

SUPREME COURT OF VIRGINIA

Danny R. Holley v. Daniel J. Pambianco, M.D., et al., 270 Va. 180

613 S.E.2d 425 (2005) · No. Record No. 042275 · Decided June 9, 2005

SUMMARY

The Supreme Court of Virginia held that raw statistical evidence concerning perforation risks during colonoscopy and polypectomy was not probative of the medical malpractice standard of care and was misleading. The court also ruled that evidence of warnings and postoperative instructions could be relevant to the plaintiff's duty to mitigate damages, but found the videotape offered here insufficient for that purpose. The judgment for the defendants was reversed and the case remanded for further proceedings.

CASE DETAILS

COURT	Supreme Court of Virginia
WRITING FOR THE COURT	Russell, Senior Justice; Hassell, C.J.; Lacy, J.; Keenan, J.; Koontz, J.; Lemons, J.; Compton, Senior Justice
JURISDICTION	Virginia
DECIDED	June 9, 2005
DOCKET	Record No. 042275
DISPOSITION	reversed_and_remanded
PROCEDURAL POSTURE	Appeal from a jury verdict for the defendants in a medical malpractice action. The Supreme Court of Virginia granted an appeal limited to evidentiary issues concerning evidence of procedural risks and jury instructions concerning post-operative discharge instructions.
PRECEDENTIAL VALUE	Published Virginia Supreme Court opinion
PARTIES	Danny R. Holley v. Daniel J. Pambianco, M.D., Medical group of which Daniel J. Pambianco was a member

TOPICS

- medical malpractice
- evidence
- standard of care
- damages
- appellate procedure

PRACTICE AREAS

- medical malpractice
- evidence
- torts
- damages
- appellate procedure

QUESTIONS PRESENTED

1. Whether evidence concerning the statistical risks of colon perforation during colonoscopy and polypectomy was admissible in a medical malpractice case in which informed consent was not at issue.
2. Whether the defense could use evidence of warnings about procedural complications to establish that Holley failed to mitigate his damages.
3. Whether the trial court erred in refusing to instruct the jury that failure to provide discharge instructions constituted negligence per se.

HOLDINGS

1. Raw statistical evidence stating the frequency of colon perforations during colonoscopies and polypectomies, without identifying whether the events resulted from negligence, is not probative of any issue in a medical malpractice case and should not be admitted.
2. A medical-malpractice defendant may introduce competent evidence that the plaintiff was warned of complications, symptoms signaling those complications, and the need to report symptoms promptly, for the purpose of proving failure to mitigate damages.
3. The videotape excerpt admitted by the trial court did not tend to prove failure to mitigate damages and its admission was error.
4. Evidence concerning post-operative instructions allegedly given to Holley's wife and the content of Holley's two telephone conversations with the nurse was probative of failure to mitigate damages and was properly admitted; its weight and sufficiency were matters for the jury.
5. The court did not reach the assignment of error concerning whether failure to provide discharge instructions constituted negligence per se.

KEY QUOTATIONS

"We conclude that such raw statistical evidence is not probative of any issue in a medical malpractice case and should not be admitted." (428)

"The foregoing language contains no warning to the patient that a burden was being imposed upon him to take any post-operative steps for his own protection." (428)

FACTUAL BACKGROUND

Holley underwent a colonoscopy and removal of two sessile polyps using a hot biopsy forceps technique. He developed worsening abdominal symptoms, but was initially advised by a nurse to walk, drink hot tea, and take medication; five days after the procedure he was diagnosed with a perforated colon and peritonitis. He underwent a colostomy, spent ten days in the hospital, and later underwent surgery to restore the continuity of his colon. At trial, Holley claimed that use of the hot biopsy technique departed from the applicable standard of care, while the defense argued that his delay in reporting symptoms exacerbated his damages.

PROCEDURAL HISTORY

Holley sued Dr. Pambianco and his medical group after suffering a perforated colon and related complications following a colonoscopy and polypectomy. A jury returned a verdict for the defendants. The Supreme Court of Virginia reversed the trial court's judgment and remanded for further proceedings.

DISPOSITION

reversed_and_remanded

REMAND INSTRUCTIONS

Remanded for further proceedings consistent with the opinion.

CASES CITED

- Wright v. Kaye, 267 Va. 510, 593 S.E.2d 307 (2004)
- McCloud v. Commonwealth, 269 Va. 242, 609 S.E.2d 16 (2005)
- Sanitary Grocery Co. v. Steinbrecher, 183 Va. 495, 32 S.E.2d 685 (1945)
- Sawyer v. Commerci, 264 Va. 68, 563 S.E.2d 748 (2002)
- Lawrence v. Wirth, 226 Va. 408, 309 S.E.2d 315 (1983)

OPINION

RUSSELL, J.

613 S.E.2d 425 (2005) 270 Va. 180 Danny R. HOLLEY v. Daniel J. PAMBIANCO, M.D., et al. Record No. 042275. Supreme Court of Virginia. June 9, 2005. *426 Richard L. Locke (Robert W. Partin; Locke & Partin, on briefs), for appellant. Walter H. Peake, III (Nancy F. Reynolds; Wade T. Anderson; Frith, Anderson & Peake, on brief), Roanoke, for appellees. Present: HASSELL, C.J., LACY, KEENAN, KOONTZ, and LEMONS, JJ., and COMPTON and RUSSELL, S.JJ.

Opinion By RUSSELL, Senior Justice. This appeal in a medical malpractice case concerns the admissibility of evidence tending to prove the inherent risks of the treatment that led to the plaintiff's injury. Facts On November 28, 2000, Danny R. Holley was admitted to Martha Jefferson Hospital in Charlottesville as an outpatient to undergo a scheduled colonoscopy to be performed by Daniel J. Pambianco, M.D., a gastroenterologist.

During the colonoscopic examination, Dr. Pambianco discovered two sessile polyps in the colon, each about one centimeter in diameter. He removed both of them by a "hot biopsy forcep[s]" technique in which forceps, threaded through the colonoscope tube, carry an electric current to the site in order to cauterize the tissue.[1] Holley was driven home by his wife after the procedure and went to bed.

That night he was unable to eat or drink and suffered abdominal distension and soreness. The next day, he called Dr. Pambianco's office, describing his symptoms. A nurse told him that his

symptoms were normal and that he should walk. Later that day, the nurse called him and advised him to keep walking, to drink hot tea and to take a prescription that she would call in to his pharmacy.

His symptoms grew worse and on December 3, five days after the operation, he called Dr. Pambianco's office again, describing his condition. This time, Dr. Byrd S. Leavell, a colleague of Dr. Pambianco, returned his call and told him to report immediately to the emergency room at the hospital. Upon examination, he was found to have a perforated colon leaking into the abdominal cavity, causing peritonitis.

He was given a colostomy and spent ten days in the hospital, seven of them in intensive care. He was released with a colostomy bag attached to his side. Several months later, he underwent a third operation to close the colostomy and restore the continuity of the colon. Proceedings Holley brought this medical malpractice action against Dr. Pambianco and the medical group of which he was a member.

The case was tried before a jury and resulted in a verdict for the defendants. We awarded Holley an appeal limited to two issues: (1) Whether the trial court erred by admitting evidence of the risks of perforation of the colon during a colonoscopy and polypectomy and permitting defense counsel to argue to the jury, in a case in which informed consent was not in issue, that such risks were normal, and (2) whether the trial court erred by refusing to instruct the jury that Dr. Pambianco's failure to give the plaintiff discharge instructions constituted negligence per se. *427 In pretrial proceedings, the parties agreed that no evidence or argument would be permitted with regard to a lack of informed consent and the court entered an order to that effect.

Holley filed a motion in limine, requesting the court to preclude the admission of any evidence concerning the known risks of colonoscopy and polypectomy, any discussion of such risks with him, and whether he had any awareness of the risks. Holley relied on our recent decision in *Wright v. Kaye*, 267 Va. 510, 593 S.E.2d 307 (2004). There, we held that where a lack of informed consent is not in issue in a medical malpractice case, evidence of information given to the patient concerning the risks of surgery is irrelevant to the sole issue in the case: Whether the physician departed from the standard of care.

We observed that such evidence "could only serve to confuse the jury because the jury could conclude... that consent to the surgery was tantamount to consent to the injury...." *Id.* at 528-29, 593 S.E.2d at 317. Dr. Pambianco responded by pointing out a difference between *Wright* and the present case.

In *Wright*, the patient's conduct after surgery was not in issue. Here, the defense contends that Holley, having been informed of the risks of perforation of the colon, had a duty to mitigate his

damages by making prompt report of his post-operative symptoms and that his four-day delay in doing so greatly exacerbated his injuries.

The defense informed the court that the evidence would support jury instructions on both mitigation of damages and contributory negligence.[2] The court took the question under advisement and ultimately ruled that the evidence would be admitted only for the limited purpose of the defendants' contentions concerning mitigation of damages and contributory negligence.

The court stated that jury instructions would ensure that the jury would not consider such evidence in connection with the plaintiff's contention that the physician had departed from the standard of care.[3] At trial, Holley introduced an expert witness who testified that there are inherent risks in the use of a "hot biopsy forceps" technique in the removal of sessile polyps because the electric current sufficient to remove the polyp tissue may also be strong enough to burn a hole in the wall of the colon, particularly in areas where the colon wall is thin.

It was his opinion that Dr. Pambianco had departed from the applicable standard of care by using that technique to remove polyps in the transverse colon, where the wall is typically thin, and that in the circumstances of this case, the standard would have required use of a "cold biopsy technique" instead, whereby the polyps would be removed surgically, in small pieces, without using an electric current.

In cross-examination of the plaintiff's expert witness, defense counsel elicited the statistical frequency of perforations of the colon wall during all colonoscopies and polypectomies. The court overruled the plaintiff's objection to this testimony.

The witness stated that perforations occur at the rate of 1 per 10,000 in colonoscopies and 13 per 10,000 in polypectomies. During the defendants' case, defense counsel elicited similar testimony from Dr. Pambianco and each of the two expert witnesses called in his behalf, although all gave differing numbers. Each of the three expert witnesses, however, in response to questioning by plaintiff's counsel, testified that the statistics contained no breakdown between those cases involving perforations caused by negligence and those that did not.

Over plaintiff's objection, defense counsel, in his closing argument to the jury, referred to this testimony in the context of the standard of care: "I'm arguing statistics.... [T]hat risk factor cannot be taken out of the procedure. You can do everything exactly the way you're supposed to do it, and you can be absolutely prudent, absolutely careful and we still have a situation like that that occurred with Mr. Holley."

Analysis That argument, and the statistical evidence on which it was based, had nothing to do with the issue of mitigation of damages. Its admission was error for the reasons discussed in Wright. Further, the argument was based upon a premise unsupported by the evidence: That perforations are just as likely to occur in the absence of negligence as in its presence.

The statistical evidence was so misleading that, for all the jury could determine, each of the perforations of the colon contained in the statistics may have been due to a physician's negligence. In that event, the jury could infer the direct opposite of defense counsel's argument: That perforations occur only where the physician is negligent. See *McCloud v. Commonwealth*, 269 Va. 242, 259, 609 S.E.2d 16, 25 (2005) (evidence of a raw number of events, without describing their circumstances, can be misleading or confusing to the jury); *Sanitary Grocery Co. v. Steinbrecher*, 183 Va. 495, 499-500, 32 S.E.2d 685, 686-87 (1945) (evidence that 1,000 customers per day visited grocery store without injury inadmissible as misleading and throwing no light upon the facts of the case before the jury).

We conclude that such raw statistical evidence is not probative of any issue in a medical malpractice case and should not be admitted. Because the case must be remanded, we will discuss other issues that may arise in any further proceedings in the trial court.

The trial court did not err in ruling that the defense had the right to attempt to prove that the plaintiff had failed to mitigate his damages. See *Sawyer v. Commerci*, 264 Va. 68, 76-77, 563 S.E.2d 748, 753 (2002). In that connection, the defense had the right to adduce competent evidence that Holley had been warned of the danger of complications that might result from his surgery, of the symptoms that would signal such complications, and of the need to make prompt report of any such symptoms in order that appropriate and timely remedial steps could be taken.

The jury would be entitled to consider any failure or undue delay on his part in doing so, in the light of his condition at the time. The evidence offered at trial in support of that defense included a videotape shown to Holley in Dr. Pambianco's office about six weeks before his colonoscopy. Prepared by the American Gastroenterological Association, the tape was less than 10 minutes in length and was designed to be shown to patients contemplating future colonoscopy.

Generally reassuring in tone, the tape contained only two caveats upon which the defense relied: Although colonoscopy is a safe procedure, complications can occur rarely. These include perforation; that is, puncture of the colon wall which could require surgical repair. Occasionally minor problems may persist, such as bloating, gas or mild cramping. These should disappear in 24 hours or less.[4] The foregoing language contains no warning to the patient that a burden was being imposed upon him to take any post-operative steps for his own protection.

Its reassuring tone was more conducive to the opposite conclusion: That the procedure was "safe," that complications occur "rarely," that post-operative problems persist only "occasionally," and that if they do they "should disappear in 24 hours or less." It had no tendency to prove that Holley had failed to mitigate his damages and its admission was error. *429 In further support of their defense of failure to mitigate damages, the defendants relied on certain post-operative instructions allegedly given by hospital personnel to Holley's wife[5] and on the content of his two telephone conversations with Dr. Pambianco's nurse on the day after his surgery.

These matters were probative as to the defense of mitigation of damages and the trial court did not err in admitting them. Their weight and sufficiency, of course, were issues for the jury, which was entitled to consider their content, their timing, and the patient's ability to comprehend them. See *Lawrence v. Wirth*, 226 Va. 408, 412-13, 309 S.E.2d 315, 317 (1983).

Conclusion For the reasons stated above, we will reverse the judgment of the trial court and remand the case for further proceedings consistent with this opinion. Reversed and remanded.

NOTES [1] The record shows that a "colonoscopy" is a procedure whereby a "colonoscope," a flexible device containing a light source, a series of lenses, and a facility to insert air to distend the colon and fluids to wash the lenses, is inserted into the colon to permit a thorough examination of its interior walls.

The instrument also contains a channel through which surgical instruments may be introduced into the colon. "Polyps," sometimes found attached to the interior colon walls, are abnormal growths protruding inward. Because they may be or become cancerous, they are removed, if possible, during a colonoscopic procedure. Such a removal is called a "polypectomy." Polyps may either be "pedunculated" (attached to the colon wall by a narrow stem and more easily removed) or "sessile" (having a broad base attached to the colon wall).

[2] The defense ultimately decided not to ask for an instruction on contributory negligence. The court did give the jury an instruction covering the plaintiff's duty to "minimize" his damages. [3] The court gave the following instruction: "During this trial, evidence was introduced that Mr. Holley may have received information about the risks associated with his colonoscopy.

Whether or not Mr. Holley received such information is only relevant to the issue of whether Mr. Holley used ordinary care to mitigate his damages. You may not consider that evidence on the issue of whether defendants breached the applicable standard of care. By consenting to the surgery, Mr. Holley did not consent to any adverse consequences, known or unknown."

[4] By his motion in limine, Holley sought exclusion of the entire tape as unrelated to the issue of the standard of care. The defense asked for admission only of that portion of the tape containing the language quoted above, as relevant to the issue of mitigation of damages.

The trial court denied Holley's motion and ruled that the portion sought by the defense would be admitted, but advised counsel that the entire tape would be admitted, if Holley so desired, for the sake of completeness. Ultimately, Holley chose that alternative and the entire tape was shown to the jury.

[5] There was a conflict in the evidence whether Holley received post-operative instructions. He and his wife testified that they did not recall receiving them. The defendants relied on the standard discharge instructions traditionally given at the hospital after colonoscopy procedures.

The plaintiff argued that no reference was made to such instructions in his hospital chart and that therefore the court should hold that none were given and that this failure on Dr. Pambianco's part constituted negligence per se.

The defense failed to produce any discharge instructions in response to discovery, having received none in response to a subpoena of the hospital's records. On the evening before trial, Dr. Pambianco visited the hospital and found a form, signed by Holley, acknowledging receipt of discharge instructions.

The court refused to admit the form into evidence at trial because of the lateness of its production, but Holley's counsel stated that Holley agreed that the signature on it was his. The court instructed the jury: "A physician who has performed surgery has a duty to have his patient receive post[-]operative instructions."

The court refused plaintiff's proposed instruction stating that the physician's failure to give discharge instructions would constitute negligence per se. The court correctly ruled that the question whether discharge instructions were actually given was an issue of fact for the jury.

Because the peculiar factual circumstances of the trial, especially the timing of the production of the hospital form, are unlikely to reoccur in any future proceedings, we do not reach the assignment of error relating to these instructions.