testified that she saw Brown driving a gold Thunderbird and that he told her that he had killed Betty Kirkpatrick.

Another individual, Kevin Clayton, testified that he saw Brown two days after the murder, that he was driving a gold Thunderbird, and that he told him that he got the car from a lady and the car would not be 'hot' until the lady's body was discovered. Kelly Watkins said that Brown was driving a gold Thunderbird around the time of the murder and that he told her that he had killed the lady who owned it.

Watkins said that Brown told her that he had tried to choke the victim but she would not die so he cut her throat with a knife he got from the kitchen of her house. "Forensic tests were also conducted on the blood-stains found on the paper towel discovered on Betty Kirkpatrick's chest. Carl Mauterer, a forensic scientist with the Alabama Department of Forensic Sciences, testified that one stain was tested and found to be consistent with Brown's bloodBrown could not be excluded as the donor.

"Detective Charles Hagler also testified that Brown told him that he went to Betty Kirkpatrick's mobile home with three other individuals, Robert Smith, Kevin Clayton (who testified at Brown's trial), and Moses Smiley, to rob Betty Kirkpatrick but that Robert Smith killed Kirkpatrick." Brown, ___ So.3d at ___. II. Standard of Review "This Court reviews pure questions of law in criminal cases de novo." *Ex parte Morrow*, 915 So.

2d 539, 541 (Ala. 2004) (quoting *Ex parte Key*, 890 So. 2d 1056, 1059 (Ala.2003)). However, because Brown was sentenced to death, the Court of Criminal Appeals reviewed the proceedings

for plain error. "Plain error is defined as error that has `adversely affected the substantial right of the appellant.'

The standard of review in reviewing a claim under the plain-error doctrine is stricter than the standard used in reviewing an issue that was properly raised in the trial court or on appeal. As the United States Supreme Court stated in *United States v. Young*, 470 U.S. 1, 105 S. Ct. 1038, 84 L. Ed. 2d 1 (1985), the plain-error doctrine applies only if the error is `particularly egregious' and if it `seriously affect[s] the fairness, integrity or public reputation of judicial proceedings.'

See *Ex parte Price*, 725 So. 2d 1063 (Ala. 1998), cert. denied, 526 U.S. 1133, 119 S. Ct. 1809, 143 L. Ed. 2d 1012 (1999)." *Hall v. State*, 820 So. 2d 113, 121-22 (Ala. Crim.App.1999) (additional citations omitted). See also *Ex parte Walker*, 972 So. 2d 737, 742-43 (Ala.2007). III. Analysis In his petition for a writ of certiorari, Brown argues that the admission of out-of-court statements at his trial violated his right to cross-examination and that the statements were not admissible under the doctrine of curative admissibility.

Brown states that the State's theory of the case is that he acted alone in robbing and killing the victim, while the defense theory is that, although Brown was present at the scene, he did not kill Kirkpatrick. Instead, Brown said, three people in addition to him were at the scene, and one of them, Robert Smith, killed her. Brown states that the defense theory of the case was supported by the State's evidence in that his prints did not match any of the readable prints from the victim's car; DNA from a cigarette found in the car excluded both the victim and Brown; and, of two DNA samples collected from the blood on a paper towel found at the murder scene, one excluded Brown and the other included the DNA of at least two individuals, although it did not exclude Brown.

During the testimony of the lead investigative officer, Detective Charles Hagler, the prosecutor, without objection from Brown, elicited evidence that Smith had made out-of-court statements denying his involvement in the crime and implicating Brown. Brown argues that, because he had had no opportunity to cross-examine Smith, his constitutional right to confront witnesses was violated.

Brown relies upon *Crawford v. Washington*, 541 U.S. 36, 59, 124 S. Ct. 1354, 158 L. Ed. 2d 177 (2004), which holds that "[t]estimonial statements of witnesses absent from trial have been admitted only where the declarant is unavailable, and only where the defendant has had a prior opportunity to cross-examine."

The Court of Criminal Appeals concluded that the content of Smith's statements was admissible under the doctrine of curative admissibility, reasoning that defense counsel's cross-examination of Hagler opened the door for the prosecutor, on redirect, to elicit the content of Smith's out-of-court statements implicating Brown in the murder. Brown argues that Hagler's testimony regarding Smith's statements violated *Crawford*, in which the United States Supreme Court held that "

[w]here testimonial statements are at issue, the only indicium of reliability sufficient to satisfy constitutional demands is the one the Constitution actually prescribes: confrontation."

541 U.S. at 68-69, 124 S. Ct. 1354. Therefore, Brown argues, the Court of Criminal Appeals erred in admitting Smith's statements under the doctrine of curative admissibility. Brown states that in response to the prosecutor's questions Hagler testified that Smith adamantly stated that he was not involved in Kirkpatrick's murder, gave him names of people he was with on the night she was murdered, and specifically implicated Brown in the murder.

The admission of these statements, Brown insists, violated his right to cross-examine Smith because, he says, Smith's statements were clearly testimonial, the State failed to establish that Smith was an unavailable witness, *937 and the defense had had no prior opportunity to cross-examine Smith.

The Court of Criminal Appeals concluded: "Here, the defense counsel implied on cross-examination that Det. Hagler acted irresponsibly in not investigating Robert Smith. To rebut the matters that were presented on cross-examination the State had a right to question Det. Hagler so that Det. Hagler could explain his actions during the course of the investigation.

Under the caselaw cited above, we hold that there was no error, much less plain error." Brown, ___ So.3d at ___. Brown argues that the above holding by the Court of Criminal Appeals conflicts with Alabama cases establishing that the doctrine of curative admissibility is applicable only where the opposing party has introduced illegal or otherwise inadmissible evidence.

See, e.g., *Ex parte D.L.H.*, 806 So. 2d 1190, 1193 (Ala.2001); and *Varner v. State*, 497 So. 2d 1135, 1138 (Ala.Crim.App.1986). In this case, Brown says, the subject of Hagler's investigation was never a forbidden area of inquiry for either party, being the primary topic of his testimony during the State's direct examination; therefore, Brown says, it was not necessary for defense counsel to "open the door" on cross-examination in order for the investigation to be an appropriate subject on redirect.

Brown alleges that defense counsel's questioning of Hagler was a direct response to the State's examination and thus was classic impeachment. Specifically, Brown says he never elicited testimony from Hagler as to what Smith told him during questioning and thus never opened the door to such testimony on redirect by the prosecutor. Brown argues that it would have been proper for the State to elicit testimony from Hagler that he had investigated and questioned Smith and later determined that he was not a suspect without introducing Smith's statements implicating Brown in the offense, but that the State was not entitled to rebut defense counsel's effort to impugn the sufficiency of Hagler's investigation by introducing the statements of other suspects.

According to Brown, the statements at issue in this case, like those in *Crawford*, directly undermined the defense theory and thus were severely prejudicial to Brown. Given that Brown's

defense centered around the possibility that Smith was the party responsible for the murder, Brown maintains that Hagler's testimony as to what Smith said allowed the State to argue in its closing that Brown was solely responsible for Kirkpatrick's death and that there was no evidence to support the defense theory that Smith was involved in the murder.

The Court of Criminal Appeals stated in its opinion that the record shows that during direct examination Hagler testified that Brown told him that he and three other individuals were involved in the robbery but that Smith had committed the murder. He did not mention any statements that Smith had made to police or even mention that Smith had been questioned by police.

During cross-examination, the Court of Criminal Appeals said, defense counsel elicited testimony that Smith had been questioned by police; that his statement had been audiotaped; that Smith had been read his Miranda rights and had signed a waiver-of-rights form; that Hagler had not submitted Smith's fingerprints for comparison with the fingerprints discovered in the victim's car and that when Hagler obtained a warrant for a sample of Brown's blood, he stated in his affidavit supporting the warrant that Brown had stated that he and three others had entered the victim's residence to rob her; that one of the other suspects had choked the victim and cut her throat; and that they then had taken her purse, jewelry, and car.

On redirect, the prosecutor *938 asked Hagler whether Smith said anything to him about being involved in the victim's death, and Hagler answered: "He was adamant that he was not involved. He gave the names of people that he was with... who verified where he was during the night of the evening in question. And he also said that Mr. Brown had made statements about the murder." Brown, ___ So.3d at ___.

The Court of Criminal Appeals then went on to discuss the doctrine of curative admissibility. Because Brown failed to object to the testimony he now challenges, our review is limited to an examination for plain error. See Rule 45A, Ala. R.App. P. Brown argues in his initial brief to this Court that the Court of Criminal Appeals' conclusion that the admission of testimonial out-of-court statements was not error under the doctrine of curative admissibility conflicts with established law.

In its responsive brief, the State argues that this Court need not reach the merits of Brown's argument because, it argues, even if the admission of Hagler's testimony regarding Smith's statements was erroneous, any error was harmless and certainly does not rise to the level of plain error. "To rise to the level of plain error, the claimed error must not only seriously affect a defendant's "substantial rights," but it must also have an unfair prejudicial impact on the jury's deliberations." Ex parte Bryant, 951 So.

2d 724, 727 (Ala.2002) (quoting Hyde v. State, 778 So. 2d 199, 209 (Ala.Crim.App.1998)). In United States v. Young, 470 U.S. 1, 15, 105 S. Ct. 1038, 84 L. Ed. 2d 1 (1985), the United States Supreme Court, construing the federal plain-error rule, stated: "The Rule authorizes the Courts of

Appeals to correct only 'particularly egregious errors,' *United States v. Frady*, 456 U.S. 152, 163 (1982), those errors that 'seriously affect the fairness, integrity or public reputation of judicial proceedings,' *United States v. Atkinson*, 297 U.S. [157], at 160 [(1936)].

In other words, the plain-error exception to the contemporaneous-objection rule is to be 'used sparingly, solely in those circumstances in which a miscarriage of justice would otherwise result.' *United States v. Frady*, 456 U.S., at 163, n. 14." See also *Ex parte Hodges*, 856 So. 2d 936, 947-48 (Ala.2003) (recognizing that plain error exists only if failure to recognize the error would "seriously affect the fairness or integrity of the judicial proceedings," and that the plain-error doctrine is to be "used sparingly, solely in those circumstances in which a miscarriage of justice would otherwise result" (internal quotation marks omitted)).

The State argues that in order to establish that the admission of the testimony was plain error, Brown must show that the admission of Hagler's testimony concerning Smith's statements was "particularly egregious" and "seriously affect[ed] the fairness, integrity or public reputation of [his trial]."

In addition, Brown must establish that the admission of this testimony "had an unfair prejudicial impact on the jury's deliberation." *Ex parte Price*, 725 So. 2d 1063, 1072 (Ala.1998). The State maintains that because it presented overwhelming evidence proving that Brown murdered Kirkpatrick, Brown cannot establish that the admission of Hagler's testimony had any impact on the jury's deliberation.

Assuming, without deciding, that the testimony would have been inadmissible on proper objection, the State's evidence showed that Brown admitted to being in Kirkpatrick's mobile home when the murder occurred and that he drove her car and boasted to his friends how he had *939 killed her. He told a friend that when he was unable to choke the victim, he got a knife from her kitchen and slit her throat but that there would be no fingerprints on the knife because he had wrapped the handle with a paper towel, which was discovered at the murder scene.

The Alabama Department of Forensic Sciences determined that Brown was a possible donor of DNA recovered from the paper towel. The State argues that even without Hagler's testimony about Smith's statements, there is no possibility that the jury would have believed that Smith, and not Brown, was the actual killer.

Because there was sufficient evidence, apart from Hagler's testimony, to show that Brown, not Smith, murdered the victim, the State argues that any error in the admission of Hagler's testimony was harmless beyond a reasonable doubt. See *Ex parte T.D.T.*, 745 So. 2d 899, 906 (Ala.1999) (the erroneous admission of an out-of-court statement "was harmless error because, even without it, the record contains overwhelming evidence of [the defendant's] guilt").

Kevin Clayton, a friend of Brown's, testified as follows: "Q. [By the prosecutor:] Did you have an opportunity to discuss with Michael Brown where he got that gold Thunderbird? "A. Yes, sir. "Q. What did he tell you about it? "A. He had told me he had got it from a lady. At first he told me it was his. "Q.

First he told you it was his Thunderbird; is that right? ".... "A. Yes, sir. "Q. Did he later tell you something different? "A. Yes, sir. "Q. What did he tell you? "A. He told me he had killed somebody for it. ".... "Q. Did Michael Brown ever say anything to you about how he killed the person? "A. Yes, sir. "Q. Tell us what he told you. "A. He had cut her. "Q.

He had cut her. Okay. Did he ever go into any more detail about that? "A. All I remember him saying is that she screamed, and he had cut her. "Q. Okay. Now did he ever strike that. Did you at first believe him when he told you that? "A. No, sir. "Q. Did he say anything to you to make you think that he was serious? "A. Yes, sir. "Q. What did he say? "A.

On the boss. ".... "Q. When we broke, you had said Michael said 'on the boss.' Is that like an oath or like saying 'I swear' or something like that? "A. Yes, sir." Kelly Watkins, who had once dated Brown, testified as follows: "Q. [By the prosecutor:] And when Michael pulled up in this gold Thunderbird, did you ask him about where he got the car or anything like that? "....

"A. I never thought about it because he knew the lady. "Q. What did you think about the car? "A. That she had let him borrow it. "Q. Did you ever have a conversation with him where something else was discussed? "A. Yes, sir. *940 "Q. Okay. How did that first start, if you remember? "A. Well, we was riding in a car, and a song came on the radio. "Q. When you say, 'We were in the car,' who was in the car?

"A. Michael Brown, me, and Kevin [Clayton]. ".... "Q. Okay. Did he say anything about that song? "A. He said that the song was referring to what he had done to Betty, or Grandma, as I knew her. "Q. What did you think when he told you that? "A. I didn't think nothing, 'cause "Q. Did you believe it when he said it? "A. No. "Q. And so you, Michael Brown, and Kevin Clayton are in the car.

Where are y'all going? "A. We was headed to my friend, Catherine's house. ".... "Q. Was anything said when y'all pulled up there? "A. Mike said that he had killed Mike said that he had got him one and made a lick [killed someone]. "Q. Who did he say that to? "A. He told that to Alisha [Spindlow] and Catherine. "Q. Did y'all believe him at that time? "A. No. "....

"Q. Did you have during the course of that weekend, did you have more conversations with Michael about what happened? "A. Yes, sir. "Q. And did what was your purpose in "A. I was trying to catch him in a lie. "Q. When you say, 'catch him in a lie,' what do you mean by that? "A. I thought he was lying about what he had done is all. I was just asking him numerous questions.

"Q. Okay. What kind of questions were you asking him? "A. I asked him where the murder weapon was, and he said he left it at the house. And I said, 'You were not that stupid. You would have brought the murder weapon with you.' And he said that he placed a napkin around the handle so y'all couldn't get his fingerprints. "Q. And he said he left the napkin "A.

He left the napkin at the crime scene, too. "Q. Did he say anything specifically about how he killed Ms. Kirkpatrick? "A. He knocked on the door, and she was letting him in. Whenever she turned around, he tried to choke her, but she wouldn't die. So, he cut her throat. "Q. Did he say where he got the knife? "A. He got the knife in her kitchen."

After reviewing the evidence presented at trial, we conclude that, even assuming that the challenged evidence was inadmissible on proper objection, there has been no miscarriage of justice that would cause a loss of confidence in the validity of judicial proceedings in this case. See *Ex parte Hodges and Young*, *supra*.

Therefore, under all the circumstances, any error in the admission of Hagler's testimony regarding Smith's statements would be harmless and would not constitute plain error. IV. Conclusion We conclude that any error in the admission of Hagler's testimony concerning *941 Smith's statements, assuming the validity of Brown's claim in that respect, could not rise to the level of plain error; therefore, we affirm the judgment of the Court of Criminal Appeals.

AFFIRMED. SEE, WOODALL, STUART, SMITH, BOLIN,[1] PARKER, and MURDOCK, JJ., concur. COBB, C.J., recuses herself. NOTES [1] Although Justice Bolin did not sit for oral argument of this case, he has viewed the video recording of that oral argument.