

SUPREME JUDICIAL COURT OF MAINE

Guardianship of Lantigua

2016 ME 29, 133 A.3d 252 · Decided February 11, 2016

SUMMARY

The Maine Supreme Judicial Court reviewed a Probate Court judgment appointing the children’s maternal grandmother as their limited guardian. The court upheld the appointment based on the grandmother’s status as a de facto guardian, the father’s lack of consistent participation, and the temporarily intolerable living situation created by the father’s conduct. It remanded for the Probate Court to specify the guardian’s duties and powers and the parental rights and responsibilities retained by the father.

CASE DETAILS

COURT	Supreme Judicial Court of Maine
WRITING FOR THE COURT	Gorman, J.; Alexander; Gorman; Hjelm; Humphrey; Jabar; Mead; Saufley
JURISDICTION	Maine
DECIDED	February 11, 2016
DISPOSITION	reversed_and_remanded
PROCEDURAL POSTURE	Leopoldo A. Lantigua appealed from the Waldo County Probate Court's judgment appointing Dale C. Tempesta as the limited guardian of Lantigua's children.
STANDARD OF REVIEW	The court reviewed the Probate Court's legal conclusions de novo and its factual findings for clear error.
PRECEDENTIAL VALUE	published opinion
PARTIES	Leopoldo A. Lantigua v. Dale C. Tempesta

TOPICS

[guardianship procedure](#) [guardianships](#) [family law procedure](#) [appellate procedure](#) [appellate jurisdiction](#)

PRACTICE AREAS

[guardianships](#) [probate](#) [family law](#) [appellate procedure](#)

QUESTIONS PRESENTED

1. Whether the Probate Court properly appointed Tempesta as guardian based on her status as a de facto guardian and Lantigua's lack of consistent participation.
2. Whether the Probate Court properly found that Lantigua's treatment of the children created a temporarily intolerable living situation supporting guardianship.
3. Whether the Probate Court was required to specify the duties and powers granted to the limited guardian and the parental rights and responsibilities retained by Lantigua.

HOLDINGS

1. The Probate Court properly determined that Tempesta was entitled to a guardianship over the children based on the statutory guardianship grounds and the evidence of Lantigua's limited participation and conduct.
2. A limited guardianship was an appropriate response to Lantigua's temporary or partial unfitness.
3. The Probate Court erred by failing to specify the duties and powers granted to Tempesta and the parental rights and responsibilities retained by Lantigua.

KEY QUOTATIONS

“Based on our de novo review of the court’s legal conclusions, and after reviewing the court’s factual findings for clear error, Estate of Miller, 2008 ME 176, ¶ 9, 960 A.2d 1140, we do not find any of Lantigua’s challenges persuasive.” (256)

“The court’s error was its failure to specify the parental rights and responsibilities retained by Lantigua.” (257)

FACTUAL BACKGROUND

Lantigua and the children's mother divorced in 2010, and the District Court initially awarded shared parental rights and responsibilities, with the mother having primary residence. After concerns arose about the mother's ability to care for the children, Lantigua obtained sole parental rights and responsibilities in 2012, but he allowed the children to remain with Tempesta and did not establish a guardianship arrangement. The children lived with Tempesta for more than three years, while Lantigua had minimal contact and financial involvement; after he abruptly removed them to Florida, the children experienced behavioral and emotional difficulties. Tempesta then sought guardianship, and the Probate Court appointed her limited guardian.

PROCEDURAL HISTORY

After the District Court awarded Lantigua sole parental rights and responsibilities, the children continued to live with their maternal grandmother, Tempesta, for several years. Tempesta petitioned the Waldo County Probate Court for guardianship, and after hearings the Probate Court appointed her limited permanent guardian based on her de facto guardian status, Lantigua's lack of consistent participation, and a temporarily intolerable living situation. The Maine Supreme Judicial Court affirmed the appointment but remanded for the Probate Court to specify the guardian's powers and duties and the parental rights retained by Lantigua.

DISPOSITION

reversed_and_remanded

REMAND INSTRUCTIONS

The Probate Court must amend its order to specify the duties and powers granted to Tempesta and the parental rights and responsibilities retained by Lantigua. The amended order may also include specific actions and timeframes for returning the children to Lantigua if the parties have complied with the existing order.

CASES CITED

- *Marin v. Marin*, 2002 ME 88, ¶ 7, 797 A.2d 1265
- *Estate of Miller*, 2008 ME 176, ¶ 9, 960 A.2d 1140
- *Guardianship of Jewel M. (Jewel II)*, 2010 ME 80, ¶¶ 50-51, 2 A.3d 301

OPINION

GORMAN, J.

GORMAN, J. [¶ 1] Leopoldo A. Lantigua, the father of Kiara L. and Bella A. Lantigua, appeals from a judgment of the Waldo County Probate Court (Longley, J.) appointing Dale C. Tempesta, the girls' maternal grandmother, as limited guardian of the girls. Lantigua argues that the court erred by granting Tempesta guardianship based on both her status as the children's de facto guardian and the temporarily intolerable living situation created by Lantigua.

We affirm that portion of the Probate Court's judgment that awards Tempesta a limited guardianship, but remand to the court to comply with 18-A M.R.S. § 5-105 (2015). I. BACKGROUND [¶ 2] The court made the following findings of fact, which are supported by competent evidence in the record. Kiara and Bella are fourteen and nine years old, respectively. When Lantigua and the girls' mother were divorced in 2010, the District Court (Belfast, Worth, J.) awarded Lantigua and the mother shared parental rights and responsibilities, and awarded the mother the right to provide the children's primary residence.

Lantigua, who has served in the United States Navy for about seventeen years, was deployed at sea during the years following the divorce, and the girls lived with their mother in Maine. [¶ 3] When concerns about the mother's substance abuse, mental health, and ability to care for the girls arose in the summer of 2011, Lantigua moved to modify the District Court's order.

At the time of Lantigua's motion, he was stationed aboard a ship and the girls were living with Tempesta. In his motion, Lantigua asked the court to "[a]ward residential care of the minor children to [Tempesta]." [¶ 4] In December of 2011, before hearing Lantigua's motion, the District Court (Twicer, J.) entered an ex parte order awarding Tempesta temporary custody of the children based upon a finding that the children were in jeopardy in the care of their mother.

See 19-A M.R.S. § 1653(2)(C) (2015); see also 22 M.R.S. § 4002(6) (2015). Soon thereafter, the court granted Tempesta's request for in-tervenor status in the District Court action. See M.R. Civ. P. 24,111(c). [¶ 5] After conducting a hearing in April of 2012 on Lantigua's motion "to modify, the District Court (Sparaco, J.) awarded Lantigua sole parental rights and responsibilities, see 19-A M.R.S. § 1657(1)(A) (2015), but -also noted 'that Lantigua intended for the children to continue to reside with Tempesta; the court encouraged Lantigua to make guardianship arrangements with Tempesta: '[Lantigua] intends to and can make independent guardianship arrangement for the children while he is away through the Navy's Family Care Plan.

[Lantigua] has a good relationship with [Tempesta]. If awarded sole parental rights and responsibilities, [Lantigua] intends to provide for the children to remain residing with [Tempesta] while he is away. [¶ 6] This order, dated April 19, 2012, was the last order concerning these children issued by the District Court. Notwithstanding the District Court's advice, Lantigua never created a guardianship arrangement through the Navy's Family Care Plan, nor did he petition the Probate Court to make Tempesta the guardian of his children.

Instead, Lantigua allowed the children to remain in Tempesta's care in the absence of any legal guardianship. [¶ 7] Although Lantigua's deployment ended in June of 2012, the girls did not see their father until after their mother died in December of 2012. Soon after returning to Florida after the funeral, Lantigua informed Tempesta that he did not want any information about his children because it was "too hard for him to hear what was going on in the children's lives." The court found that as a result of these decisions, by the summer of 2014, "[b]ased on minimal participation, and long absences, [Lantigua] 'barely-knew' his children anymore." Lantigua also decreased his child support contribution from \$700 to \$400 per month — an amount insufficient to cover the cost of the children's care.¹ *254 [¶ 8] On September 4, 2014, Tempesta filed two multi-page petitions — -one for each child — in the Waldo, County Probate Court seeking guardianship of the children pursuant to 18-A M.R.S. § 5r-204 (2015).

Each petition was accompanied by five separate documents comprising ten pages — the three-page petition, a single-page acceptance, a two-page guardianship plan, a three-page child custody affidavit, and a single-page public assistance affidavit. Tempesta incorrectly answered "no" to the question on each of the child custody affidavits that asked her if she had participated in "any other proceeding concerning the custody of or visitation with the child." By doing so, she failed to, alert the Probate Court that an outstanding parental rights order governing these children existed in the District Court. [¶ 9] " By the time Tempesta filed these initial petitions, the girls had lived with Tempesta in Belfast for over three 'years.

Tempesta alleged as a basis for her petition that she was a "dé facto guardian" of the children and that Lantigua had "demonstrated a lack of consistent participation with the minor[s]." See 18-A M.R.S. §§ 5-10K1-B), (1-C), 5-204(d) (2015). She alleged that, the children wished to continue

living with her in Maine, that Lantigua refused to financially support the children, and that he had made no attempt to visit them in over a year.², [¶ 10] After receiving notice of the guardianship petitions, Lantigua did not immediately file a response alerting the Probate Court about the District Court's order awarding him sole parental rights and responsibilities.

Instead, he arrived without warning at the children's schools on Friday, September 19, 2014, accompanied by his attorney and a deputy sheriff, and announced that he was taking them back to Florida with him. The next morning, the girls were so upset that airport security officers refused to allow them to board the plane to Florida. Lantigua ultimately drove the children to Florida in a rental car.

According to Lantigua, he opted to remove his children from the person who had been their primary caretaker for over three years, without notice to them or her, in order to avoid the "hassle" of working with Tempesta. [¶ 11] Within weeks after Lantigua moved the children to Florida, Tempesta filed petitions in the Probate Court seeking to be appointed the temporary guardian of the children pursuant to 18-A M.R.S. § 5-207(e) (2015) (providing that temporary guardianships "may not last longer than 6 months").³, [¶ 12] In a proper exercise of its jurisdiction, the Probate Court conducted hearings in November of 2014 and February of 2015; the children lived with Lantigua in Florida during this time.

At the hearing, Lantigua acknowledged that his daughters were having behavioral problems while in his care and that he was in an "uphill battle" with them. The girls remained sad and angry because they had been removed from their home in Maine. [¶ 13] After the second day of testimony, by judgment dated February 12, 2015, the Probate Court appointed Tempesta the limited permanent guardian of the children.

See 18-A M.R.S. §§ 5-105, 5-204(d), 5-207(b) (2015).. The court required Tempesta to arrange for the children to return to Maine immediately. It also established a plan for contact with Lantigua, and ordered Tempesta to allow Lantigua and the children "ample opportunities to develop their extremely important father-daughter bonds." [¶ 14] On Lantigua's motions to reconsider and for further findings of fact and conclusions of law, the Probate Court issued a judgment with additional findings and conclusions.

The court stated that it had relied on two separate legal theories to award the guardianships to Tempesta. First, the court concluded that Tempesta had proved that, as she alleged in her original petitions, she was the children's de facto guardian and that Lantigua had "demonstrated [a] lack of consistent participation" with the children. See 18-A M.R.S. §§ 5-101(l-B), (1-C), 5-204(d).

As part of this determination,, the court found that in the years that Tempesta had been caring for the children, she had not been doing so as a result of any guardian's powers delegated to her by Lantigua pursuant to 18-A M.R.S. § 5-104 (2015).

The court specifically found that although Lantigua asserted that he had “at some point” given a power of attorney to Tempesta, that assertion was not credible because Lantigua failed to provide any details of any such power of attorney. See 18-A M.R.S. § 5-101(l-B) (excluding from the definition of “[d]e facto guardian” an individual who has been delegated guardianship powers pursuant to section 5-104). [¶ 15] Second, the court found, by clear and convincing evidence, that Lantigua’s treatment of his children—including the abrupt manner in which he removed them from what had been their home for the last several years — created a temporarily intolerable living situation..

See 18-A.M.R.S. § 5-204(c). - Specifically, the court found, Despite all the above, Lantigua also has continued to fail to realize that he has negatively affected and further harmed his children in ways that have been both dramatic and traumatic by insisting on his sudden, [forced] removal of these twice-already deeply hurt children from the stable home and care of their primary caregiving maternal grandmother.

(Footnote omitted.) Lantigua appeals.⁴ II. DISCUSSION [¶ 16] Jurisdiction over cases involving the custody, care, and control of children is divided between the District Court and Probate Court. Pursuant to 19-A M.R.S. § 103 (2015), the District Court has “original” jurisdiction over cases involving parental rights,⁵ but the Probate Court has exclusive jurisdiction to appoint guardians *256for minors pursuant to 18-A M.R.S. § 5-102(a) (2015).

More and more frequently, family litigation causes these two court systems to exercise concurrent jurisdiction, and the “marriage” caused by this concurrent jurisdiction is very rocky. Although 19-A M.R.S. § 1654 (2015) confers on the Probate Court concurrent jurisdiction to award parental rights and responsibilities “[i]f the father and mother of a minor child are living apart,”⁶ see *Marin v. Marin*, 2002 ME 88, ¶ 7, 797 A.2d 1265 (recognizing that the Probate Court may “determine issues of parental rights and responsibilities as they relate[] to the guardianship proceeding in which they arose”), in actuality, the Probate Courts deal with guardianships, while only the District Courts, which do not have jurisdiction over title.

18-A guardianships, deal with parental rights and responsibilities. When, as here, there is an outstanding parental rights and responsibilities order by the District Court and a non-parent seeks a guardianship over the children affected by that order, chaos and confusion reign. [¶ 17]- In this case, after considering all of the evidence presented at trial, and after explicitly acknowledging the existence of the District Court’s orders, the Probate Court found, by clear and convincing evidence, that Tempesta was entitled to be named the children’s guardian based on her status, as the children’s de facto guardian and Lantigua’s lack of consistent participation, and also based on the temporarily intolerable living situation created by Lantigua.

See 18-A M.R.S. § 5-204(c), (d). Lantigua challenges both conclusions.⁷ Based on our de novo review of the court’s legal conclusions, and after reviewing the court’s factual findings for clear

error, *Estate of Miller*, 2008 ME 176, ¶ 9, 960 A.2d 1140, we do not find any of Lantigua's challenges persuasive and do not discuss them in detail.

Because we agree with the Probate Court that only a limited guardianship was an appropriate response to Lantigua's temporary and/or partial "unfitness," however, we remand the case to the Probate Court with instructions to amend its judgment to list those specific duties and powers that are awarded to Tempesta and those parental rights and responsibilities that are retained by Lantigua.

See 18-A M.R.S. § 5-105. Given the court's requirement that Tempesta provide Lantigua and the girls with "ample opportunities" to develop their parent-child bonds, and if the parties have been complying with the court's current order, the amended order may also include specific actions and timeframes for the return of these children to Lantigua. [¶ 18] Tempesta has cared for these children, without much input or assistance from Lantigua, for several years.

Based on those circumstances, she was entitled to ask for and receive a guardianship over them. We acknowledge that Lantigua has made some bad choices about whether to maintain relationships with his children, whether to support them, and how to respond when Tempesta filed for a guardianship. Our concern is that the guardianship ordered by the court is a blunt instrument⁸ that will not improve the very nuanced and delicate relationship among the four individuals whose lives are affected here. [¶ 19] Title 18-A M.R.S. § 5-209 (2015) states that the guardian of a minor "has the powers and responsibilities of a parent who has not been deprived of custody of a minor." Pursuant to 18-A M.R.S. § 5-105, however, a Probate Court may, in any case in which a guardian could be appointed, "appoint a limited guardian with fewer than all of the legal powers and duties of a guardian." That such limited guardianships are available for minors is reinforced by section 5-204, which explains that a court may appoint a limited guardian for a child so long as the court specifies "the duties and powers of the guardian, as required in section 5-105, and the parental rights and responsibilities retained by the parent of the minor." 18-A M.R.S. § 5-204 (emphasis added). [¶20] Here, where Lantigua's "unfitness" was due to his failure to stay in contact with his children and his inappropriate handling of Tempesta's filing of guardianship petitions, the court appropriately responded by granting Tempesta a limited guardianship.

The court's error was its failure to specify the parental rights and responsibilities retained by Lantigua. The entry is: Judgment affirmed to the extent that it appoints Tempesta as the children's limited guardian. Remanded to the Probate Court with instructions to amend the order to specify which duties and powers are granted to Tempesta and which parental rights and responsibilities are retained by Lantigua..

The Probate Court found that Lantigua began sending less in child support when he learned that Tempesta was receiving \$1,000 per month from the children's Social Security survivor benefits.. The court found that from December of 2012 to September of 2014,, Lantigua saw his children

only three times.. It is not clear why Tempesta determined that it was necessary to file for a temporary guardianship, unless it was because the statute seems to allow for a hearing after only five days of notice.

See 18-A M.R.S. § 5-207(c) (2015). The Probate Court took no action on the petitions for appointment of a temporary guardian, and its judgment appoints Tempesta based on her original September of 2014 petitions for guardianship pursuant to 18-A M.R.S. § 5-204 (2015) rather than her October of 2014 petitions for temporary guardianship pursuant to 18-A M.R.S. § 5-207(c)..

After Tempesta's appointment as guardian, the children returned to Maine and have continued to reside with Tempesta during the pendency of this appeal.. In *Guardianship of Jewel M. (Jewel II)*, we did state that the District Court was the court with "primaui" jurisdiction over cases involving parental rights and responsibilities. 2010 ME 80, ¶ 50, 2 A.3d 301.

The statute we referenced, however, clearly states that the District Court has "original" jurisdiction over these cases. 19-A M.R.S. § 103 (2015).. In *Jewel II*, we recognized the principle that the Probate Court impermissibly invades the District Court's jurisdiction over matters involving parental rights when the Probate Court takes on, in the form of marginal guardianship proceedings, matters that create an undue risk of separate yet simultaneous proceedings involving the best interest of children.

2010 ME 80, ¶ 51, 2 A.3d 301. The case before us is not such a proceeding., Lantigua also challenges the court's use of a report made by a guardian ad litem. Because the court specifically stated that it did not rely on that report, we do not further address this claim.. If Tempesta and Lantigua were the parents of these children and the same facts had been presented to the District Court, that court would have been able to fashion an order that allowed the adults to share responsibility for the children.

Although the children might very well have been ordered to be returned to Maine, it is very unlikely that Lantigua would have been completely shut out of the right to make any decisions about his children.