

NEW YORK COURT OF APPEALS

Walsh v. Katz

17 N.Y.3d 336, 953 N.E.2d 753 (2011) · Decided June 2, 2011

SUMMARY

The New York Court of Appeals considered whether a statutory residency requirement for the Fishers Island town justice and town board member position in the Town of Southold violated equal protection. The court held that rational-basis review applied because the requirement imposed only an incidental and remote burden on voting rights, and concluded that the requirement was rationally related to ensuring meaningful representation for Fishers Island residents. The court affirmed the Appellate Division order upholding the requirement.

CASE DETAILS

COURT	New York Court of Appeals
WRITING FOR THE COURT	Jones, J.; Chief Judge Lippman; Judge Ciparick; Judge Graffeo; Judge Read; Judge Smith; Judge Pigott
JURISDICTION	New York
DECIDED	June 2, 2011
DISPOSITION	affirmed
PROCEDURAL POSTURE	Ross appealed as of right from the portion of an Appellate Division order upholding the constitutionality of a Fishers Island residency requirement for a combined town justice and town board member position and remitting the matter for entry of a declaratory judgment.
STANDARD OF REVIEW	The court applied rational-basis review, informed by the Anderson balancing approach, because the residency requirement imposed only an incidental and remote burden on voting and candidacy rights and did not directly or appreciably impair the right to vote or disenfranchise an identifiable class.
PRECEDENTIAL VALUE	published precedential opinion
PARTIES	Daniel C. Ross v. Anita S. Katz, Cathy L. Richter Geier, Suffolk County Board of Elections, Arthur J. Walsh, Nina J. Schmid

TOPICS

[election law](#)[election contests](#)[equal protection](#)[voting rights](#)[rational basis review](#)

PRACTICE AREAS

election law

constitutional law

municipal law

civil rights

QUESTIONS PRESENTED

1. Whether the Fishers Island residency requirement for the elected town justice/town board member position violates the Equal Protection Clause of the Fourteenth Amendment or the New York Constitution.
2. Whether the residency requirement should be reviewed under strict scrutiny rather than rational-basis review because it affects the rights to vote and to run for public office.
3. Whether the residency requirement impermissibly dilutes the voting power of Southold residents by reserving one town board seat for a Fishers Island resident.

HOLDINGS

1. The Fishers Island residency requirement is subject to rational-basis review rather than strict scrutiny because its effect on voting rights is incidental and remote and it does not directly or appreciably impair the right to vote or disenfranchise an identifiable class.
2. The Fishers Island residency requirement does not violate equal protection because it is rationally related to legitimate governmental interests, including ensuring meaningful representation for Fishers Island residents.

KEY QUOTATIONS

“We hold that the residency requirement does not violate the Equal Protection Clause.” (339)

“In so holding, we conclude that the requirement is subject to a rational basis test, and that a rational basis exists to justify the requirement.” (339)

“Thus, a court considering an equal protection challenge to a state election law must weigh “the character and magnitude of the asserted injury to the rights protected by the First and Fourteenth Amendments that the plaintiff seeks to vindicate” against “the precise interests put forward by the State as justifications for the burden imposed by its rule,” taking into consideration “the extent to which those interests make it necessary to burden the plaintiffs rights”” (344)

“We further conclude, as did the Appellate Division, that the Fishers Island residency requirement satisfies the rational basis test.” (347)

FACTUAL BACKGROUND

Fishers Island is part of the Town of Southold but is geographically isolated from the mainland and has no direct ferry service to the mainland portion of the town. Fishers Island residents comprise approximately 1.5 percent of Southold's population. New York legislation has long required the fifth Southold town justice, who also serves on the town board, to reside on Fishers Island, in part to provide the island with meaningful representation. Daniel C. Ross, a Southold resident who did not live on Fishers Island, sought the Democratic nomination for the seat, prompting a constitutional challenge to the residency requirement.

PROCEDURAL HISTORY

Ross filed a designating petition for the Fishers Island town justice/town board member seat despite residing elsewhere in the Town of Southold. Walsh and Schmid objected, but the Suffolk County Board of Elections upheld the petition. Supreme Court denied the petition and dismissed the proceeding without prejudice, concluding that the residency requirement did not apply until 30 days after commencement of the term. The Appellate Division modified the order, upheld the statute against an equal protection challenge, and held that residency had to be established by January 1, 2010. The Court of Appeals affirmed the order insofar as appealed from.

DISPOSITION

affirmed

CASES CITED

- Hunter v. Erickson, 393 U.S. 385 (1969)
- Golden v. Clark, 76 N.Y.2d 618, 624 (1990)
- Illinois Bd. of Elections v. Socialist Workers Party, 440 U.S. 173, 184 (1979)
- Munro v. Socialist Workers Party, 479 U.S. 189, 193 (1986)
- Tashjian v. Republican Party of Conn., 479 U.S. 208, 213-214, 217 (1986)
- Storer v. Brown, 415 U.S. 724, 730 (1974)
- Anderson v. Celebrezze, 460 U.S. 780, 788-789 (1983)
- Bullock v. Carter, 405 U.S. 134, 143 (1972)
- Galbraith v. New York Conservative Party, 155 A.D.2d 183, 185-186 (3d Dep't 1990)
- Reynolds v. Sims, 377 U.S. 533 (1964)
- Hadley v. Junior College Dist. of Metro. Kan. City, 397 U.S. 50 (1970)
- Board of Estimate of City of New York v. Morris, 489 U.S. 688 (1989)
- Dusch v. Davis, 387 U.S. 112 (1967)
- Dallas County v. Reese, 421 U.S. 477 (1975)
- Fortson v. Dorsey, 379 U.S. 433, 438 (1965)

OPINION

JONES, J.

OPINION OF THE COURT Jones, J. At issue, in this proceeding pursuant to Election Law § 16-102, is the constitutionality of the residency requirement for the elected position of town justice/town board member, Fishers Island, Town of Southold, Suffolk County (L 1860, ch 113, § 2, as amended by L 1898, ch 373, § 1, as further amended by L 1977, ch 276, § 1).

We hold that the residency requirement does not violate the Equal Protection Clause. In so holding, we conclude that the requirement is subject to a rational basis test, and that a rational

basis exists to justify the requirement.

I. Fishers Island is situated in the Town of Southold and located approximately 11 miles off the North Fork of the eastern coast of Long Island. While the island is only about two miles from the Connecticut coast, and has no direct ferry service to the mainland portion of the town, it has historically been part of Southold in Suffolk County.

The island is Election District 1 in the Town of Southold. According to data compiled from the 2000 United States Census, a total of 20,599 individuals resided in Southold. Of this number, 289 individuals were residents of Fishers Island, which represents approximately 1.5% of Southold's population.

In 1860, the State Legislature enacted a law providing for a fifth justice of the peace for the Town of Southold (see L 1860, ch 113, § 2). Pursuant to the law, the fifth justice of the peace was required to reside either upon Fishers Island or upon one of the islands adjacent thereto (*id.*). At the time the law was enacted, justices of the peace were also members of the Southold Town Board.

The law was amended in 1898 to require the fifth justice of the peace to reside on Fishers Island itself (see L 1898, ch 373, § 1). In 1976, pursuant to Laws of 1976, chapter 739, § 2 (adding Town Law § 60-a), the Legislature eliminated the dual role and town justices no longer served on town boards. This legislation had no effect on the requirement that the fifth justice reside on Fishers Island. *340In 1977, the Legislature reinstituted the dual town justice/ town board member position for Fishers Island and continued the long-standing residency requirement (see L 1977, ch 276, § 1), as follows: "There shall be elected at the meeting of the Town of Southold, in the county of Suffolk, to be held in the spring of [1899], and every four years thereafter, one town justice who shall reside upon Fisher's island in said town.

The justice so elected shall enter upon the duties of his office at the expiration of the term of office of his predecessor, and shall hold his office for four years. Notwithstanding the provisions of any other law, such town justice residing upon Fisher's island shall, in addition to his duties as town justice, serve as a member of the Southold town board" (emphasis added).¹ The Bill Jacket accompanying chapter 276 of the Laws of 1977 sets forth the Legislature's rationale in support of this enactment.

It states, in pertinent part: "The effect of the 1976 enactment is to remove the Fishers Island town justice from the Town Board and thus leave the people of Fishers Island without any representation. "Moreover, there is no direct transportation between Fishers Island and the mainland of the Town of Southold and therefore, it is virtually impossible for the Fishers Island residents to attend Town Board meetings.

It has been the custom for the resident town justice of Fishers Island to keep the residents of the Island apprised of Town matters as well as to present their views on various issues which may concern them. In effect, the Fishers Island town justice, as a member of the Town Board, has acted as the link between Town government and the people of Fishers Island.

It has been argued that the effect of the 1976 amendment to the Town Law is to deprive the residents of Fishers Island from meaningful participation in Town government” (Sponsor’s Mem, Bill Jacket, L 1977, ch 276; *341see also id., I. Evanick letter, June 2, 1977 [stating, “By operation of law the residents of Fishers Island, who are in a unique geographical position, will suffer the loss of meaningful representation.

This legislation was intended to rectify this situation”]; id., Dept of State Mem from M. Cuomo, June 6, 1977 [Mem noted that application of the 1976 legislation to Southold would be “unfeasible” given the “unusual circumstances” regarding Fishers Island’s access to Southold and the island’s “limited population base,” and stated that “(Representation on the town board is the primary consideration”)]).

Since 1977, there have been no further amendments to the Fishers Island residency requirement for the elected position of town justice/town board member, which position is elected in a town-wide election. II. In July 2009, respondent Daniel C. Ross, a resident of Southold but not a resident of Fishers Island, filed with the Suffolk County Board of Elections (BOE) a petition designating himself a candidate in the September 2009 primary election for the nomination of the Democratic Party as its candidate for the Fishers Island town justice/town board member seat.

Petitioners Arthur J. Walsh and Nina J. Schmid — residents of Fishers Island — filed objections to the designating petition, alleging that it was invalid because Ross did not meet the residency requirement. Respondents Anita S. Katz and Cathy L. Richter Geier, as Commissioners of the BOE, denied the objections and upheld the designating petition. Petitioners commenced this Election Law proceeding seeking to prohibit the BOE from placing Ross’s name on the ballot for the September 2009 primary election or the November 2009 general election based on Ross’s failure to meet the residency requirement.

Ross counterclaimed and, in effect, cross-petitioned to validate the designating petition, challenging, among other things, the constitutionality of the residency requirement. Ross also sought to join the Town of Southold and the State of New York as parties. Supreme Court denied the petition and dismissed the proceeding, without prejudice, on the ground that Ross was not required to meet the residency requirement until 30 days after the commencement of the term of office — in this case, by January 30, *3422010.

The Appellate Division modified the Supreme Court order and upheld the constitutionality of the statute on equal protection grounds (66 AD3d 1052 [2d Dept 2009]). The court held that a rational

basis standard was applicable, and that a rational basis exists to support the Legislature's determination that the fifth town justice/town board member for Southold should be a resident of Fishers Island.

With respect to Supreme Court's ruling that the prevailing candidate need not abide by the residency requirement until 30 days after beginning his or her term of office, the court modified Supreme Court's order by holding that January 1, 2010 was the appropriate date by which a candidate had to meet the residency requirement. Ross appeals as of right, pursuant to CPLR 5601 (b) (1) constitutional question grounds, as limited by his notice of appeal, from that portion of the Appellate Division order that: (1) upheld the constitutionality of the Fishers Island residency requirement; and (2) remitted the matter to Supreme Court for entry of a judgment declaring that Ross did not establish that the challenged law is unconstitutional.

Ross challenges the residency requirement only as it applies to the position on the Town Board of the Town of Southold. Although Ross lost the November 2009 general election, this appeal presents a live controversy. Supreme Court converted and continued Ross's constitutional claims as a declaratory judgment action, and the Appellate Division decided the constitutional issues.

Though no longer a candidate, Ross is a voter who claims that his right to vote is being unconstitutionally burdened. III. Ross argues that the Appellate Division improperly used a rational basis standard, as opposed to a strict scrutiny standard, in determining that the residency requirement was constitutional. He contends that the residency requirement is subject to strict scrutiny because it affects fundamental interests — the right to run for public office and the right to vote.

Ross further argues that the residency requirement violates equal protection guarantees by reserving one Town Board seat to a group of people that make up less than 1.5% of the Town of Southold's population. He contends that every voter's interest in being able to vote for this office is limited by the residency requirement. Relying on *Reynolds v Sims* (377 US 533 [1964]), Ross argues that the residency requirement violates the *343 constitutional right of a qualified voter not to have his/her vote diluted.

According to Ross, even when the seat is contested, the person who ultimately occupies the office must be a Fishers Island resident, thereby creating a district within an otherwise at-large town election system that violates the equal protection provisions of the Federal and State Constitutions. Next, Ross argues there is no governmental interest promoted by the residency requirement.

He maintains that the reasons for the challenged legislation, which are set forth in the Bill Jacket, are not compelling, and no rational bases support the law. We disagree with Ross's arguments. Section 1 of the 14th Amendment to the Federal Constitution provides in part that "[n]o State shall... deny to any person within its jurisdiction the equal protection of the laws." The Federal

Equal Protection Clause extends to the states and their subdivisions (see e.g. *Hunter v Erickson*, 393 US 385 [1969]), and this Court has held that the State Constitution's equal protection guarantee (NY Const, art I, § 11 ["No person shall be denied the equal protection of the laws of this state or any subdivision thereof"]) is as broad in its coverage as that of the Fourteenth Amendment (see *Golden v Clark*, 76 NY2d 618, 624 [1990] [citations omitted]). "[V]oting is of the most fundamental significance under our constitutional structure" (*Illinois Bd. of Elections v Socialist Workers Party*, 440 US 173, 184 [1979]); however, the right to vote in any manner and the right to associate for political purposes through the ballot are not absolute (see *Munro v Socialist Workers Party*, 479 US 189, 193 [1986]).

States play an active role in structuring and regulating their own elections (see *Tashjian v Republican Party of Conn.*, 479 US 208, 217 [1986]; *Storer v Brown*, 415 US 724, 730 [1974] ["(A)s a practical matter, there must be... substantial (governmental) regulation of elections if they are to be fair and honest and if some sort of order, rather than chaos, is to accompany the democratic processes"]).

Moreover, because election laws invariably impose some burden upon individual voters (see *Anderson v Celebrezze*, 460 US 780, 788 [1983] ["whether (the voting regulation) governs the registration and qualifications of voters, the selection and eligibility of candidates, or the voting process itself, (the regulation) inevitably affects — at least to some degree — the individual's right to vote and his right to associate with others for political ends"]), subjecting all voting regulations to strict scrutiny, and requiring that the regulations be narrowly tailored *344to advance a compelling state interest, could interfere with the state's ability to ensure the fair and efficient operation of elections.

Thus, a court considering an equal protection challenge to a state election law must weigh "the character and magnitude of the asserted injury to the rights protected by the First and Fourteenth Amendments that the plaintiff seeks to vindicate" against "the precise interests put forward by the State as justifications for the burden imposed by its rule," taking into consideration "the extent to which those interests make it necessary to burden the plaintiffs rights" (*Anderson*, 460 US at 789; *Tashjian*, 479 US at 213-214).

In sum, a court's inquiry into the propriety of a state election law depends upon the extent to which a challenged regulation directly infringes upon First and Fourteenth Amendment rights. The direct impact of the Fishers Island residency requirement is not on one's right to vote, but on an individual's right to be a candidate for public office.

Although "laws that affect candidates always have at least some theoretical, correlative effect on voters..., not every limitation or incidental burden on the exercise of voting rights is subject to a stringent standard of review" (*Bullock v Carter*, 405 US 134, 143 [1972]). That is, the mere fact that a state election law "creates barriers... tending to limit the field of candidates from which

voters might choose... does not of itself compel close scrutiny” (id.; see *Galbraith v New York Conservative Party*, 155 AD2d 183, 185-186 [3d Dept 1990] [the right to run for elective office is not fundamental]).² Ross cannot point to a residency requirement case that supports his position.

Instead, he argues that this case should be considered under the analysis applied in apportionment cases which involve the “one person, one vote” principle. However, Ross’s reliance on these cases in support for stringent review of the residency requirement is misplaced.

In the apportionment cases cited by Ross, there were disproportionate numbers of voters in two districts, where each district directly elected its own representative. This resulted in unequal voting power between the two groups of voters, and the Supreme Court found that the citizens’ votes had been diluted (see *Reynolds v Sims*, *345supra; *Hadley v Junior College Dist. of Metropolitan Kansas City*, 397 US 50 [1970]; *Board of Estimate of City of New York v Morris*, 489 US 688 [1989]).

Here, the challenged residency requirement imposes only reasonable, nondiscriminatory restrictions upon the First and Fourteenth Amendment rights of the voters of the Town of Southold. Since all Town Board members are elected in a town-wide election, the voters are not unduly restricted in their choice of candidates for Town Board positions.

As a result, all Southold voters may vote in the elections for all six Town Board positions, including the position reserved for the Fishers Island town justice. Stated differently, each citizen of the town has an equal vote as they are all entitled to vote for the town justice/ town board seat. Further, the residency requirement does not require a candidate to be a resident of Fishers Island prior to commencement of his/her term of office.

In other words, the winner of the town justice/town board position does not need to establish residency on Fishers Island until the beginning of his/her term, and must only retain that residency for the duration of the term.

Thus, any Southold resident who would otherwise be eligible to run for political office can run for the Fishers Island seat. Notably, the United States Supreme Court has addressed similar residency requirements in *Dusch v Davis* (387 US 112 [1967]) and *Dallas County v Reese* (421 US 477 [1975]).

In *Dusch* and *Dallas County*, the Supreme Court rejected equal protection challenges, upholding electoral plans in which candidates were required to be residents of specific geographic areas within a larger political unit so long as all of the voters in the larger political unit were allowed to vote for each candidate.

The Supreme Court recognized that a state has a legitimate interest in assuring an elected representative is familiar with the unique problems of a specific geographic area, and rejected the

idea that numerical variances between political units, standing alone, are sufficient to make out an equal protection challenge in such cases. *Dusch* involved an election plan for city council under which four members were elected at large, without regard to residence, and seven members, subject to a residency requirement, were elected by voters of the entire city.

Each one of the seven members was required to live in one of the seven boroughs of *346the city. The Supreme Court held that the election plan did not constitute invidious discrimination violative of the Equal Protection Clause even though the populations of the seven boroughs varied from less than 1,000 (i.e., 733) to nearly 30,000 (i.e., 29,048), and where the plan made no distinction based on race, creed, economic status or location.

In Dallas County, the Alabama State Legislature established a system for the election of members of the county commission of Dallas County, Alabama. The system provided for countywide balloting for each of the four commission members but required that a member be elected from each of four residency districts.

The Supreme Court held that the system was not unconstitutional even though the populations of the four districts varied widely. The Court determined that to establish the unconstitutionality of such an election plan, the challenge must be based on findings showing that the plan impermissibly dilutes the voting strength of an identifiable element of the voting population.

The Supreme Court also reiterated a basic teaching of representative government that it discussed previously in *Dusch* (387 US at 115) and *Fortson v Dorsey* (379 US 433, 438 [1965]), “that elected officials represent all of those who elect them, and not merely those who are their neighbors” (*Dallas County*, 421 US at 480). Applying this principle here, since the Fishers Island seat is subject to a town-wide vote, the individual elected to fill the seat represents the entire town, not just the residents of Fishers Island.

Thus, Ross’s contention that the residency requirement gives the people of Fishers Island a permanent advantage of greater representation is unavailing. Based on the foregoing, we agree with the Appellate Division that the rational basis approach is the proper standard of review in the present case. There is no direct and appreciable impact on Southold’s residents’ right to vote.

Nor has an identifiable class within the town been disenfranchised. To be sure, the Fishers Island residency requirement affects the right to vote, but only in an incidental and remote way. This statutory provision does not rise to a level which would require that it be subject to strict scrutiny.

We further conclude, as did the Appellate Division, that the Fishers Island residency requirement satisfies the rational basis test. In particular, the legislative history of the residency requirement, discussed supra, articulates several rational bases *347for the residency requirement and retaining the dual town justice/town board member seat — e.g., the main purpose of the legislation is to

ensure that the residents of Fishers Island are not deprived of meaningful representation in town government (see Sponsor's Mem, Bill Jacket, L 1977, ch 276).

Accordingly, the order of the Appellate Division, insofar as appealed from, should be affirmed, without costs. Chief Judge Lippman and Judges Ciparick, Grappeo, Read, Smith and Pigott concur. Order, insofar as appealed from, affirmed, without costs..

The 1977 legislation also replaced the "justice of the peace" title with "town justice" (see Dept of State Mem from M. Cuomo, June 6, 1977, Bill Jacket, L 1977, ch 276).. Strict scrutiny has been held applicable to ballot access cases involving restrictions based on wealth or restrictions that impose special burdens on new or small political parties or independent candidates (see *Golden v Clark*, 76 NY2d at 624-626).