

SUPREME COURT OF APPEALS OF WEST VIRGINIA

State of West Virginia v. Duane Hammock

Hammock · No. No. 12-1122 · Decided October 18, 2013

SUMMARY

The West Virginia Supreme Court of Appeals affirmed the Circuit Court of Raleigh County’s resentencing order following Duane Hammock’s conviction for first-degree robbery. The Court held that Hammock’s claims concerning alleged jury coercion, failure to declare a mistrial, and admission of a prior inconsistent statement were unpreserved or affirmatively waived.

CASE DETAILS

COURT	Supreme Court of Appeals of West Virginia
WRITING FOR THE COURT	Chief Justice Brent D. Benjamin; Justice Robin Jean Davis; Justice Margaret L. Workman; Justice Menis E. Ketchum; Justice Allen H. Loughry II
JURISDICTION	West Virginia
DECIDED	October 18, 2013
DOCKET	No. 12-1122
DISPOSITION	affirmed
PROCEDURAL POSTURE	Duane Hammock appealed the Circuit Court of Raleigh County's resentencing order following his first-degree robbery conviction, challenging the trial court's handling of jury deliberations and admission of impeachment evidence.
STANDARD OF REVIEW	A trial court's decision to declare a mistrial is reviewed for abuse of discretion. Jury instructions alleged to have coerced a verdict are evaluated based on the facts and circumstances of the particular case. Evidentiary rulings and application of the West Virginia Rules of Evidence are reviewed for abuse of discretion, subject to prejudice and substantial-rights analysis.
PRECEDENTIAL VALUE	Published memorandum decision; the opinion states that it was issued under Rule 21 because there was no substantial question of law and no prejudicial error.
PARTIES	Duane Hammock v. State of West Virginia

TOPICS

allen charge

jury instructions

preservation of error

impeachment

evidence

PRACTICE AREAS

criminal procedure

appellate procedure

evidence

QUESTIONS PRESENTED

1. Whether the trial court's remarks and instructions during jury deliberations improperly coerced the jury into reaching a guilty verdict.
2. Whether the trial court should have declared a mistrial after the jury initially announced a not-guilty verdict but disagreed during polling.
3. Whether the trial court improperly admitted the daughter's prior inconsistent statement as impeachment evidence.
4. Whether the appellate court could consider the challenged jury-deliberation and evidentiary issues when they were not preserved below.

HOLDINGS

1. The court declined to consider the mistrial claim because Hammock did not request a mistrial in the trial court, and the issue was therefore not preserved.
2. The court found no reversible coercion claim and held that Hammock affirmatively waived the issue by stating that he had no objection to the trial court's recommendation to give the Allen charge and resume deliberations.
3. The court declined to grant relief on the evidentiary challenge because Hammock did not object to admission of the prior statement at trial and therefore affirmatively waived the issue.

KEY QUOTATIONS

"The decision to declare a mistrial, discharge the jury, and order a new trial in a criminal case is a matter within the sound discretion of the trial court." (2)

"It is a well established principle that this Court will not decide nonjurisdictional questions which have not been raised in the court below." (2)

"Whether a trial court's instructions constitute improper coercion of a verdict necessarily depends upon the facts and circumstances of the particular case and cannot be determined by any general or definite rule." (3)

"constituted a fair and reasonable effort to stimulate continued deliberation." (3)

FACTUAL BACKGROUND

On August 27, 2009, Duane Hammock's daughter shoplifted liquor from a Raleigh County tobacco store. Hammock, who was in a vehicle outside the store, exited and pointed a gun at the clerk; the weapon was later identified as a BB gun, and surveillance video captured the incident. At trial, the daughter recanted an earlier

statement implicating Hammock, and the State introduced her prior statement as impeachment evidence. Hammock denied knowing about a planned robbery.

PROCEDURAL HISTORY

Hammock was tried before a jury for first-degree robbery. After the jury initially announced a not-guilty verdict, a poll revealed disagreement; the trial court sent the jury back for further deliberations, after which it returned a guilty verdict. The circuit court sentenced Hammock to fifteen years in prison, consisting of ten years for robbery and a consecutive five-year habitual-offender enhancement. The court later resentenced him so that he could pursue a direct appeal. The Supreme Court of Appeals affirmed, concluding that the appellate challenges were not preserved and that one argument was affirmatively waived.

DISPOSITION

affirmed

CASES CITED

- State v. Davis, 182 W. Va. 482, 388 S.E.2d 508 (1989)
- Hartwell v. Marquez, 201 W. Va. 433, 498 S.E.2d 1 (1997)
- Stonebraker v. Zinn, 169 W. Va. 259, 286 S.E.2d 911 (1982)
- State v. Pannell, 225 W. Va. 743, 696 S.E.2d 45 (2010)
- State v. Hobbs, 168 W. Va. 13, 282 S.E.2d 258 (1981)
- Allen v. United States, 164 U.S. 492 (1896)
- McDougal v. McCammon, 193 W. Va. 229, 455 S.E.2d 788 (1995)
- State v. LaRock, 196 W. Va. 294, 470 S.E.2d 613 (1996)

OPINION

PER CURIAM.

STATE OF WEST VIRGINIA SUPREME COURT OF APPEALS State of West Virginia, Plaintiff
Below, Respondent FILED October 18, 2013 RORY L. PERRY II, CLERK vs) No. 12-1122
(Raleigh County 10-F-30) SUPREME COURT OF APPEALS OF WEST VIRGINIA Duane
Hammock, Defendant Below, Petitioner MEMORANDUM DECISION Petitioner Duane
Hammock, by counsel David S. Hart, appeals the Circuit Court of Raleigh County's resentencing
order entered on September 10, 2012, following his conviction of first-degree robbery.¹ The State,
by counsel Marland L. Turner, filed a summary response.

This Court has considered the parties' briefs and the record on appeal. The facts and legal arguments are adequately presented, and the decisional process would not be significantly aided by oral argument. Upon consideration of the standard of review, the briefs, and the record presented, the Court finds no substantial question of law and no prejudicial error.

For these reasons, a memorandum decision is appropriate under Rule 21 of the Rules of Appellate Procedure. On August 27, 2009, petitioner's daughter, Holly Hansen, walked into C.J.'s Tobacco Store in Raleigh County, West Virginia, and shoplifted a bottle of liquor.² The store clerk followed Ms. Hansen to a vehicle in the parking lot, and requested that she return the stolen item.

Petitioner, who was a passenger in the parked vehicle, exited the vehicle and pointed a gun at the clerk.³ After the store clerk returned to the store, petitioner and Ms. Hansen left the premises. A surveillance video captured the incident. Petitioner was indicted on a charge of first-degree robbery.

The trial began on May 16, 2011. The store clerk's testimony was consistent with the narrative in the criminal complaint and the surveillance video. The State called Ms. Hansen as a hostile witness. She testified that petitioner was an alcoholic, and on the day of the robbery he was going through withdrawal symptoms. She previously told police that petitioner participated in the robbery when he observed from outside the store and pointed to the bottle of liquor he wanted her to steal.

During trial, Ms. Hansen recanted her prior statement to the police. She testified at trial that petitioner 1 The trial court resentenced petitioner so that he could file this direct appeal. 2 Ms. Hansen was twenty-one years old at the time of the robbery. Detective Canaday stated that the reason he did not charge Ms. Hansen is because he felt she was "duped" into this robbery.

Ms. Hansen admitted to having two prior shoplifting convictions. 3 The pistol was later identified as a BB gun. 1 was unaware that she had taken the liquor. The State played the police videotaped interview of Ms. Hansen to the jury as impeachment evidence. Petitioner testified and denied any knowledge of a planned robbery. Petitioner admitted that by the time they arrived at the store, he had consumed excessive amounts of prescription medication, mixed with alcohol.

Following the conclusion of the trial, the jury deliberated for approximately three hours before announcing that it had reached a verdict. Upon presentation of the jury verdict form, the trial court announced that petitioner had been found not guilty. Upon request of the prosecuting attorney, the trial court polled the jury. When the trial court questioned the first juror as to whether the announced verdict was a verdict that she agreed with, the first juror indicated that she did not agree with the verdict.

Following the first juror's response, the trial court discontinued the poll of the jury, and returned the jury to the jury room with instructions to continue deliberations. Later that day, the jury announced that it was deadlocked and would not be able to continue deliberations because members of the jury were scheduled for a vacation on the following day.

As discussed more fully below, the trial court gave additional instructions regarding the need for further deliberations. Without objection from the parties, the jury resumed deliberations. After a

dinner break and a period of deliberation, the jury returned and found petitioner guilty of first-degree robbery. A second poll of the jury indicated that each juror was in agreement with the second verdict.

The trial court entered an order adopting the jury's second verdict. The State filed an information against petitioner seeking enhancement of his sentence under West Virginia Code § 61-11-18, because petitioner had been convicted of a prior felony.⁴ After petitioner entered a plea of guilty to the subsequent information, the trial court sentenced petitioner to ten years in the penitentiary for his first-degree robbery conviction, with a consecutive five-year enhancement based upon his previous felony conviction, for a total determinate sentence of fifteen years.⁵ As grounds for seeking a new trial, petitioner asserts that the trial court's remarks to the jury during deliberation constituted improper coercion, and that the trial court should have declared a mistrial.

Petitioner also contends that the trial court erred in admitting his daughter's prior statement to the investigating officer. Upon our review of these assignments of error in conjunction with the record, we conclude that the challenges raised on appeal were not preserved below.

Accordingly, we affirm the order of the trial court. ⁴ In 2005, petitioner was convicted of fleeing while under the influence of alcohol, and third offense DUI. ⁵ The trial court also found that the BB gun petitioner used in the commission of the robbery was not a firearm for the purposes of parole eligibility. ² This Court has held that "[t]he decision to declare a mistrial, discharge the jury, and order a new trial in a criminal case is a matter within the sound discretion of the trial court." Syl.

Pt. 8, State v. Davis, 182 W.Va. 482, 388 S.E.2d 508 (1989). In the instant case, petitioner did not request a mistrial. Accordingly, his argument that the trial court should have granted a mistrial was not raised below, and we decline to consider it on appeal. See Hartwell v. Marquez, 201 W.Va. 433, 442, 498 S.E.2d 1, 10 (1997) ("It is a well established principle that this Court will not decide nonjurisdictional questions which have not been raised in the court below." (quoting Stonebraker v. Zinn, 169 W.Va.

259, 266, 286 S.E.2d 911, 915 (1982)) (additional citations omitted)). With regard to petitioner's allegation that the verdict was coerced, we have stated that "[w]hether a trial court's instructions constitute improper coercion of a verdict necessarily depends upon the facts and circumstances of the particular case and cannot be determined by any general or definite rule." Syl.

Pt.1, State v. Pannell, 225 W.Va. 743, 696 S.E.2d 45 (2010) (citation omitted).⁶ ⁶ The charge was as follows: Ladies and gentlemen of the jury, you've informed the Court of your inability to reach a verdict. At the outset, the Court wishes you to know that although you have a duty to reach a verdict if that is possible, the Court has neither the power nor the desire to compel agreement upon a verdict.

The purpose of these remarks is to point out to you the importance and the desirability of reaching a verdict in this case, provided, however, that you as individual jurors can do so without surrendering or sacrificing your conscientious scruples or personal convictions. You will recall that upon assuming your duties in this case each of you took an oath. That oath places upon each of you as individuals the responsibility of arriving at a true verdict upon the basis of your own opinion and not merely upon acquiescence in the conclusions of your fellow jurors.

However, it is – by no means follows that opinions may not be changed by conference in the jury room. The very object of the jury system is to reach a verdict by comparison of proofs with your fellow jurors. During your deliberations, you should be open minded and consider the issues with proper deference to and respect for the opinions of each other. And you should not hesitate to reexamine your own views in the light of such discussions.

You should also consider that this case must at some time be terminated. That you are selected from the same source from which any future jury must be selected, that there is no reason to suppose that this case will ever be submitted to 12 persons more intelligent, more impartial or more competent to decide it, or that more or clearer evidence will ever be produced on one side or the other.

All right. Members of the jury, I'm going to send you back to the jury room and respectfully ask you to continue deliberating. If you wish to send out a note, let me know by following the same process we have followed earlier this afternoon. But otherwise, I am going to ask you to continue with your duty of deliberations. 3 Petitioner maintains that the trial court improperly rushed the jury to reach its verdict.

We disagree. Our review of the record indicates that the trial court's instructions "constituted a fair and reasonable effort to stimulate continued deliberation." *State v. Hobbs*, 168 W.Va. 13, 37, 282 S.E.2d 258, 272 (1981).

The trial court proceeded cautiously throughout the deliberation process. After discussing the deadlock with the foreperson, the trial court proposed reading the Allen⁷ charge and sending the jury back for further deliberation. Petitioner indicated that he had no objection to the trial court's recommendation. Based on the foregoing, we find that petitioner affirmatively waived this ground for appeal.

Petitioner also asserts that the trial court erroneously allowed the State to present impeachment evidence as a pretext to present Ms. Hansen's prior inconsistent statement to the jury when the State knew such evidence would not be admissible otherwise.⁸ A trial court's evidentiary rulings, as well as its application of the West Virginia Rules of Evidence, are reviewed for an abuse of discretion.

See *McDougal v. McCammon*, 193 W.Va. 229, 455 S.E.2d 788 (1995). Even when a trial court has abused its discretion by admitting or excluding evidence, the conviction must be affirmed unless petitioner can meet his burden of demonstrating that substantial rights were affected by the error. See *State v. LaRock*, 196 W.Va. 294, 470 S.E.2d 613 (1996). Our review of the record reveals that at no point during the trial did petitioner object to the admission of Ms. Hansen's prior inconsistent statement for impeachment purposes.

Petitioner requested a limiting instruction, and the trial court granted this request. We therefore find that petitioner affirmatively waived this issue for which he now asserts on appeal. For the foregoing reasons, we affirm. Affirmed. ISSUED: October 18, 2013 CONCURRED IN BY: Chief Justice Brent D. Benjamin Justice Robin Jean Davis Justice Margaret L. Workman Justice Menis E. Ketchum Justice Allen H. Loughry II 7 See *Allen v. U.S.*, 164 U.S. 492 (1896).

8 Petitioner also maintains this error was compounded by improper comments made by the State during closing argument that may have misled the jury regarding the manner in which this impeachment evidence could be considered to support the verdict.

We find this argument unpersuasive because the trial court sustained petitioner's objection during the State's closing argument, and granted his request for a limiting instruction. 4