

NEW YORK COURT OF APPEALS

The People v. Clifford Graham

25 N.Y.3d 994 (2015) · No. No. 63 · Decided May 5, 2015

SUMMARY

The New York Court of Appeals affirmed the order below because the defendant failed to preserve for appellate review his argument that police were required to reread his Miranda rights during a second interview conducted at his request and initially in the presence of counsel. The Court held that the trial court had addressed counsel's continued presence, not the distinct Miranda issue raised on appeal.

CASE DETAILS

COURT	New York Court of Appeals
WRITING FOR THE COURT	Chief Judge Lippman; Judge Read; Judge Pigott; Judge Rivera; Judge Abdus-Salaam; Judge Stein
JURISDICTION	New York
DECIDED	May 5, 2015
DOCKET	No. 63
DISPOSITION	affirmed
PROCEDURAL POSTURE	Defendant appealed from an order denying suppression of statements made during a second police interview. The Appellate Division affirmed, and the Court of Appeals granted leave to appeal but affirmed on preservation grounds.
STANDARD OF REVIEW	The Court of Appeals is generally limited by article VI, section 3 of the New York Constitution to reviewing questions of law, and under CPL 470.05 (2) reviews only issues preserved by a timely protest or expressly decided by the trial court.
PRECEDENTIAL VALUE	Published New York Court of Appeals memorandum decision; binding on preservation of appellate issues, but it did not decide the merits of the renewed-Miranda-warning claim.
PARTIES	Clifford Graham v. The People

TOPICS

preservation of error

appellate procedure

suppression of evidence

miranda rights

criminal procedure

PRACTICE AREAS

criminal procedure

appellate procedure

constitutional law

QUESTIONS PRESENTED

1. Whether defendant preserved for appellate review the issue of whether police were required to readvise him of his Miranda rights before the second interview.
2. Whether the Court of Appeals could review the merits of defendant's claim that counsel's presence did not eliminate the need for a renewed Miranda warning.

HOLDINGS

1. Defendant failed to preserve the issue of whether police were required to readvise him of his Miranda rights before the second interview because he did not raise that argument in his motion papers or at the suppression hearing, and the trial court did not expressly decide that issue.

KEY QUOTATIONS

“Inasmuch as defendant made only a general motion for suppression and it cannot be said that the court expressly decided the issue that defendant raises on this appeal, preservation has not been established and that issue is, therefore, beyond our power to review” (25 N.Y.3d 994)

FACTUAL BACKGROUND

Defendant was arrested after attempting to use counterfeit \$20 bills at a hotel and convenience store. He initially waived his Miranda rights but gave inconsistent answers, so detectives ended the interrogation and directed him to arrange any further discussion through defense counsel. About three weeks later, at defendant's request, counsel contacted the detectives; defendant consulted privately with counsel, and counsel was present for part of a second interview during which defendant made allegedly inculpatory statements, without receiving a new Miranda warning.

PROCEDURAL HISTORY

After a suppression hearing, Supreme Court denied defendant's motion to suppress statements from his second interview, reasoning that counsel's presence during the waiver of counsel was sufficient and that counsel's continued presence was not required. A jury convicted defendant of two counts each of criminal possession of a forged instrument in the first degree and petit larceny. The Appellate Division, Fourth Department, affirmed, and the Court of Appeals affirmed because defendant failed to preserve the Miranda issue he raised on appeal.

DISPOSITION

affirmed

CASES CITED

- *Miranda v. Arizona*, 384 U.S. 436 (1966)

- People v. Gray, 86 N.Y.2d 10, 19 (1995)
- People v. Hawkins, 11 N.Y.3d 484, 491 (2008)
- People v. Smith, 22 N.Y.3d 462, 465 (2013)
- People v. Prado, 4 N.Y.3d 725, 726 (2004)
- People v. Turriago, 90 N.Y.2d 77, 83-84 (1997)
- People v. Johnson, 83 N.Y.2d 831, 834 (1994)
- People v. Graham, 107 A.D.3d 1421, 1422-1423 (4th Dep't 2013)

OPINION

PER CURIAM.

===== This memorandum is uncorrected and subject to revision before publication in the New York Reports. No. 63 The People &c., Respondent, v. Clifford Graham, Appellant. Piotr Banasiak, for appellant. James P. Maxwell, for respondent. MEMORANDUM: The order of the Appellate Division should be affirmed.

After defendant attempted to use counterfeit \$20 bills to pay for a hotel room and buy groceries at a convenience store, he was arrested and taken to the criminal investigation division of the Syracuse Police Department. A detective read defendant - 1 - - 2 - No. 63 his Miranda rights, which he waived. Defendant did not, at that time, provide consistent answers to the detectives' questions regarding who had given him the counterfeit money.

The detectives, therefore, ended the interrogation and told defendant that, if he wanted to give them any further information that would be useful to their investigation, he would have to arrange to speak to them through defense counsel. Approximately three weeks later, defense counsel contacted the detectives and indicated that defendant wished to share additional information about the source of the counterfeit money.

Defendant spoke at length with counsel in private before meeting with one of the detectives, and counsel was present for a portion of the ensuing conversation until leaving, with defendant's consent, to attend to a prior commitment. It is undisputed that defendant was not advised of his Miranda rights at this second interview. During that interview, he made statements that were allegedly inculpatory.

Defendant subsequently moved, in a pro se omnibus motion, for suppression of the statements that he made to the detectives. He asserted that his statements were the product of "custodial interrogation," and were made in violation of his Fifth and Fourteenth Amendment rights under the federal constitution, as well as his rights under the state constitution and CPL 60.45.

Supreme Court directed a "pre-trial hearing... on defendant's motion to suppress [his] statement(s) based upon - 2 - - 3 - No. 63 *Miranda v Arizona*, 384 U.S. 436 [1966] and traditional involuntariness grounds." During the suppression hearing, defendant asked the court how long his initial waiver of his Miranda rights remained valid, and the People conceded that the waiver was good only for the day on which he was first arrested.

Defendant then conducted a cross-examination of the detective with whom he spoke during the second interview. Supreme Court attempted to direct defendant's focus by stating, "[s]ee, you're not going into the circumstances surrounding your Miranda rights," and pointing out that defendant's questions had no bearing on "whether the interrogation session was legal."

Supreme Court's efforts to focus defendant on a possible violation of his Miranda rights were to no avail and, at the close of the suppression hearing, defendant made an argument that the police lacked probable cause to arrest him. Rejecting that argument "because the orders directing the hearings did not involve any type of probable cause issue," the court denied suppression of the statements made by defendant during the second interview.

As relevant here, the court reasoned that: "[D]efendant was in custody on the matter, represented by counsel on the matter and therefore he could not waive counsel on the matter unless counsel was present, which did occur. Once a counsel waiver occurred in counsel's presence and the client agreed to submit to the interview on the topic at hand, to wit: counterfeit bills, counsel's presence thereafter is not required." - 3 - - 4 - No. 63 The matter proceeded to trial, at the close of which a jury found defendant guilty of two counts each of criminal possession of a forged instrument in the first degree and petit larceny.

Upon defendant's appeal, the Appellate Division affirmed (107 AD3d 1421 [2013]). Regarding defendant's motion to suppress statements made during the second interview, the court concluded that "[i]nasmuch as defendant's counsel was present during the first 20 minutes of the interview and informed the detectives that defendant was willing to cooperate, it was permissible for the officers to infer from defendant's conduct and his attorney's assurances that defendant's waiver of his Miranda rights was made on the advice of counsel" (id. at 1422- 1423).

A Judge of this Court granted defendant leave to appeal (23 NY3d 963 [2014]). Because we conclude that defendant failed to preserve the issue that he raises on this appeal, we affirm. "Under article VI, § 3 of the New York State Constitution, the Court of Appeals, with limited exceptions, is empowered to consider only 'questions of law'" (*People v Gray*, 86 NY2d 10, 19 [1995]; see *People v Hawkins*, 11 NY3d 484, 491 [2008]).

As relevant here, CPL 470.05 (2) provides that a question of law regarding a ruling is presented in a criminal proceeding "when a protest thereto was registered, by the party claiming error, at [a]

time... when the court had an opportunity of effectively changing the same... or if in response to a protest by a party, the court - 4 - - 5 - No. 63 expressly decided the question raised on appeal."

The issue argued on this appeal is whether the police were required to again read defendant his Miranda rights when they interviewed him a second time, at his request and in the presence of counsel. In particular, defendant contends that the courts below erred in determining that the presence of counsel obviated the need for police to advise him of his right to remain silent during the second interview.

Defendant, however, did not make this argument in his motion papers to the trial court or at the suppression hearing. Moreover, while a general objection -- such as that contained in defendant's omnibus motion -- is sufficient to preserve an issue for our review when the trial court "expressly decided the question raised on appeal" (CPL 470.05 [2]; see *People v Smith*, 22 NY3d 462, 465 [2013]; *People v Prado*, 4 NY3d 725, 726 [2004]), here, Supreme Court did not expressly decide the issue of whether the police were required to advise defendant of his right to remain silent under the circumstances presented by the second interview.

Rather, the court's decision was focused on the right to counsel and whether counsel was required to be present for the entirety of that interview. The court decided that counsel's continued presence was not required once defendant waived his right to counsel in counsel's presence and agreed to speak to police regarding the counterfeit bills. Inasmuch as defendant made only a general motion for suppression - 5 - - 6 - No. 63 and it cannot be said that the court expressly decided the issue that defendant raises on this appeal, preservation has not been established and that issue is, therefore, beyond our power to review (see *People v Turriago*, 90 NY2d 77, 83-84 [1997]; *People v Johnson*, 83 NY2d 831, 834 [1994]). * * * * * Order affirmed, in a memorandum.

Chief Judge Lippman and Judges Read, Pigott, Rivera, Abdus-Salaam and Stein concur. Judge Fahey took no part. Decided May 5, 2015 - 6 -