

SUPREME COURT OF SOUTH CAROLINA

Matthews v. State, 358 S.C. 456

596 S.E.2d 49 (2004) · No. No. 25813 · Decided April 26, 2004

SUMMARY

The Supreme Court of South Carolina held that trial counsel was ineffective for failing to request a competency hearing before the petitioner entered guilty pleas. Based on evidence of intellectual disabilities and severe brain damage, the court vacated the guilty plea and granted the petitioner a new trial.

CASE DETAILS

|                       |                                                                                                                                                                                                                                                                                                                                                        |
|-----------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| COURT                 | Supreme Court of South Carolina                                                                                                                                                                                                                                                                                                                        |
| WRITING FOR THE COURT | Chief Justice Toal; Justice Moore; Justice Waller; Justice Burnett; Justice Pleicones                                                                                                                                                                                                                                                                  |
| JURISDICTION          | South Carolina                                                                                                                                                                                                                                                                                                                                         |
| DECIDED               | April 26, 2004                                                                                                                                                                                                                                                                                                                                         |
| DOCKET                | No. 25813                                                                                                                                                                                                                                                                                                                                              |
| DISPOSITION           | reversed_and_remanded                                                                                                                                                                                                                                                                                                                                  |
| PROCEDURAL POSTURE    | Petitioner sought review of an order denying post-conviction relief after the PCR judge found trial counsel effective, while ordering the Department of Corrections to treat petitioner as though he had pleaded guilty but mentally ill. The South Carolina Supreme Court reversed the PCR ruling, vacated the guilty plea, and granted a new trial.  |
| STANDARD OF REVIEW    | In a PCR action, the petitioner must prove incompetence at the time of the guilty plea by a preponderance of the evidence. Ineffective assistance requires proof of deficient performance and prejudice under Strickland; under Jeter, prejudice may be shown by a reasonable probability that the petitioner was incompetent at the time of the plea. |
| PRECEDENTIAL VALUE    | Published South Carolina Supreme Court opinion; precedential.                                                                                                                                                                                                                                                                                          |
| PARTIES               | Henry Matthews v. State of South Carolina                                                                                                                                                                                                                                                                                                              |

TOPICS

- state post-conviction relief
- ineffective assistance
- due process
- criminal procedure
- plea bargaining

## PRACTICE AREAS

criminal procedure

post-conviction relief

ineffective assistance of counsel

## QUESTIONS PRESENTED

1. Whether trial counsel was ineffective for failing to request a Blair hearing to determine Matthews's competency to stand trial before his guilty plea.
2. Whether Matthews proved that he was incompetent when he entered his guilty plea and that counsel's failure to request a competency hearing prejudiced the outcome.

## HOLDINGS

1. Trial counsel rendered ineffective assistance by failing to request a Blair hearing in light of substantial evidence that Matthews was incompetent to stand trial.
2. Due process prohibits the conviction of an incompetent defendant, and that protection cannot be waived by a guilty plea.

## KEY QUOTATIONS

*“Due process prohibits the conviction of an incompetent defendant, and this right may not be waived by a guilty plea.” (458)*

*“We find that trial counsel's failure to request a Blair hearing prejudiced petitioner under the Jeter standard because there was, at minimum, a “reasonable probability” that petitioner was incompetent at the time of his guilty plea.” (460)*

## FACTUAL BACKGROUND

Matthews pleaded guilty to several serious offenses after having suffered a near-fatal automobile accident approximately one year earlier. The accident caused anoxia and severe frontal-lobe brain damage, and the record also showed preexisting learning disabilities and an IQ of approximately 60. Psychiatrist John Cusack testified, based on five evaluations, that Matthews was incompetent and unable to participate in his own defense. Trial counsel did not request a Blair competency hearing.

## PROCEDURAL HISTORY

Matthews pleaded guilty to armed robbery, attempted armed robbery, carjacking, and accessory after the fact to murder, receiving an agreed thirty-year sentence for armed robbery and concurrent sentences on the remaining offenses. He did not appeal, but later filed an application for post-conviction relief. The PCR judge denied relief but ordered GBMI treatment; the Supreme Court held that counsel was ineffective for failing to request a competency hearing.

## DISPOSITION

reversed\_and\_remanded

## REMAND INSTRUCTIONS

The opinion states that Matthews's guilty plea was vacated and that he was granted a new trial. No further specific remand instructions are stated.

## CASES CITED

- Jeter v. State, 308 S.C. 230, 417 S.E.2d 594 (1992)
- Strickland v. Washington, 466 U.S. 668, 104 S. Ct. 2052, 80 L. Ed. 2d 674 (1984)
- Gallman v. State, 307 S.C. 273, 414 S.E.2d 780 (1992)
- State v. Blair, 275 S.C. 529, 273 S.E.2d 536 (1981)

## OPINION

TOAL, J.

358 S.C. 456 (2004) 596 S.E.2d 49 Henry MATTHEWS, Petitioner, v. STATE of South Carolina, Respondent. No. 25813. Supreme Court of South Carolina. Submitted December 4, 2003. Decided April 26, 2004. Rehearing Denied May 25, 2004. \*457 Assistant Appellate Defender Eleanor Duffy Cleary, of Columbia, for Petitioner. Attorney General Henry Dargan McMaster, Chief Deputy Attorney General John W. McIntosh, Assistant Deputy Attorney General Donald J. Zelenka, all of Columbia, for Respondent. \*458 Chief Justice TOAL: Henry Matthews (petitioner) asserts that the post-conviction relief (PCR) judge erred in dismissing his application for PCR.

We hold that the PCR judge erred in finding petitioner's trial counsel rendered effective assistance. FACTUAL/PROCEDURAL BACKGROUND Petitioner pled guilty to armed robbery, attempted armed robbery, carjacking, and accessory after the fact to murder. He agreed to a thirty-year sentence for armed robbery, concurrent twenty-year sentences for attempted armed robbery, a concurrent twenty-year sentence for carjacking, and a concurrent fifteen-year sentence for accessory after the fact to murder.

Petitioner did not appeal the decision. Petitioner filed a PCR application, and the PCR judge denied relief but ordered the Department of Corrections to treat petitioner as though he had pled guilty but mentally ill (GBMI) pursuant to S.C.Code Ann. § 17-24-70 (Supp.2002). Petitioner submits the following question for review: Did the PCR judge err in finding petitioner's trial counsel effective even though counsel failed to request a competency hearing to determine whether petitioner was competent to stand trial?

LAW/ANALYSIS Petitioner asserts his trial counsel was ineffective in failing to raise the issue of his fitness to stand trial. He contends that the PCR judge erred in denying PCR relief and, at the same time, ruling that petitioner should be treated as having pled GBMI.

We find that petitioner's trial counsel was ineffective in failing to make a motion for a Blair[1] hearing given the evidence of petitioner's incompetency. Due process prohibits the conviction of

an incompetent defendant, and this right may not be waived by a guilty plea. *Jeter v. State*, 308 S.C. 230, 232, 417 S.E.2d 594, 595-596 (1992) (citations omitted).

In a PCR action, the petitioner must prove by a preponderance of the evidence that he was incompetent when \*459 he entered his guilty plea. *Id.* at 232, 417 S.E.2d at 596; Rule 71.1(e), SCRPC. In order to find that petitioner's trial counsel was ineffective for refusing to request a Blair hearing on petitioner's competency to stand trial, petitioner must show that counsel was deficient and that the deficiency prejudiced the outcome of petitioner's proceedings.

*Strickland v. Washington*, 466 U.S. 668, 104 S. Ct. 2052, 80 L. Ed. 2d 674 (1984); *Gallman v. State*, 307 S.C. 273, 414 S.E.2d 780 (1992). In *Jeter*, this Court proclaimed that in proving *Strickland* prejudice within the context of counsel's failure to fully investigate the petitioner's mental capacity, "the [petitioner] need only show a 'reasonable probability' that he was either insane at the time [the crime was committed] or incompetent at the time of the plea."

*Jeter*, 308 S.C. at 233, 417 S.E.2d at 596. During the PCR hearing, petitioner's mother testified that petitioner had learning disabilities, took special education classes, and was "slower than other children." [2] In addition, petitioner's mother testified that petitioner was in a near-fatal auto accident one year before the crimes were committed. [3] As a result of the accident, petitioner had surgery on his neck and his head, as well as a tracheotomy to keep him breathing.

Dr. John Cusack (Cusack), a psychiatrist, testified about his five evaluations of petitioner prior to the PCR hearing. He testified that petitioner's pre-accident condition was "at best below the realm of average and possibly mild retardation." Petitioner's auto accident caused him to suffer from anoxia, which causes cerebral damage resulting from the lack of oxygen.

Cusack described petitioner's post-accident mental condition as "someone with severe frontal lobe brain damage, which are the cognitive areas" and concluded that petitioner was incompetent and could not participate in his own defense.

In describing petitioner's mental condition, Cusack referred to petitioner's quick, nonsensical responses to questions. When Cusack asked petitioner where he was, he gave the \*460 quick, basic response of "here." When asked if he was in a prison, cafeteria, or zoo, petitioner responded, "zoo." When asked what his name was, petitioner responded, "me." Through the testimony of Cusack mentioned above, petitioner clearly established by a preponderance of the evidence that he was incompetent at the time he entered his guilty plea.

Consequently, petitioner's trial counsel was deficient for failing to request a Blair hearing so that the court could examine petitioner's fitness to stand trial. We find that trial counsel's failure to request a Blair hearing prejudiced petitioner under the *Jeter* standard because there was, at minimum, a "reasonable probability" that petitioner was incompetent at the time of his guilty plea.

Therefore, the PCR judge erred in finding that petitioner's trial counsel rendered effective assistance. CONCLUSION Since petitioner's trial counsel rendered ineffective assistance in failing to explore petitioner's incompetence, petitioner's guilty plea is vacated, and petitioner is granted a new trial. MOORE, WALLER, BURNETT and PLEICONES, J.J., concur. NOTES [1] State v. Blair, 275 S.C.

529, 273 S.E.2d 536 (1981). [2] At the trial stage, petitioner's trial counsel informed the court that petitioner has an I.Q. of 60, which registers as "mildly retarded." [3] Petitioner's mother testified that she told petitioner's trial counsel about the accident, but trial counsel testified that he was unaware of the auto accident.