

SUPREME COURT OF MISSISSIPPI

State of Mississippi v. J.L.M.

996 So. 2d 740 (Miss. 2008) · No. No. 2007-CA-01160-SCT · Decided December 4, 2008

SUMMARY

The Supreme Court of Mississippi held that the State's appeal from a circuit court order transferring a criminal case to youth court was barred after the youth court entered a final adjudication. Relying on the Fifth Amendment and applicable precedent, the court concluded that further adjudicatory proceedings would violate double jeopardy and affirmed the transfer order. A specially concurring opinion expressed concern about the rapid transfer and adjudication shortly before the defendant's eighteenth birthday.

CASE DETAILS

COURT	Supreme Court of Mississippi
WRITING FOR THE COURT	Diaz, Presiding Justice; Diaz, P.J.; Carlson, J.; Randolph, J.
JURISDICTION	Mississippi
DECIDED	December 4, 2008
DOCKET	No. 2007-CA-01160-SCT
DISPOSITION	affirmed
PROCEDURAL POSTURE	The State appealed the Pearl River County Circuit Court's order transferring J.L.M.'s indicted sexual-battery case to youth court. Before the appeal was resolved, the youth court adjudicated J.L.M. delinquent after his no-contest pleas and entered a disposition order.
PRECEDENTIAL VALUE	Published Mississippi Supreme Court opinion; precedential.
PARTIES	State of Mississippi v. J.L.M.

TOPICS

- double jeopardy
- criminal procedure
- appellate procedure
- appellate jurisdiction
- fifth amendment

PRACTICE AREAS

- criminal procedure
- constitutional law
- juvenile justice
- appellate procedure

QUESTIONS PRESENTED

1. Whether the State's appeal from the circuit court's transfer order was barred by the Fifth Amendment's prohibition against double jeopardy after the youth court entered a final adjudication and disposition.
2. Whether the State could appeal the circuit court's transfer order under Mississippi Code Annotated section 99-35-103(a).

HOLDINGS

1. The Fifth Amendment's protection against double jeopardy applies to youth court proceedings, and once the youth court entered a final judgment, the State's appeal of the circuit court's transfer order was barred.

KEY QUOTATIONS

“Once an adjudicatory hearing has been held, any further adjudicatory action on the part of either youth court or a circuit court will constitute double jeopardy.” (742)

“The youth court having entered a final judgment, the State's appeal of the transfer from circuit court is barred by the Fifth Amendment.” (742)

FACTUAL BACKGROUND

J.L.M., who was sixteen, was charged with two counts of sexual battery arising from sexual conduct involving his eight-year-old cousin, B.S., in a parked car. After an evidentiary hearing concerning transfer, the circuit court referred the case to youth court based on J.L.M.'s interests and the interests of justice. The youth court accepted the transfer, adjudicated J.L.M. delinquent on no-contest pleas, and imposed a fine and psychological evaluation.

PROCEDURAL HISTORY

The Pearl River County Youth Court initially dismissed the petition for lack of jurisdiction. J.L.M. was then indicted in circuit court on two sexual-battery counts and moved for transfer to youth court. After an evidentiary hearing, the circuit court ordered the transfer; the youth court accepted it, adjudicated J.L.M. delinquent, and entered a disposition order the next day. The State appealed the transfer order, but the Supreme Court affirmed because the youth court's final judgment triggered double-jeopardy protection.

DISPOSITION

affirmed

CASES CITED

- State v. Hicks, 806 So. 2d 261, 263 (Miss. 2002)
- State v. U.G., 726 So. 2d 151, 154 (Miss. 1998)
- Breed v. Jones, 421 U.S. 519, 95 S. Ct. 1779, 44 L. Ed. 2d 346 (1975)
- Walls v. State, 326 So. 2d 322, 325 (Miss. 1976)
- In re Gault, 387 U.S. 1, 50, 87 S. Ct. 1428, 18 L. Ed. 2d 527 (1967)
- Texas v. Johnson, 491 U.S. 397, 420-21, 109 S. Ct. 2533, 105 L. Ed. 2d 342 (1989) (Kennedy, J., concurring)

- *Olmstead v. United States*, 277 U.S. 438, 485, 48 S. Ct. 564, 72 L. Ed. 944 (1928) (Brandeis, J., dissenting)
- *Wheeler v. State*, 560 So. 2d 171, 174 (Miss. 1990) (Robertson, J., dissenting)
- *Cumberland v. Cumberland*, 564 So. 2d 839, 841 (Miss. 1990)
- *Butler v. Pembroke*, 568 So. 2d 296, 299 (Miss. 1990)
- *Robinson v. State*, 585 So. 2d 757, 760 (Miss. 1991)
- *King v. Miss. State Highway Comm'n*, 609 So. 2d 1251, 1255 (Miss. 1992)

OPINION

PER CURIAM.

996 So. 2d 740 (2008) *STATE of Mississippi v. J.L.M.* No. 2007-CA-01160-SCT. Supreme Court of Mississippi. December 4, 2008. Elizabeth P. Todd, attorney for appellant. *741 Gail D. Nicholson, attorney for appellee. Before DIAZ, P.J., CARLSON and RANDOLPH, JJ. DIAZ, Presiding Justice, for the Court. ¶ 1. This is a case involving the transfer of a criminal case to youth court and the double-jeopardy implications thereof. ¶ 2.

On July 31, 2005, J.L.M., sixteen years of age at the time, and cousin B.S., then eight years of age, were sitting in a car outside a house where adult relatives were playing cards. Both children said that they were playing a game of truth or dare. Exactly what happened in the car is contested, but based on the incident, the State brought two charges of sexual battery against J.L.M. ¶ 3.

The petition for adjudication originally was filed with the Youth Court of Pearl River County, but the Youth Court dismissed the matter for lack of jurisdiction. J.L.M. later was indicted for two counts of sexual battery in Pearl River County Circuit Court. J.L.M. then filed a Motion to Refer Case to Youth Court, which was opposed by the State. ¶ 4. A full evidentiary hearing was held on the motions on June 8, 2007, wherein testimony was considered by the circuit court judge.

The facts underlying the charges were not contained in the record. Therefore, the expert witness called on behalf of J.L.M. was asked to assume that all of the allegations were true. Testimony was offered by a clinical psychologist, J.L.M.'s seventh-grade teacher and his grandfather.

After the hearing, the circuit court judge ordered the case to be referred to youth court, stating that "[i]t would be in the best interest of the Defendant, [J.L.M.], and in the interest of justice, for this case to be transferred to Youth Court, for further proceedings." ¶ 5. Thereafter, the Youth Court of Pearl River County accepted the transfer. J.L.M. was adjudicated a delinquent child and pleaded no contest to two counts of sexual battery on June 12, 2007.

J.L.M.'s Order of Disposition, filed on the same day, mandated a fine and a psychological evaluation. ¶ 6. The State appeals the June 11, 2007, circuit court judgment to refer the matter to youth court. The State presents two arguments that the Fifth Amendment's protection against

double jeopardy does not apply to youth court proceedings, and that the circuit court abused its discretion in ordering the transfer.

For the reasons stated herein, we affirm the circuit court's decision. Discussion ¶ 7. The State may appeal only when the right to do so is granted by statute. *State v. Hicks*, 806 So. 2d 261, 263 (Miss.2002). Specific to the immediate case, the State may appeal "[f]rom a judgment sustaining a demurrer to, or a motion to quash an indictment, or an affidavit charging crime[.]" Miss.Code Ann. § 99-35-103(a) (Rev.2007).

The State argues that the circuit court's decision in the immediate case meets this standard. Relying on the decision of this Court in *State v. U.G.*, 726 So. 2d 151 (1998), in which we found the transfer of a capital case to youth court to be "tantamount to quashing the indictment," *id.* at 154, the State insists that this Court should reach a similar conclusion in the case at bar.

U.G. offers no guidance for determining when transfers to youth court trigger permissive appeals under Section 99-35-103, although the fact that sexual battery is punishable by life in prison, Miss.Code Ann. § 97-3-101(3) (Rev.2006), suggests that the comparison between *U.G.* and the case at bar is plausible. *742 ¶ 8. Ultimately, though, we need not reach that question.

The United States Supreme Court has held that the Fifth Amendment protection against double jeopardy applies to youth court proceedings. *Breed v. Jones*, 421 U.S. 519, 95 S. Ct. 1779, 44 L. Ed. 2d 346 (1975). Even if the transfer in this case had been appealable at one time, the State lost that opportunity when the youth court entered a final judgment. "Once an adjudicatory hearing has been held, any further adjudicatory action on the part of either youth court or a circuit court will constitute double jeopardy."

Walls v. State, 326 So. 2d 322, 325 (Miss.1976). ¶ 9. The State contends that the Fifth Amendment's protection against double jeopardy, by its very nature, typically applies only to criminal proceedings. *Breed*, 421 U.S. at 528, 95 S. Ct. 1779.

In light of the statutory declaration that "[n]o proceeding by the youth court in cases involving children shall be a criminal proceeding but shall be entirely of a civil nature," Miss.Code Ann. § 43-21-203(5) (Rev.2004), the State argues that the double jeopardy issue is altogether a red herring. This contention is without merit. "[I]t is clear under [the U.S. Supreme Court's] cases that determining the relevance of constitutional policies, like determining the applicability of constitutional rights, in juvenile proceedings, requires that courts eschew 'the 'civil' label-of-convenience which has been attached to juvenile proceedings.'" *Breed*, 421 U.S. at 529, 95 S. Ct.

1779 (quoting *In re Gault*, 387 U.S. 1, 50, 87 S. Ct. 1428, 18 L. Ed. 2d 527 (1967)). ¶ 10. The youth court having entered a final judgment, the State's appeal of the transfer from circuit court is barred by the Fifth Amendment. This determination renders the State's remaining argument moot.

¶ 11. But we should not dispense with this case before noting, as Justice Carlson does so well in his separate opinion, that this is an uneasy result.

By virtue of our decision today, a criminal defendant and worse than that, a child's sexual assailant goes away only mildly punished for a serious crime. No judge, no matter how committed to sober application of constitutional protections, rests easy with such a result. But just as when Justice Kennedy of the high court once wrote regarding another difficult recognition of constitutional liberty: The case before us illustrates better than most that the judicial power is often difficult in its exercise....

The hard fact is that sometimes we must make decisions we do not like. We make them because they are right, right in the sense that the law and the Constitution, as we see them, compel the result. And so great is our commitment to the process that, except in the rare case, we do not pause to express our distaste for the result, perhaps for fear of undermining a valued principle that dictates the decision.

This is one of those rare cases. *Texas v. Johnson*, 491 U.S. 397, 420-21, 109 S. Ct. 2533, 105 L. Ed. 2d 342 (1989) (Kennedy, J., concurring). ¶ 12. We are the high court of this state, the last bastion of apolitical reasoning, the last sword drawn against constitutionally forbidden overreaching by the government of Mississippi. It is our sacred duty, above all else, not to mete out seemliness as it strikes our collective fancy on any given Thursday afternoon, but to represent and enforce the law.

"Our Government is the potent, the omnipresent teacher. For good or for ill, it teaches the whole people by its example.... If the Government becomes a lawbreaker, it breeds contempt for law; it invites every *743 man to become a law unto himself; it invites anarchy." *Olmstead v. United States*, 277 U.S. 438, 485, 48 S. Ct. 564, 72 L. Ed. 944 (1928) (Brandeis, J., dissenting). ¶ 13.

Today, we fulfill our ancient duty to ensure that the government of this state is restrained against encroachment of our citizens' constitutional rights, no matter the unhappy result. Would that we continue to honor that charge most of all on days such as this. ¶ 14.

Therefore, the decision of the Pearl River County Circuit Court is affirmed. ¶ 15. AFFIRMED. WALLER, P.J., CARLSON, GRAVES AND DICKINSON, J.J., CONCUR. SMITH, C.J., CONCURS IN PART. RANDOLPH AND LAMAR, J.J., CONCUR IN PART AND IN RESULT. EASLEY, J., DISSENTS WITHOUT SEPARATE WRITTEN OPINION. CARLSON, J., SPECIALLY CONCURS WITH SEPARATE WRITTEN OPINION JOINED BY SMITH, C.J., WALLER, P.J., DICKINSON, RANDOLPH AND LAMAR, JJ. CARLSON, Justice, Specially Concurring: ¶ 16.

While I concur in today's majority opinion, the procedural history of this case compels me to write separately to express my concern for how this case was handled in both circuit court and youth

court. ¶ 17. From the majority opinion, we know only that sixteen-year-old J.L.M. committed sexual acts upon his eight-year-old cousin, B.S., eventually resulting in a two-count indictment being handed down against J.L.M. by the Pearl River County Grand Jury on December 7, 2006.

Count One of the indictment alleged, inter alia, that "on or about the 31st day of July, 2005, [J.L.M.], being then and there a male person sixteen (16) years of age at the time and whose date of birth is June 13, 1989, did... commit a sexual battery upon a male child B.S., who was Eight (8) years of age at the time and whose date of birth is August 30, 1996 and who is twenty-four (24) or more months younger than the defendant, by the defendant putting his mouth on the penis of B.S...."

Count Two of the indictment alleged, inter alia, that on the same date J.L.M. again committed a sexual battery upon B.S. "by... inserting a black-rope-like item in the anus of B.S." ¶ 18. The Pearl River County Sheriff's Department's incident report was more graphic. B.S.'s father stated that when he walked up to the car in which J.L.M. and B.S. were seated, J.L.M. was masturbating and B.S. was buttoning up his (B.S.'s) shorts.

This report also reveals that upon the arrival of B.S.'s mother, she questioned B.S. in the presence of a law enforcement official, and in response to his mother's questions, B.S. stated that J.L.M. asked B.S. to pull down his pants, whereupon J.L.M. "licked [B.S.'s] private parts and touched him." Likewise, J.L.M. "put a rope in [B.S.'s] rectum." A later incident report dated August 12, 2005, revealed that on August 10, 2005, B.S. told the investigating officer that once his pants were down, J.L.M. "proceeded to suck on [his] penis." ¶ 19.

Both counts of the indictment charged J.L.M. with committing the crimes of sexual battery in violation of Mississippi Code Annotated Section 97-3-95(1)(d) (Rev.2006), which states in pertinent part that "[a] person is guilty of sexual battery if he or she engages in sexual penetration with.... [a] child under the age of fourteen (14) years of age, if the person is twenty-four (24) or more months older than the child."

Defense counsel conceded that "the charging statute, *744 Mississippi Code Annotated § 97-3-95(1)(d), is a criminal statute under which Circuit Court has original jurisdiction." See also Miss.Code Ann. § 43-21-151(1) (Rev.2004). ¶ 20.

As discussed by the majority, the circuit court entered an order transferring J.L.M.'s case to youth court on June 11, 2007, and the next day, the youth court adjudicated J.L.M. to be a delinquent child.[1] ¶ 21. While I find no fault in the majority's decision to dispose of this case by discussing only the double-jeopardy issue, thus remaining silent on the State's assertion that the State had a right to appeal pursuant to Mississippi Code Annotated Section 99-35-103 (Rev.2007), I would, on the other hand, address this issue to offer guidance to the trial bench and bar.

In my opinion, in today's case, the State unquestionably had the right to appeal the circuit court's order transferring J.L.M.'s case to youth court after an indictment had been handed down. See Miss.Code Ann. § 99-35-103(a) (Rev.2007) (state may appeal from order quashing indictment). This issue has been laid to rest by this Court's decision in *State v. U.G.*, 726 So.

2d 151 (Miss.1998), wherein we found that the district attorney's appeal under section 99-35-103(a) from the circuit court's order transferring the defendant to youth court was properly before the Court. En route to this finding, we stated, inter alia: The decision by the circuit court in the case sub judice failed to take the findings of the Hinds County Grand Jury into consideration.

Therefore, this Court finds that the decision by the lower court to transfer U.G. to youth court constituted quashing the indictment returned by the grand jury. *Id.* at 154. ¶ 22. Moving now to the issue found to be dispositive by the majority, I agree with the majority that, from the record before us, J.L.M.'s double-jeopardy claim has merit,[2] based on this Court's decision in *Walls v. State*, 326 So.

2d 322, 325 (Miss. 1976) (citing *Breed v. Jones*, 421 U.S. 519, 95 S. Ct. 1779, 44 L. Ed. 2d 346 (1975)). However, my concern is over the handling of this matter in the circuit court and the youth court. We have before us the transcript of the circuit court hearing on J.L.M.'s motion to transfer the case to youth court, and we likewise have before us in the record, inter alia, the circuit court's one-page Order Referring Case to Youth Court which was entered on June 11, 2007.

However, what we do not have is the youth court adjudicatory order which was entered the very next day on June 12, 2007.[3] Thus, we have no idea if the youth court judge dutifully complied with Mississippi Code Annotated Sections 43-21-557 through 561 (Rev.2004) concerning adjudicatory hearings, and Mississippi Code Annotated Sections 43-21-601 through -615 (Rev.2004) concerning disposition hearings.

Assuming arguendo that the State's assertions in its brief are accurate, we only "know what we have been told" that after the circuit-court transfer to youth court, J.L.M. "was adjudicated a *745 delinquent child in the Youth Court of Pearl River County based on his plea of no contest to two counts of sexual battery as defined in Miss.Code Ann. § 97-3-95(1)(d)," and that "[h]is Order of Disposition, filed on June 12, 2007, required that [J.M.] pay a five hundred dollar (\$500.00) fine into Youth Court and that he be evaluated by a psychiatrist to determine if he is, in fact, a sexual predator." ¶ 23.

However, we are not left to our sometimes sinister imaginations to wonder only why this case was put on the fast track with a circuit-court transfer order to youth court entered on one day, and a youth-court adjudicatory/dispositional order entered on the very next day the record tells us! Remember the indictment, *supra*?

The indictment set out that J.L.M.'s date of birth is June 13, 1989the circuit court hearing on the motion to transfer to youth court was held on June 8, 2007only five days before J.L.M.'s eighteenth birthday! Mississippi Code Annotated Section 43-21-105(d) (Rev.2004) (Youth Court Act) defines "child" and "youth" as "a person who has not reached his eighteenth birthday."

Something had to be done quickly because J.L.M. was going to turn eighteen in five days! By the time the circuit court order was entered, J.L.M. was only two days away from his eighteenth birthday! By the time the youth court adjudicatory/dispositional order was entered, J.L.M. was just one day away from his eighteenth birthday! ¶ 24.

The assistant district attorney was aware of this important fact because at the commencement of the June 8, 2007, circuit-court hearing on J.L.M.'s motion to transfer to youth court, she stated, "at this time we feel youth court would not be appropriate mainly because [J.L.M.]... will reach the age of 18 in five days."

The circuit judge obviously was aware of the impending eighteenth birthday of J.L.M. when he stated at the conclusion of the transfer hearing, after finding that J.L.M.'s case should be remanded to youth court, that "I am going to order an expedited hearing." ¶ 25.

The last time I checked, not only the defendant in a criminal proceeding, but also the State of Mississippi, in a criminal proceeding, is entitled to fairness. The State of Mississippi, in criminal prosecutions, represents the law-abiding citizens in society who have a right to expect that under our system of justice, those who are indicted by our citizens serving on grand juries will receive fair trials; that the guilty will be convicted and punished; and that those who are found not guilty will be forthwith set free.

Our forefathers more than two hundred twenty years ago devised a system of justice which is by no means perfect (since it was devised and implemented by mere mortals), but it is without doubt the least imperfect system of justice which has ever been devised by any government on the face of this earth. It will, however, sometimes fail. I fear our system of justice failed in today's case. ¶ 26.

A learned justice who previously served on this Court would on occasion refer to our system of justice as a "crude approximation of justice." *Wheeler v. State*, 560 So. 2d 171, 174 (Miss.1990) (Robertson, J., dissenting) (criminal case-"[t]he judicial mind knows that, at best, our legal system achieves a crude approximation of justice...."); *Cumberland v. Cumberland*, 564 So.

2d 839, 841 (Miss.1990) (Robertson, J., for the Court) (child support dispute"system... protects the legal interests of these two boys, though we know it is but a crude and partial approximation of justice"); *Butler v. Pembroke*, 568 So. 2d 296, 299 (Miss.1990) (Robertson, J., for the Court) (civil dispute between corporation and employee-"We accept with regret that we may not order done that

which may not be done" but "[t]he law at its best may yield but a crude approximation *746 of justice"); Robinson v. State, 585 So.

2d 757, 760 (Miss.1991) (Robertson, J., concurring) (criminal case-"Law at its best yields but a crude approximation of justice"); King v. Miss. State Highway Comm'n, 609 So. 2d 1251, 1255 (Miss.1992) (Robertson, J., for the Court) (landowners' inverse condemnation suit found to be precluded by prior eminent-domain action "[I]t is to be lamented, yet accepted, as but another reminder that the legal process at its best seldom yields but a crude approximation of justice"). ¶ 27.

Unfortunately, it is my opinion that we can now add today's case to the five cases cited above. J.L.M.'s counsel stated in the appellee's brief that "J.M. is a good boy who got caught up in the criminal justice system over one incident with sexual overtones which took place with his cousin at a family event when he was barely 16 years old." With the utmost respect for J.L.M.'s counsel, I disagree that this case involved little more than "sexual overtones."

Out of necessity, I have already described in somewhat graphic detail the sexual offenses with which J.L.M. was charged by a grand jury indictment upon a child eight years his junior.[4] This was more than "sexual overtones." This was more than young boys and/or girls becoming curious about their bodies. This was sexual activity in its rawest form.[5] ¶ 28.

Although the State of Mississippi timely appealed from the circuit-court order within the time provided by Mississippi Rules of Appellate Procedure 3 & 4, the appeal was meaningless, since the day after the circuit court transfer order was entered, there was a rush to judgment in the Youth Court of Pearl River County. ¶ 29. With this having been said, I join in the majority opinion which finds further circuit court prosecution of J.L.M. for these sexual battery offenses to be barred based on the Fifth Amendment's double-jeopardy clause.

Perhaps there may be some consolation for this injustice if, but only if, J.L.M. took advantage of this tremendous gift handed to him in Pearl River County back on June 11 and June 12, 2007, by (now at age 19) righting his ship and becoming a productive citizen of society. SMITH, C.J., WALLER, P.J., DICKINSON, RANDOLPH AND LAMAR, JJ., JOIN THIS OPINION. NOTES [1] I will discuss in more detail, *infra*, the procedural history as it relates to the entry of the circuit court transfer order and the entry of the youth court adjudicatory order the very next day.

[2] However, contrary to the majority's finding, I would find that the State's further criminal prosecution of J.L.M. on these sexual battery charges is barred on the basis of double jeopardy, and not that the State's "appeal of the transfer from circuit court is barred" on the basis of double jeopardy.

[3] No one can complain in today's case that the wheels of justice (if this was indeed "justice") grind slowly. [4] At the circuit court hearing on J.L.M.'s motion to transfer to youth court, J.L.M.'s

counsel argued that this eight-year age difference between J.L.M. and B.S. was misleading, because at the time of the incident, J.L.M. was "one month past his sixteenth birthday" and B.S. "was one month short of his ninth birthday," thus making the age difference between the two minors considerably less than the perceived eight years.

However, this is of no moment, since J.L.M. was charged under the statute for a sexual battery committed upon a child under the age of 14, with J.L.M. having been "twenty-four (24) or more months older than" B.S. Miss.Code Ann. § 97-3-95(1)(d) (Rev.2006).

[5] I wish to make it absolutely clear that this is not a case where the families of B.S. and J.L.M. were in agreement on how this case should be resolved, and that the prosecution was pursuing this case on its own. B.S.'s mother testified at the circuit court transfer hearing that J.L.M.'s actions have had a negative effect on B.S., and that at the time of the circuit court hearing, B.S. was still in counseling for treatment for low-self esteem and embarrassment due to this sexual encounter with J.L.M.

Also, even though no evidence was presented on this point, the State, in its circuit-court motion opposing the transfer to youth court, alleged that B.S.'s family opposed resolution by way of rehabilitation in lieu of punitive treatment and that B.S.'s family felt that J.L.M. should be required to register as a sex offender.