

UNITED STATES COURT OF APPEALS FOR THE SEVENTH CIRCUIT

Ademus Saechao v. Cheryl Eplett

No. No. 20-1356, United States Court of Appeals for the Seventh Circuit (March 16, 2021)

SUMMARY

In this §2254 habeas case, the Seventh Circuit held that a state trial court's disqualification of the petitioner's retained counsel due to a potential conflict of interest was not an unreasonable application of *Wheat v. United States*, 486 U.S. 153 (1988). The court emphasized that the state judiciary's decision was entitled to double deference under AEDPA, particularly where a co-defendant appeared on the prosecution's witness list and had refused a general conflict waiver, creating a serious risk that counsel would have to cross-examine a former client using confidential information. The Seventh Circuit also clarified that its own precedent in *United States v. Turner*, 594 F.3d 946 (7th Cir. 2010), does not constitute "clearly established Federal law, as determined by the Supreme Court" for purposes of §2254(d)(1), and thus cannot override the discretion afforded to state courts. Key topics: Sixth Amendment right to counsel, conflict of interest, disqualification of retained counsel, AEDPA deference, and *Wheat* standard.

CASE DETAILS

COURT	United States Court of Appeals for the Seventh Circuit
WRITING FOR THE COURT	Easterbrook; Ripple; Rovner
JURISDICTION	Federal
DECIDED	March 16, 2021
DOCKET	No. 20-1356
DISPOSITION	affirmed
PROCEDURAL POSTURE	Appeal from the United States District Court for the Western District of Wisconsin. No. 17-cv-370-slc — Stephen L. Crocker, Magistrate Judge.
STANDARD OF REVIEW	28 U.S.C. §2254(d)(1) - The state court's decision must be contrary to or involve an unreasonable application of clearly established Federal law as determined by the Supreme Court of the United States.
PRECEDENTIAL VALUE	Published
PARTIES	Ademus Akadeameuer Saechao v. Cheryl Eplett, Warden, Oakhill Correctional Institution

TOPICS

criminal procedure

habeas corpus

sixth amendment

right to counsel

standard of review

appellate jurisdiction

PRACTICE AREAS

Criminal Law

Habeas Corpus

Constitutional Law

QUESTIONS PRESENTED

1. Whether the state court unreasonably applied clearly established federal law as determined by the Supreme Court in *Wheat v. United States* when it disqualified Saechao's chosen counsel based on the risk of conflict of interest.

HOLDINGS

1. The state court's decision to disqualify counsel based on the risk of conflict was not an unreasonable application of *Wheat*, given that Alonso-Bermudez was a potential witness and refused a comprehensive waiver.

KEY QUOTATIONS

"A state court's decision addressing the merits of a legal claim, as Wisconsin's judiciary did, cannot be upset on collateral review unless the adjudication 'resulted in a decision that was contrary to, or involved an unreasonable application of, clearly established Federal law, as determined by the Supreme Court of the United States.'" (at 3)

"Perhaps more important, Turner just doesn't matter on collateral review under §2254(d)(1), which says that the writ must not issue unless the state court's decision is contrary to 'clearly established Federal law, as determined by the Supreme Court of the United States' (emphasis added). This means that decisions by the federal courts of appeals do not count, except to the extent that they illuminate the meaning of the Supreme Court's decisions." (at 5-6)

FACTUAL BACKGROUND

Saechao was charged in Wisconsin state court with armed robbery, false imprisonment, and related offenses. He retained attorney Jay Kronenweiter. The state also charged Alonso-Bermudez, Rohmeyer, and Schulte with participating in the same crimes. The public defender's office appointed Kronenweiter to represent Alonso-Bermudez without knowing he already represented Saechao. This created a potential conflict of interest. Kronenweiter expressed concern and withdrew as Alonso-Bermudez's counsel after six weeks, but the trial judge wanted an unconditional waiver from both defendants. Saechao waived, but Alonso-Bermudez refused to sign a general waiver. The trial judge disqualified Kronenweiter, and Saechao went to trial with a new lawyer and was convicted.

PROCEDURAL HISTORY

Saechao was convicted in Wisconsin state court after his retained counsel was disqualified due to a conflict of interest. The Wisconsin Court of Appeals affirmed the conviction. Saechao then filed a petition for a writ of habeas corpus under 28 U.S.C. §2254 in the United States District Court for the Western District of Wisconsin, which denied the petition. Saechao appealed to the Seventh Circuit.

DISPOSITION

affirmed

CASES CITED

- Wheat v. United States, 486 U.S. 153 (1988)
- Yarborough v. Alvarado, 541 U.S. 652 (2004)
- Kernan v. Cuero, 138 S. Ct. 4 (2017)
- Parker v. Matthews, 567 U.S. 37 (2012)
- Fayemi v. Ruskin, 966 F.3d 591 (7th Cir. 2020)
- United States v. Turner, 594 F.3d 946 (7th Cir. 2010)

OPINION

PER CURIAM.

In the United States Court of Appeals For the Seventh Circuit _____ No. 20-1356 ADEMUS AKADEAMEUER SAECHAO, Petitioner-Appellant, v. CHERYL EPLETT, Warden, Oakhill Correctional Institution, Respondent-Appellee. _____ Appeal from the United States District Court for the Western District of Wisconsin. No. 17-cv-370-slc — Stephen L. Crocker, Magistrate Judge. _____ ARGUED NOVEMBER 4, 2020 — DECIDED MARCH 16, 2021 _____ Before EASTERBROOK, RIPPLE, and ROVNER, Circuit Judges.

EASTERBROOK, Circuit Judge. Charged in Wisconsin state court with armed robbery, false imprisonment, and related offenses, Ademus Saechao retained attorney Jay Kronenweber to represent him. The state charged Manuel Alonso-Bermudez, Joseph Rohmeyer, and Harley Schulz with participating in the same crimes, though their cases proceeded separately from Saechao's.

Because the dockets were separate, the state's public defender organization did not know No. 20-1356 that Kronenweber was representing Saechao when it appointed him to represent Alonso-Bermudez too. This created problems that have led to this petition for collateral relief under 28 U.S.C. §2254. Everyone quickly saw that there was a potential for conflict of interest, if only because Alonso-Bermudez might decide to testify against Saechao, or the reverse.

Kronenweber himself told the judge in Saechao's prosecution that he was concerned about the potential conflict and uneasy about representing both defendants. But he did so for some six

weeks, until he withdrew as Alonso-Bermudez's lawyer and the public defender organization named John Bachman to take his place. This did not satisfy the judge in Saechao's prosecution; he wanted an unconditional waiver of any conflict from both Saechao and Alonso-Bermudez.

Saechao provided one; Alonso-Bermudez declined. He said that he would waive a conflict in Saechao's current prosecution but not with respect to other offenses in which the two may have been confederates. Bachman told the judge in Saechao's case that his client's refusal to execute a general waiver made Kronenweber's position as Saechao's lawyer untenable.

As the trial date approached, and the prosecutor listed Alonso-Bermudez as a potential witness in Saechao's case, the trial judge reached that conclusion and disqualified Kronenweber. By then Bachman had indicated that Alonso-Bermudez was willing to sign a general waiver. Bachman announced that position, however, about the same time as Alonso-Bermudez fired him, and the judge thought that Bachman no longer could speak for Alonso-Bermudez.

Saechao went to trial with a new lawyer and was convicted. Wisconsin's appellate court affirmed, rejecting his argument that the trial judge had violated the Constitution by depriving him of his chosen lawyer. And a federal district court denied Saechao's request for collateral relief. 2020 U.S. Dist. LEXIS 33308 (W.D. Wis. Feb. 24, 2020).

The magistrate judge's comprehensive and thoughtful opinion makes it unnecessary for us to provide greater detail about the events in state court. A state court's decision addressing the merits of a legal claim, as Wisconsin's judiciary did, cannot be upset on collateral review unless the adjudication "resulted in a decision that was contrary to, or involved an unreasonable application of, clearly established Federal law, as determined by the Supreme Court of the United States".

28 U.S.C. §2254(d)(1). Wisconsin's Court of Appeals recognized that *Wheat v. United States*, 486 U.S. 153 (1988), supplies the controlling authority, and the federal district court held that the state judiciary had applied *Wheat* reasonably. Indeed, the combination of *Wheat* with §2254(d)(1) gives the state a double dose of deference.

Under *Wheat*, even in federal court (and even on direct appeal, and even when there have been unequivocal waivers of any conflict), the trial judge has discretion to disqualify counsel to avoid a serious risk of conflict. 486 U.S. at 158–64. And "[t]he more general the [federal] rule, the more leeway [state] courts have in reaching outcomes in case-by-case determinations." *Yarborough v. Alvarado*, 541 U.S. 652, 664 (2004).

Wheat does insist that disqualification is inappropriate unless there is serious risk of an actual conflict, and Saechao pitches his argument on this point. He observes that the trial judge disqualified Kronenweber in part because the judge did not think that criminal-defense lawyers ever should represent multiple clients charged with the same crime.

Moreover, Saechao adds, the state's court of appeals gave some weak reasons for thinking that Kronenweiser faced a serious risk of conflict. The prosecutor has abandoned a few of the state court's reasons. But the fact remains that the weakness of some reasons is just a case-specific shortcoming, not a legal conflict between the state judiciary's approach and the Wheat standard.

As long as other, sufficient, reasons remain, the state's decision withstands federal collateral review. The federal magistrate judge thought that at least one of the state judiciary's reasons suffices, given the deference required by Wheat and the additional layer of deference provided by §2254(d)(1): the fact that Alonso-Bermudez appeared on the prosecution's witness list.

That created a risk that Kronenweiser would be called on to cross-examine his former client, potentially using confidential information he had received. Saechao concedes that this is a good reason for disqualification in principle but maintains that it is not a good reason in practice, because (he insists) the prosecutor was unlikely to call Alonso-Bermudez. Saechao was charged with being one among a criminal gang of four.

Rohmeyer and Schul[^] had agreed to testify for the prosecution. So why, Saechao asks, would the prosecutor have called Alonso-Bermudez, who was standing on his right to a trial and was unlikely to turn state's evidence?

We know that, when Saechao's trial came, the prosecutor did not call Alonso-Bermudez. But hindsight is a poor guide to action. Raising the possibility of calling Alonso-Bermudez as a witness is hard to call a ploy to achieve Kronenweiser's removal. All too often co-defendants who have promised to testify balk or "lose their recollection" at trial. And co- No. 20-1356 5 defendants who proclaim their innocence and insist that they will go to trial change their minds on the eve of trial, agree to plead guilty, and negotiate for terms—which may include a promise to testify against a confederate in crime.

On the day that the trial judge disqualified Kronenweiser, Rohmeyer and Schul[^] were promising to cooperate, while Alonso-Bermudez said that he would not do so. By the day of trial the situation could have been reversed. An unconditional waiver of conflicts from Alonso-Bermudez might have persuaded the trial judge to take the risk that a decision by Alonso-Bermudez to testify would not ruin the trial if Kronenweiser remained as Saechao's lawyer, but Alonso-Bermudez was unwilling to provide a comprehensive waiver—and that was a problem, because cross-examination of Alonso-Bermudez at Saechao's trial could have ventured into some of Alonso-Bermudez's other crimes.

This left a serious risk, and Wheat gives the trial judge a measure of deference when deciding how to proceed. Saechao maintains that reasoning along the lines just laid out would conflict with *United States v. Turner*, 594 F.3d 946 (7th Cir. 2010), which tells district judges not to assume too readily that a potential for conflict will ripen into a serious actual conflict.

Put that way, the devil is in the phrase “too readily”; of course a judge should not do anything too readily and should not be a sucker for claims about conflicts that may never come to fruition. The issue in any given case is whether the trial judge abused his discretion in thinking that the risk of a serious conflict had been established. An affirmative answer for Turner does not imply that the same answer is called for in Saechao’s case.

6 No. 20-1356 Perhaps more important, Turner just doesn’t matter on collateral review under §2254(d)(1), which says that the writ must not issue unless the state court’s decision is contrary to “clearly established Federal law, as determined by the Supreme Court of the United States” (emphasis added). This means that decisions by the federal courts of appeals do not count, except to the extent that they illuminate the meaning of the Supreme Court’s decisions.

See *Kernan v. Cuero*, 138 S. Ct. 4 (2017); *Parker v. MaEhews*, 567 U.S. 37, 48–49 (2012); *Fayemi v. Ruskin*, 966 F.3d 591, 594 (7th Cir. 2020). Turner concerned how federal district judges should manage the discretion they possess under *Wheat*; it does not modify the Supreme Court’s own standard or limit the discretion of state judges. **AFFIRMED**