

SUPREME COURT OF GEORGIA

Lay v. State, 289 Ga. 210

710 S.E.2d 141 (2011) · No. S11A0560 · Decided May 16, 2011

SUMMARY

The Supreme Court of Georgia held that the untimely filing of a motion in arrest of judgment does not deprive an appellate court of jurisdiction to review the trial court's ruling on that motion. Instead, the untimeliness limits the trial court's authority to grant relief. The court affirmed the denial of Jonah Lay's motion because it was filed years after the term in which his judgment was entered.

CASE DETAILS

COURT	Supreme Court of Georgia
WRITING FOR THE COURT	Nahmias, Justice
JURISDICTION	Georgia
DECIDED	May 16, 2011
DOCKET	S11A0560
DISPOSITION	affirmed
PROCEDURAL POSTURE	Lay appealed the trial court's denial of his motion in arrest of judgment.
STANDARD OF REVIEW	The Supreme Court independently considered whether it had appellate jurisdiction and reviewed the trial court's ruling on the motion in arrest of judgment.
PRECEDENTIAL VALUE	Published precedential opinion of the Supreme Court of Georgia.
PARTIES	Jonah Bernard Lay v. The State

TOPICS

- appellate jurisdiction
- appellate procedure
- criminal procedure
- post-conviction relief
- preservation of error

PRACTICE AREAS

- Criminal procedure
- Appellate procedure
- Post-conviction relief

QUESTIONS PRESENTED

1. Whether the untimely filing of a motion in arrest of judgment deprives the appellate court of jurisdiction over an appeal from the trial court's ruling on that motion.
2. Whether the trial court properly denied Lay's motion in arrest of judgment because it was filed outside the term of court in which judgment was obtained.

HOLDINGS

1. The untimely filing of a motion in arrest of judgment is not an appellate-jurisdictional defect requiring dismissal of an appeal from the trial court's ruling on the motion.
2. The trial court properly denied Lay's motion in arrest of judgment because the motion was filed many terms after the term in which the judgment was obtained and was therefore clearly untimely.

KEY QUOTATIONS

“Today, we expressly hold that the untimely filing of a motion in arrest of judgment in the trial court is not a defect in appellate jurisdiction that will subject to dismissal an appeal from the trial court's ruling on such a motion, as would an untimely filed notice of appeal.” (710 S.E.2d at 142)

FACTUAL BACKGROUND

Lay was convicted of felony murder and other crimes in Fulton County in June 2004. Several years later, he filed a motion in arrest of judgment alleging that his indictment was substantively defective because it failed to allege an essential element of the charged offense. The motion was filed in August 2010, many terms of court after the term in which he was convicted.

PROCEDURAL HISTORY

Lay was convicted in Fulton County in June 2004 of felony murder and other crimes. After an initial appeal was dismissed and remanded for the limited purpose of allowing an ineffective-assistance claim to be raised, Lay filed a pro se motion in arrest of judgment in August 2010, alleging that his indictment omitted an essential element of the charged offense. The trial court summarily denied the motion, and Lay timely appealed.

DISPOSITION

affirmed

CASES CITED

- Sanders v. State, 280 Ga. 780, 631 S.E.2d 344 (2006)
- Wright v. State, 277 Ga. 810, 596 S.E.2d 587 (2004)
- Orr v. State, 275 Ga. 141, 562 S.E.2d 498 (2002)
- Lacey v. State, 253 Ga. 711, 324 S.E.2d 471 (1985)
- Ferguson v. Freeman, 282 Ga. 180, 646 S.E.2d 65 (2007)
- Dupree v. State, 279 Ga. 613, 619 S.E.2d 608 (2005)
- Rubiani v. State, 279 Ga. 299, 612 S.E.2d 798 (2005)

- Rowland v. State, 264 Ga. 872, 452 S.E.2d 756 (1995)
- Johnson v. RLI Ins. Co., 288 Ga. 309, 704 S.E.2d 173 (2010)
- Williams v. State, 287 Ga. 192, 695 S.E.2d 244 (2010)
- Harper v. State, 286 Ga. 216, 686 S.E.2d 786 (2009)

OPINION

Lay v. State 710 S.E.2d 141

NAHMIAS, J.

710 S.E.2d 141 (2011)

289 Ga. 210

LAY

v. The STATE. No. S11A0560. Supreme Court of Georgia. May 16, 2011.

*142 Jonah Bernard Lay, Sparta, pro se.

Paul L. Howard, Jr., District Attorney, Paige Reese Whitaker, Arthur C. Walton, Assistant District Attorneys, Thurbert E. Baker, Attorney General, Paula Khristian Smith, Senior Assistant Attorney General, for appellee. NAHMIAS, Justice. Jonah Lay appeals from the trial court's denial of his motion in arrest of judgment. We affirm.

1. In June 2004, Lay was convicted in Fulton County of felony murder and other crimes, and his trial counsel filed a notice of appeal to this Court. New appellate counsel was then appointed for Lay and filed a motion asking this Court to remand the case to the trial court to allow Lay to assert a claim of ineffective assistance of trial counsel. On June 16, 2005, we dismissed the appeal and remanded the case "for the limited purpose of allowing a claim of ineffective assistance of trial counsel to be raised and heard at the earliest practicable time." Case No. S05A1469. Eleven days later, appellate counsel sent Lay a letter indicating that another lawyer from the public defender's office would be representing Lay, but the record does not reflect that new counsel ever appeared or that an ineffectiveness claim has been raised or heard in the nearly six years since our remand order.[1] Lay, however, has filed various pro se motions in the intervening years, including an August 3, 2010, motion in arrest of judgment. That motion asserted that Lay's indictment was substantively defective because it did not set forth the essential elements of the "charged offense." On August 16, 2010, the trial court summarily denied the motion, and Lay timely appealed that ruling.

2. This case raises a question of appellate jurisdiction to which we have suggested but never squarely stated the answer. See *Sanders v. State*, 280 Ga. 780, 782, 631 S.E.2d 344 (2006) (explaining that "it is the duty of this Court to inquire into its jurisdiction in any case in which there may be a doubt about the existence of such jurisdiction"). Under OCGA § 17-9-61(b), a motion in arrest of judgment must be based on a non-amendable defect that appears on the face of the record or pleadings and "must be made during the term at which the judgment was obtained." Lay raised a proper ground for a motion in arrest of judgment by claiming that his indictment

failed to allege an essential element of the crime. See *Wright v. State*, 277 Ga. 810, 811, 596 S.E.2d 587 (2004) (holding that such a claim is "cognizable in a motion in arrest of judgment"). And a trial court's ruling on a motion in arrest of judgment is normally directly appealable to whichever appellate court has subject matter jurisdiction over the case. See *Orr v. State*, 275 Ga. 141, 562 S.E.2d 498 (2002). Lay's motion, however, was extremely untimely, as *143 it was filed in August 2010, many terms of court past the term in which he was convicted in June 2004. We must therefore decide whether the untimely filing of a motion in arrest of judgment precludes a defendant from appealing the trial court's ruling on the motion.

On several occasions, this Court has addressed the merits of, rather than dismissing, direct appeals from trial courts' rulings on untimely filed motions in arrest of judgment, without discussing this jurisdictional issue. See *Wright*, 277 Ga. at 811, 596 S.E.2d 587 (affirming the denial of an untimely motion in arrest of judgment); *Orr*, 275 Ga. at 141, 562 S.E.2d 498 (same); *Lacey v. State*, 253 Ga. 711, 711, 324 S.E.2d 471 (1985) (same). Today, we expressly hold that the untimely filing of a motion in arrest of judgment in the trial court is not a defect in appellate jurisdiction that will subject to dismissal an appeal from the trial court's ruling on such a motion, as would an untimely filed notice of appeal. See *Ferguson v. Freeman*, 282 Ga. 180, 181, 646 S.E.2d 65 (2007) (holding that "a proper and timely-filed notice of appeal is an absolute requirement to confer jurisdiction upon an appellate court"). Instead, the untimeliness of the motion is simply a defect that limits the trial court's authority to grant the motion. We have reached the same result in a similar situation. A motion to withdraw a guilty plea must be filed within the same term of court as the sentence entered on the guilty plea. See *Dupree v. State*, 279 Ga. 613, 614, 619 S.E.2d 608 (2005); *Rubiani v. State*, 279 Ga. 299, 299, 612 S.E.2d 798 (2005). We have held that, if a motion to withdraw a guilty plea is not timely filed, "the trial court lacks jurisdiction to allow the withdrawal of the plea." *Rubiani*, 279 Ga. at 299, 612 S.E.2d 798 (citation omitted). Consistent with the view that such untimeliness limits the trial court's authority to grant relief rather than the appellate court's authority to decide an appeal of such an order, we have reviewed the merits of the trial court's ruling in these cases. See *Dupree*, 279 Ga. at 614, 619 S.E.2d 608 (affirming the trial court's dismissal of the motion to withdraw); *Rubiani*, 279 Ga. at 299, 612 S.E.2d 798 (same).[2]

3. Pursuant to our jurisdictional holding, we proceed to address and affirm the trial court's denial of Lay's motion, as it was clearly untimely.

Judgment affirmed. All the Justices concur.

NOTES

[1] The status of the direct appeal of Lay's conviction is therefore uncertain. Lay may have decided not to pursue an ineffectiveness of trial counsel claim or a direct appeal. However, if the delay in asserting the claim or the appeal is due to the absence or ineffectiveness of the appellate counsel to which Lay is constitutionally entitled, he may be entitled to raise the claim in the trial court or to pursue a direct appeal. See *Rowland v. State*, 264 Ga. 872, 875, 452 S.E.2d 756 (1995) (holding that a defendant is entitled to an out-of-time appeal if the failure of his initial appeal is the fault of

appellate counsel).

[2] In the event that a criminal defendant labels a pleading as a "motion in arrest of judgment" but it is in substance some other type of motion, the appealability of the trial court's ruling on the motion will turn on the substance of the motion. See *Johnson v. RLI Ins. Co.*, 288 Ga. 309, 310, 704 S.E.2d 173 (2010) (holding that "the appealability of an order is determined, not by its form or the name given to it by the trial court, but rather by its substance and effect" (citation omitted)). For example, if a defendant labels a motion as one in arrest of judgment, but in substance it is "a petition to vacate or modify a judgment of conviction," which "(is) not an appropriate remedy in a criminal case," the appeal would be dismissed. *Williams v. State*, 287 Ga. 192, 192, 695 S.E.2d 244 (2010) (quoting *Harper v. State*, 286 Ga. 216, 217, 686 S.E.2d 786 (2009)).