

SUPREME COURT OF SOUTH DAKOTA

State v. Semrad

2011 S.D. 7 (2011) · No. #25658 · Decided February 16, 2011

SUMMARY

The Supreme Court of South Dakota held that parole eligibility advisements are estimates and are not part of a defendant's judicial sentence. Consequently, the Department of Corrections' determination that Scott R. Semrad was required to serve 60% of his six-year sentence, and the circuit court's corrected advisement, did not increase his sentence. The court also held that the statutory discrepancy-notice provision did not apply because Semrad was sentenced under the new system after 1996.

CASE DETAILS

COURT	Supreme Court of South Dakota
WRITING FOR THE COURT	Zinter, Justice; Gilbertson, Chief Justice; Konenkamp, Justice; Meierhenry, Justice; Severson, Justice
JURISDICTION	South Dakota
DECIDED	February 16, 2011
DOCKET	#25658
DISPOSITION	affirmed
PROCEDURAL POSTURE	Semrad appealed from a circuit court judgment following stipulated resentencing, arguing that the corrected parole-eligibility advisement illegally increased his original sentence.
STANDARD OF REVIEW	The court reviewed the legal question whether the parole-eligibility advisements increased the sentence and whether SDCL 23A-27-48 applied to Semrad's sentence.
PRECEDENTIAL VALUE	Published opinion of the Supreme Court of South Dakota; precedential.
PARTIES	Scott R. Semrad v. State of South Dakota

TOPICS

- parole
- habeas corpus
- sentencing
- statutory interpretation
- criminal procedure

PRACTICE AREAS

QUESTIONS PRESENTED

1. Whether the circuit court's initial 35% parole-eligibility estimate was part of Semrad's sentence and therefore controlled over the Department of Corrections' 60% determination.
2. Whether the circuit court's corrected 60% parole-eligibility estimate or the Department of Corrections' determination unlawfully increased Semrad's sentence after he began serving it.
3. Whether SDCL 23A-27-48 required a parole-eligibility estimate by the court or a written discrepancy notice from the Department of Corrections for Semrad, a new-system offender sentenced after July 1, 1996.

HOLDINGS

1. A circuit court's parole-eligibility advisement is an estimate required after sentence is imposed and is not part of the judicial sentence.
2. Neither the Department of Corrections' administrative advisement nor the circuit court's corrected parole-eligibility estimate increased Semrad's six-year sentence.
3. SDCL 23A-27-48 did not apply to Semrad because he was sentenced after July 1, 1996 and was therefore a new-system offender whose parole eligibility was governed by SDCL chapter 24-15A, not chapter 24-15.

KEY QUOTATIONS

“Indeed, parole eligibility could not be part of a judicial sentence because parole is not a judicial power: it is an executive act.” (¶ 7)

“Because parole eligibility is not part of a defendant’s sentence, we have recognized that in other contexts judicial acts delaying parole eligibility do not increase a defendant’s sentence.” (¶ 8)

FACTUAL BACKGROUND

Semrad pleaded nolo contendere to attempted sexual contact with a child under sixteen and received a six-year penitentiary sentence. The circuit court initially estimated that, as a second felony offender, he might be eligible for parole after serving 35% of the sentence, but the Department of Corrections later advised that he would have to serve 60%. Following a stipulated resentencing, the court imposed the same six-year sentence and gave the corrected 60% estimate.

PROCEDURAL HISTORY

Semrad pleaded nolo contendere in 2007 and received a six-year penitentiary sentence. After the Department of Corrections advised him that he would have to serve 60% of the sentence before parole eligibility, rather than the 35% estimate given by the sentencing court, he filed a habeas corpus petition. The parties stipulated to vacate the judgment and resentence him; the circuit court again imposed six years and correctly advised him regarding the 60% parole-eligibility estimate. The Supreme Court of South Dakota affirmed.

DISPOSITION

affirmed

CASES CITED

- State v. Marshek, 2009 S.D. 32, ¶ 10, 765 N.W.2d 743, 746
- State v. Munk, 453 N.W.2d 124, 125 (S.D. 1990)
- State v. Cady, 422 N.W.2d 828, 830 (S.D. 1988)
- Roden v. Solem, 411 N.W.2d 421, 422 (S.D. 1987)
- Boehrns v. S.D. Bd. of Pardons & Paroles, 2005 S.D. 49, ¶ 7, 697 N.W.2d 11, 13
- Turner v. Weber, 2001 S.D. 125, ¶ 8 n.1, 635 N.W.2d 587, 590 n.1
- State v. Puthoff, 1997 S.D. 83, ¶ 7, 566 N.W.2d 439, 442
- State v. Sieler, 1996 S.D. 114, ¶ 13, 554 N.W.2d 477, 481

OPINION

ZINTER, J.

#25658-a-SLZ 2011 S.D. 7 IN THE SUPREME COURT OF THE STATE OF SOUTH DAKOTA * * * * STATE OF SOUTH DAKOTA, Plaintiff and Appellee, v. SCOTT R. SEMRAD, Defendant and Appellant. * * * * APPEAL FROM THE CIRCUIT COURT OF THE THIRD JUDICIAL CIRCUIT CODINGTON COUNTY, SOUTH DAKOTA * * * * HONORABLE RONALD K. ROEHR Judge * * * * MARTY J. JACKLEY Attorney General JOHN M. STROHMAN Assistant Attorney General Attorneys for plaintiff Pierre, South Dakota and appellee.

MATTHEW S. THEOPHILUS of Austin, Hinderaker, Hopper, Strait & Benson, LLP Attorneys for defendant Watertown, South Dakota and appellant. * * * * CONSIDERED ON BRIEFS ON JANUARY 10, 2011 OPINION FILED 02/16/11 #25658 ZINTER, Justice [¶1.]

After sentencing Scott R. Semrad to six years in the penitentiary, the circuit court incorrectly informed Semrad that he might be required to serve 35% of his sentence before he would be eligible for parole.¹ After the Department of Corrections subsequently informed Semrad that he would have to serve 60% of his sentence, he petitioned for habeas corpus relief, which resulted in a stipulated resentencing.

The circuit court resentenced Semrad to six years in the penitentiary. But this time the court correctly advised Semrad that he might be required to serve 60% of his sentence before he would be eligible for parole. Semrad appeals contending that the court's corrective advisement illegally increased his original sentence.

We disagree and affirm. Facts and Procedural History [¶2.] In 2007, Semrad pleaded nolo contendere to attempted sexual contact with a child under the age of sixteen in violation of SDCL

§§ 22-22-7 and 22-4-1. This was Semrad's second felony conviction.

The circuit court sentenced Semrad to six years in the penitentiary, stating: "It is going to be the sentence of the court that you be imprisoned in the State Penitentiary for a term of six years commencing forthwith.... And that is the sentence of the Court." The court then advised 1. Semrad contends, and the State does not dispute, that the circuit court's miscalculation occurred because "the court based its statement that [Semrad] was eligible for parole after serving 35% of his sentence upon a mistaken classification of the offense as a nonviolent Class 4 felony, as opposed to the correct classification of the offense as a violent Class 3 offense." See SDCL 24-15A-32 (governing the calculation of parole eligibility for nonviolent and violent felony offenders). -1- #25658 Semrad that he might have to serve 35% of his sentence before being eligible for parole.

The court stated: The Legislature has passed a law making you eligible for parole before serving that entire sentence. You could be paroled after passage of the following amount of time:.... If this is your second felony conviction, when you have served 35% of the sentence. [¶3.] Sometime after Semrad arrived at the penitentiary, the Department of Corrections (DOC) informed him that he would be required to serve 60% of his sentence before he would be eligible for parole.

Although the DOC's advisement was correct, Semrad contended that it "enhanced his sentence," and he filed a petition for habeas corpus. Semrad and the State subsequently stipulated to vacate the judgment and conduct a resentencing. [¶4.]

In 2010, the court resentenced Semrad to six years in the penitentiary. The court specifically explained that it was imposing the same sentence and that its parole eligibility estimate was not part of Semrad's sentence. 2 After imposing sentence, the court then spoke to the issue of Semrad's eligibility for parole.

On this 2. The court stated: The Court is of the opinion that the sentence that the Court impose[d] originally and that has now been vacated, was a proper sentence, and is still a proper sentence.... At the conclusion of your previous sentencing and at the conclusion of this sentence, the court is going to estimate the time which you will be required to serve before you are eligible for parole.

That's not a part of this Court's sentence. The Court does that because it's required to make that advisement to you... by statute, but it's not a part of the sentence.... [I]t is the sentence of the Court that the defendant be imprisoned in the State Penitentiary for a term of six years, commencing forthwith.... Mr. Semrad, that is the sentence of the Court. -2- #25658 occasion the court correctly advised Semrad that he might have to serve 60% of his sentence.

The court stated: The Legislature has passed a law under which you may be eligible for parole after passage of the following amount of time.... If this is your second felony conviction, when you have served 60% of the sentence.... That's merely the Court's estimate.

The Department of Corrections will calculate your actual eligibility. Semrad now contends that his original sentence was increased by the DOC's and the circuit court's parole eligibility advisements. See *State v. Marshek*, 2009 S.D. 32, ¶ 10, 765 N.W.2d 743, 746 (stating that a sentence cannot be increased in severity after the defendant has begun serving it).

Decision [¶5.] Semrad specifically argues that the circuit court's initial "verbal sentence ordering parole release once [Semrad] had served 35% of his sentence is binding regardless of the DOC's... determination that he must serve 60% because a court's verbal sentence controls[.]" 3 Semrad also argues that the court's corrected parole eligibility estimate increased the court's first six-year sentence.

Both arguments are premised on the incorrect assumption that the court's first parole eligibility estimate was part of Semrad's sentence. 3. See *State v. Munk*, 453 N.W.2d 124, 125 (S.D. 1990) ("It is general settled law in this state that the oral sentence is the only sentence and the written sentence must conform to it."); *State v. Cady*, 422 N.W.2d 828, 830 (S.D.

1988) (same). It is only "when an orally pronounced sentence is ambiguous [that] the written judgment may be relied upon to clarify the ambiguity." *Cady*, 422 N.W.2d at 830. -3- #25658 [¶6.] Factually, the circuit court's first verbal sentence did not contain language "ordering parole release" once Semrad served a certain percentage of the sentence. Semrad concedes that both advisements were merely parole eligibility "estimates." Thus, the court's first sentence was not a judicial order setting a parole release date. [¶7.]

We also observe that, as a matter of law, a court's parole eligibility advisement is not part of the court's sentence. The statute requiring parole eligibility advisements, SDCL 23A-27-48, 4 contemplates that the advisement is only the court's estimate made after a sentence is imposed.

The statute provides that in any case in which the court "imposes a sentence that includes imprisonment," the court shall additionally "state... the estimated minimum period the defendant must serve before being eligible for parole[.]" *Id.* (emphasis added). This language does not suggest that the Legislature intended a court's parole eligibility estimate to become part of a defendant's sentence.

Indeed, parole eligibility could not be part of a judicial sentence because parole is not a judicial power: it is an executive act. 4. SDCL 23A-27-48 provides: In any criminal case in which the court imposes a sentence that includes imprisonment, the judge, in open court, shall state the legal maximum time of imprisonment and the estimated minimum period the defendant must serve before being eligible for parole pursuant to chapter 24-15.

Thereafter, the defendant's parole eligibility shall be determined by the Department of Corrections pursuant to chapter 24-15. If the parole eligibility as determined by the Department of Corrections is different from that stated by the court, the Department of Corrections shall notify the sentencing

court, prosecuting attorney, and defendant in writing of the difference. -4- #25658 Roden v. Solem, 411 N.W.2d 421, 422 (S.D.

1987). See also Boehrns v. S.D. Bd. of Pardons and Paroles, 2005 S.D. 49, ¶ 7, 697 N.W.2d 11, 13 (stating that parole is “an executive branch function” and “[a]s such, [a] sentencing court’s [incorrect] opinion on parole classification [is] not determinative”); Turner v. Weber, 2001 S.D. 125, ¶ 8 n.1, 635 N.W.2d 587, 590 n.1 (noting that although the defendant “was misinformed as to his parole eligibility date by the trial court,” the correct parole eligibility date was controlled by statute). [¶8.]

Because parole eligibility is not part of a defendant’s sentence, we have recognized that in other contexts judicial acts delaying parole eligibility do not increase a defendant’s sentence. State v. Puthoff, 1997 S.D. 83, ¶ 7, 566 N.W.2d 439, 442 (providing that defendant’s “sentence” was not increased because the addition of the words “separate transactions” to the written sentence only affected defendant’s parole eligibility); State v. Sieler, 1996 S.D.

114, ¶ 13, 554 N.W.2d 477, 481 (“Sieler’s sentence was not increased by the words ‘separate transaction,’ only his parole eligibility is affected by these words.”). Consistent with these cases and our legislative and constitutional framework, we conclude that neither the DOC’s administrative advisement nor the circuit court’s corrected parole eligibility estimate increased Semrad’s sentence. [¶9.]

Semrad also contends that the DOC failed to follow SDCL 23A-27-48. He relies on the part of the statute providing: “If the parole eligibility as determined by the Department of Corrections is different from that stated by the court, the Department of Corrections shall notify the sentencing court, prosecuting attorney, and defendant in writing of the difference.” See *id.* We have not, however, been -5- #25658 directed to record evidence reflecting that the DOC failed to provide this notice.

Moreover, Semrad fails to recognize that SDCL 23A-27-48 no longer applies to defendants sentenced in South Dakota courts. That statute was the mandate for both the circuit court’s initial obligation to make parole estimates and the DOC’s obligation to give parole discrepancy notices. But SDCL 23A-27-48 only applied to parole eligibility determinations under “SDCL ch. 24-15,” a chapter of the code dealing with old system offenders sentenced before July 1, 1996.

See SDCL §§ 24- 15A-1, -3. Because Semrad was sentenced after 1996, he was a new system offender and his parole eligibility was determined under SDCL ch. 24-15A, a chapter not mentioned in SDCL 23A-27-48. Therefore, SDCL 23A-27-48 did not require an initial parole estimate by the court or a discrepancy notice by the DOC, and Semrad’s discrepancy notice claim is without merit. [¶10.]

Affirmed. [¶11.] GILBERTSON, Chief Justice, and KONENKAMP, MEIERHENRY, and SEVERSON, Justices, concur. -6-