

UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF  
NEW YORK

Leon A. v. Commissioner of Social Security Administration

Leon A. · No. 6:24-CV-539 (FJS/TWD) · Decided February 13, 2026

SUMMARY

The court reviewed and adopted a magistrate judge's Report-Recommendation in an action seeking judicial review of the denial of Social Security disability benefits. It held that the Administrative Law Judge properly relied on the Medical-Vocational Guidelines and Social Security Ruling 85-15 without vocational expert testimony, denied the plaintiff's motion for judgment on the pleadings, granted the Commissioner's motion, and directed entry of judgment for the defendant.

CASE DETAILS

|                       |                                                                                                                                                                                                                                                                                                                                                                                                     |
|-----------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| COURT                 | United States District Court for the Northern District of New York                                                                                                                                                                                                                                                                                                                                  |
| WRITING FOR THE COURT | Frederick J. Scullin, Jr., Senior United States District Judge                                                                                                                                                                                                                                                                                                                                      |
| JURISDICTION          | United States District Court for the Northern District of New York                                                                                                                                                                                                                                                                                                                                  |
| DECIDED               | February 13, 2026                                                                                                                                                                                                                                                                                                                                                                                   |
| DOCKET                | 6:24-CV-539 (FJS/TWD)                                                                                                                                                                                                                                                                                                                                                                               |
| DISPOSITION           | other                                                                                                                                                                                                                                                                                                                                                                                               |
| PROCEDURAL POSTURE    | Plaintiff sought review under 42 U.S.C. § 405(g) of the Commissioner's denial of Social Security benefits. After a magistrate judge recommended denying Plaintiff's motion for judgment on the pleadings and granting Defendant's motion, Plaintiff filed objections.                                                                                                                               |
| STANDARD OF REVIEW    | The district court applied clear-error review because Plaintiff's objections substantially repeated arguments presented to the magistrate judge, and stated that the objections would fail even under de novo review. Judicial review of the Commissioner's decision focused on whether the ALJ applied the correct legal standards and whether the decision was supported by substantial evidence. |
| PRECEDENTIAL VALUE    | unpublished                                                                                                                                                                                                                                                                                                                                                                                         |
| PARTIES               | Leon A. v. Commissioner of Social Security Administration                                                                                                                                                                                                                                                                                                                                           |

TOPICS

judicial review of agency action

administrative law

motion for judgment on the pleadings

civil procedure

## PRACTICE AREAS

Social Security

administrative law

civil procedure

## QUESTIONS PRESENTED

1. Whether the ALJ's step-two findings of mild and moderate mental limitations were inconsistent with the residual functional capacity finding that Plaintiff could perform all remunerative unskilled work.
2. Whether the ALJ was required to obtain vocational-expert testimony rather than rely on the Medical-Vocational Guidelines because Plaintiff had nonexertional mental limitations.
3. Whether the magistrate judge's Report-Recommendation should be adopted and Defendant's motion for judgment on the pleadings granted.

## HOLDINGS

1. The existence of a nonexertional impairment does not automatically require vocational-expert testimony or preclude reliance on the Medical-Vocational Guidelines. Vocational-expert testimony is required only when the nonexertional impairment has more than a negligible impact on the claimant's ability to perform the full range of work or otherwise significantly narrows the occupational base.
2. The ALJ did not commit reversible error by relying on SSR 85-15 and the Medical-Vocational Guidelines rather than obtaining vocational-expert testimony because the assessed nonexertional limitations were found to have little or no effect on the occupational base of unskilled work.
3. Plaintiff was not entitled to judgment on the pleadings, and Defendant was entitled to judgment on the pleadings because the ALJ's step-five decision was supported by substantial evidence and was not legally erroneous.

## KEY QUOTATIONS

*"However, 'the mere existence of a nonexertional impairment does not automatically require the production of a vocational expert nor preclude reliance on the [Grids].'" (at 6)*

*"Rather, 'the non-exertional impairment must have 'more than a 'negligible' impact on a claimant's ability to perform a full range of work.'" (at 6)*

*"Accordingly, the Court accepts and adopts Magistrate Judge Dancks' Report-Recommendation." (at 8)*

## FACTUAL BACKGROUND

Plaintiff applied for Social Security benefits and alleged mental impairments affecting his ability to work. The ALJ found mild limitations in understanding, remembering, or applying information and moderate limitations in interacting with others, concentrating, persisting, or maintaining pace, and adapting or managing oneself. The ALJ nevertheless determined that Plaintiff retained the basic mental abilities required for unskilled work and that his nonexertional limitations had little or no effect on the occupational base of unskilled work at all exertional

levels. The ALJ therefore relied on the Medical-Vocational Guidelines at step five without vocational-expert testimony.

## PROCEDURAL HISTORY

The Administrative Law Judge found at step five that Plaintiff was not disabled because jobs existed in significant numbers in the national economy that he could perform. The parties filed briefs treated as cross-motions for judgment on the pleadings under Federal Rule of Civil Procedure 12(c). Magistrate Judge Dancks recommended granting Defendant's motion and denying Plaintiff's motion. The district court reviewed the objections, applied clear-error review and alternatively de novo review, adopted the Report-Recommendation, entered judgment for Defendant, and closed the case.

## DISPOSITION

other

## CASES CITED

- Boice v. M+W U.S., Inc., 130 F. Supp. 3d 677, 684 (N.D.N.Y. 2015)
- Rosa v. Callahan, 168 F.3d 72, 78, 82 (2d Cir. 1999)
- Zorilla v. Chater, 915 F. Supp. 662, 667 (S.D.N.Y. 1996)
- Selian v. Astrue, 708 F.3d 409, 421 (2d Cir. 2013)
- Bapp v. Bowen, 802 F.2d 601, 603 (2d Cir. 1986)
- Juan C. v. Commissioner of Social Security, No. 5:24-CV-88 (AJB/MJK), 2025 WL 88679, at \*2 (N.D.N.Y. Jan. 14, 2025)
- Masoud v. Saul, 448 F. Supp. 3d 147, 159 (D. Conn. 2020)
- Alicia C. v. Commissioner of Social Security, No. 6:17-CV-1235 (TWD), 2019 WL 1470827, at \*10 (N.D.N.Y. Apr. 1, 2019)
- Scholtisek v. Colvin, 110 F. Supp. 3d 464, 480 (W.D.N.Y. 2015)
- Chapparo v. Colvin, 156 F. Supp. 3d 517, 538 (S.D.N.Y. 2016)
- Julie M. v. Commissioner of Social Security, 2024 U.S. Dist. LEXIS 27072, at \*\*39-40 (N.D.N.Y. 2024)
- Ashley S. P. v. Commissioner of Social Security, 2022 U.S. Dist. LEXIS 2171, at \*28 (N.D.N.Y. Jan. 5, 2022)
- Michael F.D. v. Saul, 2020 U.S. Dist. LEXIS 176472, at \*30 (N.D.N.Y. Sept. 25, 2020)
- Ocasio v. Commissioner of Social Security, 2016 U.S. Dist. LEXIS 78695, at \*\*33-34 & n.19 (N.D.N.Y. Apr. 26, 2016)
- Provost v. Astrue, 2011 U.S. Dist. LEXIS 158417, at \*\*24-25 (N.D.N.Y. Mar. 31, 2011)

## OPINION

Allen

PER CURIAM.

UNITED STATES DISTRICT COURT

NORTHERN DISTRICT OF NEW YORK

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LEON A.,

Plaintiff, v. 6:24-CV-539

(FJS/TWD)

COMMISSIONER OF SOCIAL SECURITY

ADMINISTRATION,

Defendant. \_\_\_\_\_

APPEARANCES OF COUNSEL

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ADMINISTRATION

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Judge

MEMORANDUM-DECISION AND ORDER

I. INTRODUCTION

Pending before the Court are Magistrate Judge Dancks' Report-Recommendation, see Dkt. No. 22, and Plaintiff's objections thereto, see Dkt. No. 23.

II. DISCUSSION

Plaintiff brought this action pursuant to 42 U.S.C. § 405(g), seeking judicial review of a final decision of the Commissioner of Social Security ("Defendant") denying his application for benefits. See Dkt. No. 22 at 1. After a hearing, the Administrative Law Judge concluded, at step five, that "based on [Plaintiff's] age, education, work experience, and RFC, there were jobs existing in significant numbers in the national economy the Plaintiff could perform" and that, therefore, Plaintiff was not disabled. See Administrative Record at 28-29.1 Thereafter, Plaintiff timely filed this action, seeking judicial review of Defendant's final decision. See Dkt. No. 1. Both parties filed briefs, which Magistrate Judge Dancks treated as motions under Rule 12(c) of the Federal Rules of Civil Procedure, in accordance with General Order 19. See Dkt. No. 15, Plaintiff's Brief; Dkt. No. 18, Defendant's Brief. After reviewing the Administrative Record, the ALJ's decision, and the parties' briefs, Magistrate Judge Dancks found that "substantial evidence support[ed] the ALJ's conclusion that Plaintiff's mental impairments did not so significantly limit the occupational base of unskilled work such that vocational expert testimony was required"; and, therefore, "the ALJ's use of the medical vocational guidelines to reach a finding of not disabled at step five was not erroneous." See Dkt. No. 22, Magistrate Judge Dancks' Report-Recommendation, at 12. Therefore, Magistrate Judge Dancks 1 References to page numbers in the Administrative Record ("AR"), see Dkt. No. 11, are to the Bates-stamped page numbers located at the bottom of those pages. References to page numbers in other documents filed on the Docket of

this case are to the page-numbers that the Court's Electronic Case Filing System generates and are located in the top-right corner of those pages. recommended that the Court deny Plaintiff's motion for judgment on the pleadings and grant Defendant's motion for judgment on the pleadings. See *id.* at 13. Plaintiff has timely filed objections to Magistrate Judge Dancks' recommendations. See Dkt. No. 23. Specifically, Plaintiff argues that "the ALJ's Decision is internally inconsistent." See *id.* at 2. To support his argument, he contends that, "[a]t Step 2, the ALJ found that "the impairments listed in Step 2 (i.e., mild difficulties in understanding, remembering, or applying information, moderate difficulties in interacting with others, moderate difficulties in concentrating, persisting, or maintaining pace, and moderate difficulties in adapting or managing oneself), have more than minimally affected the claimant's ability to perform basic work activities during the relevant period."" See *id.* (quoting Tr. 22). "At the same time, the ALJ determined that the Plaintiff 'can meet the demands of all remunerative unskilled work.'" See *id.* (quoting Tr. 29). Plaintiff argues that "[t]hese two statements are mutually exclusive: Either the impairments at Step 2 negatively affect the Plaintiff's ability to perform basic work activities or they don't." See *id.* Furthermore, Plaintiff asserts that "the 'severe' impairments set forth in Step 2 are substantively identical to those listed in the RFC, thus it is the rote application of a Program Operations Manual definition of 'unskilled work' rather than a VE's knowledge and experience that establishes the inconsistency." See *id.* Plaintiff further argues that "the ALJ found that the Plaintiff had 'mild difficulties in understanding, remembering, or applying information; moderate difficulties in interacting with others; moderate difficulties in concentrating, persisting, or maintaining pace; and moderate difficulties in adapting or managing oneself.'" See *id.* (quoting Tr. 24 (emphasis in original)). Furthermore, he notes that "[t]he ALJ found that these Step 2 limitations are substantively identical to the definition of 'unskilled' work, i.e., 'the abilities (on a sustained basis) to understand, carry out, and remember simple instructions; to respond appropriately to supervision, coworkers, and usual work situations; and to deal with changes in a routine work setting.'" See *id.* (quoting Tr. 29 (citing SSR 85-15)) (footnote omitted). Plaintiff acknowledges that, although "the standard for a finding of severity under Step 2 may be de minimis, i.e., 'intended only to screen out the very weakest cases,' a de minimis analysis is all that is needed to mandate the use of a VE rather than rely on the Grids." See *id.* at 3 (citing *Selian v. Astrue*, 708 F.3d 409, 421 (2d Cir. 2013) ("The ALJ cannot rely on the Grids if a non-exertional impairment has any more than a "negligible" impact on a claimant's ability [to] perform the full range of work and instead must obtain the testimony of a vocational expert.")). Plaintiff argues that, although "[t]he ALJ clearly found at Step 2 that the Plaintiff's mental impairments were more than 'negligible', . . . the ALJ failed to obtain vocational expert testimony at Step 5." See *id.* at 3. Plaintiff further argues that Magistrate Judge Dancks' finding "that Plaintiff's argument that the Decision improperly subsumes mental health limitations within the definition of unskilled work to be conclusory and/or unsupported by substantial evidence" "runs contrary to decisions of this Court, as well as numerous decisions of other Courts in this Circuit." See *id.* (citing *Julie M. v.*

Comm'r of Soc. Sec., 2024 U.S. Dist. LEXIS 27072 at \*\*39-40 (N.D.N.Y. 2024) ("Courts in this district have found it to be reversible error for ALJ's [sic] to rely solely on the Grids when a plaintiff has moderate psychiatric limitations resulting in non-exertional limitations.") (quoting *Chapparo v. Colvin*, 156 F. Supp. 3d 517, 538 (S.D.N.Y. 2016)); *Ashley S. P. v. Comm'r of Soc. Sec.*, 2022 U.S. Dist. LEXIS 2171 at \*28 (N.D.N.Y. Jan. 5, 2022) (An RFC that requires only occasional decision making, occasional changes in work setting, and occasional judgment "suggest more than [a] negligible impairment that could severely limit the potential occupational base."); *Michael F.D. v. Saul*, 2020 U.S. Dist. LEXIS 176472 at \*30 (N.D.N.Y. Sept. 25, 2020) (holding that "low stress limitations identified in the RFC could significantly limit the range of work available to Plaintiff"); *Ocasio v. Comm'r of Soc. Sec.*, 2016 U.S. Dist. LEXIS 78695 at \*\*33-34, n. 19 (N.D.N.Y. April 26, 2016 (claimant with moderate difficulties in interacting with others may not meet the requirements of SSR 85-15); *Provost v. Astrue*, 2011 U.S. Dist. LEXIS 158417 at \*\*24-25 (N.D.N.Y. March 31, 2011) ("[T]he ALJ's own finding that Plaintiff was limited to a low stress work environment involving only occasional interaction with co-workers is indicative of a substantial loss of ability to meet a basic work related activity, namely, the ability to respond appropriately to co-workers.")) (other citations omitted). Plaintiff's argument regarding the ALJ's failure to have a VE testify is substantially the same argument he raised before Magistrate Judge Dancks. See Dkt. No. 15 at 9-11. The record reflects that Magistrate Judge Dancks adequately considered and properly rejected the argument that Plaintiff advanced in his objections. Under such circumstances, it has long been the rule that a District Court should apply the more perfunctory "clear error" review. See *Boice v. M+W U.S., Inc.*, 130 F. Supp. 3d 677, 684 (N.D.N.Y. 2015) (Suddaby, J.) (collecting cases). Nonetheless, even applying the more rigorous de novo review, Plaintiff's arguments would not warrant relief. In most cases, the ALJ satisfies her burden at Step Five by relying on the Grids, which "'take[] into account the claimant's [RFC] in conjunction with the claimant's age, education and work experience.'" *Rosa v. Callahan*, 168 F.3d 72, 78 (2d Cir. 1999) (quoting *Zorilla v. Chater*, 915 F. Supp. 662, 667 (S.D.N.Y. 1996)). However, "exclusive reliance on the grids is inappropriate where the guidelines fail to describe the full extent of a claimant's physical limitations[,]" *id.*, such as "in cases where the claimant exhibits a significant non-exertional impairment (i.e., an impairment not related to strength)." *Selian v. Astrue*, 708 F.3d 409, 421 (2d Cir. 2013) (citing *Rosa v. Callahan*, 168 F.3d 72, 82 (2d Cir. 1999); 20 C.F.R. § 404.1569a(c)(2)). However, "the mere existence of a nonexertional impairment does not automatically require the production of a vocational expert nor preclude reliance on the [Grids]." *Bapp v. Bowen*, 802 F.2d 601, 603 (2d Cir. 1986). Rather, "the non-exertional impairment must have 'more than a "negligible" impact on a claimant's ability to perform a full range of work.'" *Juan C. v. Comm'r of Soc. Sec.*, No. 5:24-CV-88 (AJB/MJK), 2025 WL 88679, \*2 (N.D.N.Y. Jan. 14, 2025) (quotation omitted). "As the Second Circuit has explained, '[a] nonexertional impairment is non-negligible "when it . . . so narrows a claimant's possible range of work as to deprive him of a meaningful employment opportunity.'" *Id.* (quotation omitted). The

ALJ determined that, although Plaintiff's "ability to perform work at all exertional levels has been compromised by nonexertional limitations[,] . . . those limitations have little or no effect on the occupational base of unskilled work at all exertional levels." See Dkt. No. 11, Administration Record, at 29. In reaching this conclusion the ALJ relied on SSR 85-15, which "explains that the basic mental demands of competitive remunerative unskilled work include the abilities to understand, remember and carry out simple instructions; respond appropriately to supervision, co-workers and the usual work situations and deal with changes in a routine work setting." See *id.* Furthermore, the ALJ noted that SSR 85-15 "also notes that unskilled jobs 'ordinarily involve dealing primarily with objects, rather than with data or people'" and because Plaintiff "retains the basic mental abilities required for unskilled work," the ALJ concluded that "a finding of 'not disabled' is appropriate under SSR 85-15 and the framework of section 204.00 in the Medical-Vocational Guidelines." See *id.* There is no reversible error in this approach. Social Security Ruling 85-15, which the ALJ cited, supports her conclusion that the non-exertional limitations she assessed in Plaintiff's RFC would have "have little or no effect on the occupational base of unskilled work at all exertional levels." See Dkt. No. 11 at 29. Of course, an ALJ's complete failure to account for the significance of a claimant's non-exertional limitations would warrant remand. See *Masoud v. Saul*, 448 F. Supp. 3d 147, 159 (D. Conn. 2020) (remanding where ALJ found non-exertional limitations but "simply omitted these limitations from [the] RFC"). However, in this case, Plaintiff has not established that he suffered from any more restrictive non-exertional limitations than the ones assessed in his RFC. See *Alicia C. v. Comm'r of Soc. Sec.*, No. 6:17-CV-1235 (TWD), 2019 WL 1470827, \*10 (N.D.N.Y. Apr. 1, 2019). Nor has Plaintiff offered any basis from which this Court could conclude that the ALJ ran afoul of this Circuit's precedent by relying on SSR 85-15 to account for the relative significance of these non-exertional limitations on Plaintiff's ability to do the full range of unskilled work. Absent a more compelling showing, courts in this Circuit routinely reject the arguments that Plaintiff has offered. See, e.g., *Scholtisek v. Colvin*, 110 F. Supp. 3d 464, 480 (W.D.N.Y. 2015) (collecting cases) ("Although Plaintiff experienced nonexertional limitations, the ALJ was not required to consult a vocational expert because she found that Plaintiff's nonexertional limitations did not result in erosion to Plaintiff's occupational base."). Accordingly, the Court accepts and adopts Magistrate Judge Dancks' Report-Recommendation.

#### IH. CONCLUSION

Having reviewed Magistrate Judge Dancks' Report-Recommendation, see Dkt. No. 22, Plaintiff's objections, see Dkt. No. 23, and the applicable law, the Court hereby ORDERS that Magistrate Judge Dancks' Report-Recommendation, see Dkt. No. 22, is accepted and adopted; and the Court further ORDERS that Plaintiff's motion for judgment on the pleadings, see Dkt. No. 15, is DENIED; and the Court further ORDERS that Defendant's motion for judgment on the pleadings, see Dkt. No. 18, is GRANTED; and the Court further ORDERS that the Clerk of the Court shall

enter judgment in favor of Defendant and close this case. IT IS SO ORDERED. Dated: February 13, 2026 at Syracuse, New York Senior United States District Judge -8-

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