

SUPREME COURT OF FLORIDA

Pasha v. State

39 So. 3d 1259 (Fla. 2010) · No. SC08-1129 · Decided June 24, 2010

SUMMARY

The Supreme Court of Florida held that Khalid Ali Pasha unequivocally invoked his constitutional right to self-representation after the trial court denied his request for substitute counsel. The court reversed his first-degree murder convictions, vacated his death sentences, and remanded for further proceedings because the denial of self-representation was not subject to harmless-error analysis.

CASE DETAILS

COURT	Supreme Court of Florida
WRITING FOR THE COURT	Quince, C.J.; Pariente, J.; Lewis, J.; Canady, J.; Polston, J.; Labarga, J.; Perry, J.
JURISDICTION	Florida
DECIDED	June 24, 2010
DOCKET	SC08-1129
DISPOSITION	reversed_and_remanded
PROCEDURAL POSTURE	Direct appeal from two first-degree murder convictions and death sentences. The Supreme Court of Florida exercised mandatory jurisdiction over the death sentences and reviewed the denial of Pasha's request to proceed pro se.
STANDARD OF REVIEW	De novo review of whether the defendant's request for self-representation was unequivocal and whether denial of the constitutional right to self-representation constituted reversible error.
PRECEDENTIAL VALUE	Published opinion of the Supreme Court of Florida; binding precedent in Florida on the stated self-representation rule.
PARTIES	Khalid Ali Pasha v. State of Florida

TOPICS

- right to counsel
- sixth amendment
- criminal procedure
- appellate procedure
- harmless error

PRACTICE AREAS

QUESTIONS PRESENTED

1. Whether Pasha's request to proceed pro se was unequivocal even though he stated a preference for representation by substitute counsel.
2. Whether the trial court violated Pasha's constitutional right to self-representation by denying his request after determining it was equivocal.
3. Whether denial of the right to self-representation was subject to harmless-error analysis.

HOLDINGS

1. When a trial court denies a defendant's request for substitute counsel after a Nelson hearing and the defendant continues to seek discharge of appointed counsel and to represent himself, the request for self-representation is unequivocal even if the defendant continues to express a preference for substitute counsel.
2. The trial court reversibly erred by finding Pasha's request to proceed pro se equivocal and denying the request.
3. Denial of the constitutional right to self-representation is not amenable to harmless-error analysis.

KEY QUOTATIONS

“A defendant who persists in discharging competent counsel after being informed that he is not entitled to substitute counsel is presumed to be unequivocally exercising his right to self-representation.” (1262)

“Where a trial court has denied a defendant's request for substitute counsel after a Nelson hearing and the defendant still maintains that he wants to discharge counsel and represent himself, the defendant's request is unequivocal—even where the defendant states his continuing preference for substitute counsel.” (1263)

“The right is either respected or denied; its deprivation cannot be harmless.” (1262)

FACTUAL BACKGROUND

Pasha was convicted of murdering his wife, Robin Canady, and step-daughter, Reneesha Singleton, in 2002 and received two death sentences. Before trial, he sought to discharge appointed counsel Nick Sinardi because of disagreements over defense strategy. After the trial court found Sinardi effective at a Nelson hearing, Pasha continued to state that he wanted to avoid proceeding with Sinardi and filed a written and oral request to represent himself. During the Fareta inquiry, Pasha said he preferred having a lawyer but would rather proceed pro se than continue with Sinardi.

PROCEDURAL HISTORY

Approximately one week before trial, Pasha moved to discharge appointed counsel and obtain substitute counsel. After a Nelson hearing, the trial court found counsel effective and denied the motion. On the morning jury selection began, Pasha filed a written motion and orally requested self-representation; the trial court found the

request equivocal, denied it, and proceeded with appointed counsel. Pasha appealed his convictions and death sentences.

DISPOSITION

reversed_and_remanded

REMAND INSTRUCTIONS

Reverse Pasha's first-degree murder convictions, vacate the death sentences, and remand for further proceedings consistent with the opinion.

CASES CITED

- Faretta v. California, 422 U.S. 806 (1975)
- Tennis v. State, 997 So. 2d 375, 377-78 (Fla. 2008)
- Indiana v. Edwards, 554 U.S. 164 (2008)
- Nelson v. State, 274 So. 2d 256 (Fla. 4th DCA 1973)
- Weaver v. State, 894 So. 2d 178, 191-93 (Fla. 2004)
- Jones v. State, 449 So. 2d 253, 258 (Fla. 1984)
- McKaskle v. Wiggins, 465 U.S. 168, 177 n. 8 (1984)

OPINION

PER CURIAM.

39 So. 3d 1259 (2010) Khalid Ali PASHA, Appellant, v. STATE of Florida, Appellee. No. SC08-1129. Supreme Court of Florida. June 24, 2010. James Marion Moorman, Public Defender, and Steven L. Bolotin, Assistant Public Defender, Tenth Judicial Circuit, Bartow, FL, for Appellant. Bill McCollum, Attorney General, Tallahassee, Florida, and Scott Andrew Browne, Assistant Attorney General, Tampa, FL, for Appellee.

PER CURIAM. Khalid Ali Pasha appeals two first-degree murder convictions and sentences of death for the 2002 killings of his wife Robin Canady and step-daughter Reneesha Singleton. Our jurisdiction over death sentences is mandatory. See art. V, § 3(b)(1), Fla. Const. Pasha contends that his request to proceed pro se, made on the morning that jury selection began, was unequivocal and thus the trial court violated *Faretta v. California*, 422 U.S. 806, 95 S. Ct.

2525, 45 L. Ed. 2d 562 (1975), by determining the request to be equivocal and denying it. We agree.[1] I. BACKGROUND On October 15, 2007, approximately one week before Pasha's trial was set to commence, Pasha moved to discharge his counsel, Nick Sinardi, and obtain substitute counsel. This motion was based on a *1260 disagreement between Pasha and Sinardi regarding the appropriate defense strategy.

The trial court held a hearing on October 17, 2007, pursuant to *Nelson v. State*, 274 So. 2d 256 (Fla. 4th DCA 1973). The trial court denied Pasha's motion, finding that Sinardi was rendering effective assistance. During the same hearing, Pasha raised the issue of proceeding pro se. Specifically, Pasha stated: "As a right I have a right not to have a lawyer to sit with me if I don't want to."

The trial court then responded: "That's not before me right now. Right now you filed a motion to discharge Mr. Sinardi.... I've denied it. If you have another request,... put anything that you want me to consider in written form and I promise you I will hear it before trial."

On October 22, 2007, the morning that jury selection was to begin, Pasha filed a written motion to proceed pro se, which stated in part, "Khalid A. Pasha, pro se, has filed this motion with this honorable court for Petitioner to proceed pro se, and not allow Mr. Nick J. Sinardi to furtherance his negligent, and service on the above style case [sic]." Pasha also orally stated to the trial court that he wanted to proceed pro se.

The trial court then engaged in a Faretta inquiry. Toward the end of the Faretta inquiry, the trial court asked Pasha: "Do you have any questions of me with respect to your right to have counsel appointed to represent you? I guess what I'm getting at is do you want a lawyer to represent you?"

The following pertinent exchange then occurred, during which the trial court denied Pasha's motion to proceed pro se: THE DEFENDANT: It is wiser to have a lawyer. My contention is that I'm against having an attorney. I don't think Sinardi put forth the effort in my situation. THE COURT: So are you telling me that you want a lawyer but you do not want Mr. Sinardi; is that correct?

THE DEFENDANT: Yes, sir. But I don't have the choice to pick who I want so it means obvious[ly] the only other alternative is to be pro se. THE COURT: I have to make a comment now that based upon what you've just said to me I have to find that your request to represent yourself is equivocal, it's not an unequivocal request at this juncture but I'll continue.

I've advised you of your right to counsel. The advantages of having counsel, the dangers and disadvantages of not having a lawyer. The nature of the charges and that is that you could get death a death sentence for either count and or you could receive a life sentence for either count. Are you absolutely certain that you do not want to continue with an appointed lawyer?

THE DEFENDANT: As I stated I would love to have a lawyer definitely I would rather have a lawyer. THE COURT: Okay. THE DEFENDANT: But apparently I don't have that choice. THE COURT: Okay. Well, again, we went, Mr. Pasha, through I guess it was last Wednesday what we call a [Nelson] hearing which I heard everything you had to say about your concerns and complaints about Mr. Sinardi.

I heard from Mr. Sinardi. I heard from the State. I weighed all those things. I asked you you did put it in writing and in fact we delayed it for a day from Monday to Wednesday and after weighing and assessing all those things I made a determination under [Nelson] that Mr. Sinardi was not being ineffective.

In other words he was being effective in his representation of you. *1261 Now by law you have a right to ask the Court to allow you to represent yourself and before I can allow that to happen, two things have to occur. I have to make a finding that you [are] knowingly and voluntarily and intelligently waiving your right to counsel. But the more important thing is you have to tell me unequivocally that you want to represent yourself.

I cannot make that finding because you've told me very candidly and very honestly under oath that you would rather proceed with counsel but that you simply do not feel comfortable with Mr. Sinardi so having gone through this [Faretta] inquiry I'll respectfully deny your request to represent yourself and will proceed with Mr. Sinardi as your counsel and that matter will have to be addressed if I'm ruling incorrectly it will have to be addressed with an appellate court if it reaches that stage.

So anything else from counsel? Jury selection then commenced. II. ANALYSIS Pasha does not assert that the trial court conducted an inadequate Faretta inquiry. Instead, Pasha contends that the trial court erred in determining that his request to proceed pro se was equivocal and in thereafter denying that request "Under the United States Supreme Court's ruling in Faretta, an accused has the right to self-representation at trial.

A defendant's choice to invoke this right `must be honored out of that respect for the individual which is the lifeblood of the law.'" *Tennis v. State*, 997 So. 2d 375, 377-78 (Fla.2008) (internal quotation marks omitted) (quoting *Faretta*, 422 U.S. at 834, 95 S. Ct. 2525). "[T]he Sixth and Fourteenth Amendments include a `constitutional right to proceed without counsel when' a criminal defendant `voluntarily and intelligently elects to do so.'" *Indiana v. Edwards*, 554 U.S. 164, 128 S. Ct.

2379, 2383, 171 L. Ed. 2d 345 (2008) (quoting *Faretta*, 422 U.S. at 807, 95 S. Ct. 2525). It is clear that "[b]efore the trial court can make a decision whether to permit the defendant to proceed pro se, the defendant's request for self-representation must be unequivocal." *Tennis*, 997 So.2d at 378.

In *Weaver v. State*, 894 So. 2d 178, 191 (Fla.2004), *Weaver* argued on appeal that his request to proceed pro se was not unequivocal and that the trial court thus erred in allowing *Weaver* to represent himself. This Court rejected *Weaver's* argument and held that *Weaver's* request to proceed pro se was indeed unequivocal. Except for the trial court's ruling on the request to proceed pro se, the relevant facts of *Weaver* closely parallel the facts here.

Weaver initially sought appointment of new counsel because of a disagreement between Weaver and his counsel regarding which defense to present to the jury. The trial court conducted a Nelson hearing but concluded that counsel was effective and thus Weaver was not entitled to substitute counsel if he discharged his current counsel. *Id.* The trial court then asked Weaver whether he wanted to keep his current counsel or discharge him.

The trial court then stated: Because if you do not want [current counsel] to represent you, this Court would not be in a position to appoint you another attorney.... If you can afford an attorney of your own, you have that right to retain private counsel. And if you decide not to have [current counsel] represent you, then you will need to determine whether or not you are competent yourself to represent yourself in this matter.

Id. at 191-92 (second alteration in original). At that point, "Weaver reiterated that he could not proceed with [current counsel's] defense and did not want [current *1262 counsel's] assistance if it meant proceeding with the second-degree murder defense." *Id.* at 192.

After determining that Weaver could not afford a private attorney, the trial court conducted a Faretta inquiry and concluded that Weaver was knowingly and intelligently waiving his right to appointed counsel. *Id.* at 192-93.

In upholding the trial court's determination that Weaver's request to represent himself was unequivocal, this Court explained: Weaver decided to discharge [counsel] even though the court found that [counsel] was providing effective and competent counsel. A defendant who persists in discharging competent counsel after being informed that he is not entitled to substitute counsel is presumed to be unequivocally exercising his right to self-representation.

Id. at 193 (emphasis added); see also *id.* at 191 ("If no reasonable basis appears for a finding of ineffective representation, the trial court should so state on the record and advise the defendant that if he discharges his original counsel, the court may not thereafter be required to appoint a substitute. If the defendant continues to demand dismissal of his court-appointed counsel, the trial judge may in his discretion discharge counsel and require the defendant to proceed to trial without representation." (citation omitted)); *Jones v. State*, 449 So.

2d 253, 258 (Fla.1984) ("[D]efendant persistently demanded that to which he was not entitled counsel of his choice provided by the state. As a matter of guidance, defendants who without good cause refuse appointed counsel but do not provide their own counsel are presumed to be exercising their right to self-representation.").

The reasoning of Weaver is controlling here. In Pasha's case, the trial court erroneously determined that Pasha's statement that he preferred to have an attorney, but not Sinardi, in effect negated his request to proceed pro se.

After the court denied Pasha's motion to discharge Sinardi, Pasha maintained both in a written motion and orally before and during the Faretta inquiry that he preferred proceeding pro se to being represented by Sinardi.

As we held in *Weaver*, at that point the trial court should have "presumed [that Pasha was] unequivocally exercising his right to self-representation." 894 So. 2d at 193; see also *Jones*, 449 So.2d at 258. The fact that Pasha stated to the trial court that he preferred representation by a different attorney is of no consequence. Pasha's comments showed his correct understanding that the trial court's ruling after the Nelson hearing meant that Sinardi was the only appointed counsel to which he was entitled.

Faced with that reality, Pasha clearly expressed a desire to proceed pro se in order to avoid proceeding with counsel he found to be unacceptable. Contrary to the trial court's reasoning here, Pasha's continuing expressions of dissatisfaction with the trial court's ruling at the Nelson hearing did not defeat his constitutional right to represent himself rather than be represented by the appointed counsel he had repudiated.

This error of the trial court requires reversal. "Since the right of self-representation is a right that when exercised usually increases the likelihood of a trial outcome unfavorable to the defendant, its denial is not amenable to 'harmless error' analysis.

The right is either respected or denied; its deprivation cannot be harmless." *McKaskle v. Wiggins*, 465 U.S. 168, 177 n. 8, 104 S. Ct. 944, 79 L. Ed. 2d 122 (1984). III. CONCLUSION The trial court committed reversible error by determining that Pasha's motion to proceed pro se was equivocal. Where a trial court has denied a defendant's request *1263 for substitute counsel after a Nelson hearing and the defendant still maintains that he wants to discharge counsel and represent himself, the defendant's request is unequivocal even where the defendant states his continuing preference for substitute counsel.

We therefore reverse Pasha's convictions for first-degree murder, vacate his sentences of death, and remand for further proceedings consistent with this opinion. It is so ordered. QUINCE, C.J., and PARIENTE, LEWIS, CANADY, POLSTON, LABARGA, and PERRY, JJ., concur. NOTES [1] Pasha also argues that Florida's capital sentencing scheme is unconstitutional.

In view of Pasha's success on his Faretta claim, we need not address this argument.