

SUPREME COURT OF SOUTH CAROLINA

Rhodes v. State, 349 S.C. 25

561 S.E.2d 606 (2002) · No. No. 25431 · Decided March 25, 2002

SUMMARY

The Supreme Court of South Carolina affirmed the denial of post-conviction relief to Moscoe Rhodes, who claimed trial counsel was ineffective for failing to object to testimony concerning a rumor identifying him as the shooter. The court held the testimony was not hearsay because it was offered to explain the victim's identification process, and concluded that counsel's decision to use the testimony as part of a reasonable defense strategy did not constitute ineffective assistance.

CASE DETAILS

COURT	Supreme Court of South Carolina
WRITING FOR THE COURT	Justice Waller; Chief Justice Toal; Justice Moore; Justice Burnett; Justice Pleicones
JURISDICTION	South Carolina
DECIDED	March 25, 2002
DOCKET	No. 25431
DISPOSITION	affirmed
PROCEDURAL POSTURE	Petitioner sought review by writ of certiorari of the post-conviction relief court's denial of relief on his ineffective-assistance-of-trial-counsel claim.
STANDARD OF REVIEW	To establish ineffective assistance of counsel, a PCR applicant must show that counsel's performance fell below an objective standard of reasonableness and that, but for counsel's errors, there is a reasonable probability the result would have been different. The court also applied procedural-preservation principles to issues not raised before or ruled upon by the PCR court.
PRECEDENTIAL VALUE	Published and precedential opinion of the Supreme Court of South Carolina
PARTIES	Moscoe Rhodes v. State of South Carolina

TOPICS

state post-conviction relief

ineffective assistance

hearsay

character evidence

appellate procedure

PRACTICE AREAS

state post-conviction relief

criminal procedure

evidence

appellate procedure

QUESTIONS PRESENTED

1. Whether testimony that a witness had heard Rhodes was responsible for the shooting was inadmissible hearsay.
2. Whether trial counsel was ineffective for failing to object to that testimony.
3. Whether Rhodes could obtain relief based on an improper-character-evidence theory when that theory was not raised before or ruled upon by the PCR court.

HOLDINGS

1. The testimony was not hearsay because it was not offered to prove that Rhodes committed the crimes; it was offered to explain Cook's identification of Rhodes in the yearbook, which led to the investigation and subsequent photographic identifications.
2. Rhodes failed to establish ineffective assistance of counsel. Counsel articulated an objectively reasonable trial strategy of portraying the information as rumor and using it to create reasonable doubt, and the court found no resulting entitlement to PCR relief.
3. The character-evidence theory was procedurally barred because it was not raised at the PCR hearing or ruled upon by the PCR court. Even assuming the argument could be construed under German, the PCR court correctly denied relief.

KEY QUOTATIONS

“The rule against hearsay prohibits the admission of an out-of-court statement to prove the truth of the matter asserted.” (31)

“Where counsel articulates a valid reason for employing certain strategy, such conduct will not be deemed ineffective assistance of counsel.” (33)

FACTUAL BACKGROUND

Rhodes was convicted of offenses arising from a shooting at a mobile home park in which Kendra Wilson was injured and Horace Cook was present. After a friend told Cook that Rhodes might have been involved, Cook reviewed a yearbook, identified Rhodes, and later both Cook and Wilson identified Rhodes in separate photographic lineups. At trial, defense counsel did not object to testimony concerning the rumor but cross-examined the witness and argued that the identification originated from rumor and innuendo.

PROCEDURAL HISTORY

Rhodes was convicted of multiple offenses arising primarily from a shooting, including two counts of assault and battery with intent to kill. The Court of Appeals affirmed on direct appeal. Rhodes then sought post-

conviction relief, alleging trial counsel was ineffective for failing to object to testimony that a witness had heard Rhodes was responsible for the shooting. The PCR court denied relief, and the South Carolina Supreme Court granted certiorari and affirmed.

DISPOSITION

affirmed

CASES CITED

- State v. Rhodes, Op. No. 96-UP-240 (S.C. Ct. App. filed July 30, 1996)
- Strickland v. Washington, 466 U.S. 668, 104 S. Ct. 2052, 80 L. Ed. 2d 674 (1984)
- Johnson v. State, 325 S.C. 182, 480 S.E.2d 733 (1997)
- Dawkins v. State, 346 S.C. 151, 551 S.E.2d 260 (2001)
- State v. Pollard, 260 S.C. 457, 196 S.E.2d 839 (1973)
- German v. State, 325 S.C. 25, 478 S.E.2d 687 (1996)
- State v. Jones, 343 S.C. 562, 541 S.E.2d 813 (2001)
- Plyler v. State, 309 S.C. 408, 424 S.E.2d 477 (1992)
- Hyman v. State, 278 S.C. 501, 299 S.E.2d 330 (1983)
- Stokes v. State, 308 S.C. 546, 419 S.E.2d 778 (1992)
- State v. Brown, 317 S.C. 55, 451 S.E.2d 888 (1994)
- State v. Dennison, 305 S.C. 161, 406 S.E.2d 383 (Ct. App. 1991)

OPINION

WALLER, J.

349 S.C. 25 (2002) 561 S.E.2d 606 Moscoe RHODES, Petitioner, v. STATE of South Carolina, Respondent. No. 25431. Supreme Court of South Carolina. Submitted February 21, 2002. Decided March 25, 2002. *27 Assistant Appellate Defender Robert M. Pachak, of South Carolina Office of Appellate Defense, of Columbia, for petitioner. Attorney General Charles M. Condon, Chief Deputy Attorney General John W. McIntosh, Deputy Attorney General Donald J. Zelenka, Assistant Deputy Attorney General Allen Bullard, and Assistant Attorney General Douglas E. Leadbitter, of Columbia, for respondent.

ON WRIT OF CERTIORARI. Justice WALLER: We granted a writ of certiorari to review the post-conviction relief court's denial of relief to petitioner Moscoe Rhodes. We affirm. FACTS Petitioner was convicted of two counts of assault and battery with intent to kill (ABIK), and one count each of: attempted armed robbery, possession of a weapon during a violent crime, possession of a pistol by a person under 21, giving false information to a law enforcement officer, and *28 resisting arrest.

The trial court sentenced him to two consecutive 20-year terms of imprisonment for the ABIK convictions; for the remaining counts, petitioner received concurrent sentences of 20 years, five years, one year, three months, and one year, respectively.

The Court of Appeals affirmed on direct appeal. State v. Rhodes, Op. No. 96-UP-240 (S.C. Ct.App. filed July 30, 1996). Petitioner thereafter filed for post-conviction relief (PCR), which the PCR court denied. The majority of the charges stem from a shooting which occurred at a mobile home park on Saturday night, February 19, 1994. Victim Horace Cook was driving in the park looking for a party at a friend's house; victim Kendra Wilson was riding in the passenger seat, while another friend, Tonya Shannon, was in the back seat.

Upon arriving where they thought the party was going to be, they discovered the party had moved to a motel. Cook began to drive out when they saw a group of guys.[1] Thinking they might be coming from the party, Cook asked the group where the party was. One member of the group, whom Cook later identified as petitioner, approached Cook and addressed him by name.

As petitioner and Cook spoke, some of the other guys were talking to Shannon in the backseat. Cook testified that petitioner then walked off and dug a pistol out of his pants. Cook "threw the car in drive" while petitioner ran up to the driver's side window, said "Give me all your shit," and then fired into the car. Cook only heard one shot, but Wilson testified she heard three shots.

Cook was not injured; however, Wilson was shot in both her legs and one of her hands. The day after the shooting, Cook spoke with his friend, Tracy Thompson. Thompson testified that he had heard petitioner was involved with the shooting.

On direct examination, Thompson testified as follows: Well, when I found out what happened I have several yearbooks and the name I hear a lot of stuff. Some's true. Some's not. I didn't know if it was true or not but [Cook] being my friend I told him what I heard and I had a bunch of yearbooks, showed him pictures well, I gave him the yearbooks and told him to look through them.
*29 Q....

You also provided a name? A. Yes, I did. Q. Okay. A. That I heard. Q. But A. But I told him when I told him the name I told him that I wasn't sure whether it was him or not. That's just what I heard. Petitioner's counsel made no objection to Thompson's testimony.

On cross-examination, counsel asked: Q. What you actually in fact heard was a grapevine rumor that [petitioner] may have been involved in a shooting of a guy and a girl, isn't it? A. Like I said I hear a lot of stuff. Some's true. Some's not. Both Cook and Thompson testified that after Thompson gave Cook petitioner's name, Cook looked up petitioner in the middle-school yearbook Thompson had provided and identified petitioner as the person who shot at him.

Cook stated that the giving of the name did not influence him, but it was the picture which caused him to identify petitioner as his assailant. Cook brought the yearbook to Investigator Richard Nelson who had been assigned to the case. Investigator Nelson testified that Cook told him he had identified petitioner from the yearbook based on what someone had heard and then communicated to Cook.

Petitioner's counsel did not object. Based on Cook's identification, Investigator Nelson had petitioner arrested. Investigator Nelson used a photograph taken of petitioner after his arrest as part of a photographic line-up. Both Wilson and Cook, at separate times, identified petitioner from this line-up.[2] Wilson testified that Cook told her he had seen petitioner's picture in a yearbook, but that Cook never showed her the yearbook.

Petitioner presented an alibi defense. During closing argument, petitioner's counsel argued that the entire case boiled *30 down to identity since no physical evidence linked petitioner to the shooting. He stressed that Cook's identification stemmed solely from a rumor "picked up off the street." Regarding Thompson's testimony, counsel argued: "He hears so much stuff out there and a lot of it is untrue.

If [petitioner] is convicted that's the real basis for him being convicted. That's the genesis of all this is that rumor. That can't be avoided. That can't be allowed. There is reasonable doubt." Finally, counsel asked the jury to not "send a man away on rumor and innuendo." At the PCR hearing, petitioner alleged that counsel should have objected to the testimony that Thompson had heard it was petitioner who committed these crimes.

Counsel, however, testified that he did not believe this testimony constituted hearsay. Moreover, counsel stated that when he cross-examined Thompson and characterized what Thompson had heard as rumor, his intent was to "plant seeds in the jury's mind that they didn't want to convict someone and send them to prison on a rumor."

As to this issue, the PCR court held counsel was not ineffective and that even if counsel could be considered ineffective for failing to object, there was no prejudice to petitioner. ISSUE Did the PCR court err in finding counsel was not ineffective? DISCUSSION Petitioner argues counsel was ineffective for failing to object to testimony that Thompson had heard petitioner was responsible for the shooting.

He contends this testimony was improper hearsay and served to bolster the victims' identifications. To establish a claim of ineffective assistance of trial counsel, a PCR applicant must show that: (1) counsel's representation fell below an objective standard of reasonableness and, (2) but for counsel's errors, there is a reasonable probability the result at trial would have been different.

Strickland *31 v. Washington, 466 U.S. 668, 104 S. Ct. 2052, 80 L. Ed. 2d 674 (1984); Johnson v. State, 325 S.C. 182, 480 S.E.2d 733 (1997). A reasonable probability is a probability sufficient to

undermine confidence in the outcome of trial. Id. We find that the testimony admitted in this case about Thompson hearing petitioner was the shooter does not constitute hearsay.

The rule against hearsay prohibits the admission of an out-of-court statement to prove the truth of the matter asserted. E.g., *Dawkins v. State*, 346 S.C. 151, 551 S.E.2d 260 (2001). Here, it was repeatedly made clear during trial that the information Thompson had heard was "from the street," i.e., a "rumor."^[3] It was not offered to prove that petitioner had committed the crimes, but rather to explain Cook's identification of petitioner in the yearbook.

This in turn led to petitioner's apprehension and the subsequent identification of him by both victims via the photographic line-up. Petitioner cites *State v. Pollard*, 260 S.C. 457, 196 S.E.2d 839 (1973), in support of his argument. Pollard was an armed robbery case and, like the instant case, involved identification of the defendant by the victim as the central issue.

In Pollard, the investigating officer was permitted to testify that he signed the arrest warrant for Pollard "from information received in the investigation' of the case" and that this information did not come from the victim. Id. at 459-60, 196 S.E.2d at 840.

The Court held that this testimony was "clearly hearsay and inadmissible." Id. at 460, 196 S.E.2d at 840. The Court explained that the "only effect of the questioned testimony of the officer was to bolster the identification of appellant by conveying to the mind of the jury that there were others who connected appellant with the crime" and that Pollard had therefore been prejudiced by the denial of his right of confrontation.

Id. at 461, 196 S.E.2d at 840. While Pollard appears to be applicable on the facts, this Court expressly abrogated Pollard in *German v. State*, 325 S.C. 25, 478 S.E.2d 687 (1996). In German, the petitioner had been convicted of possession with intent to distribute crack cocaine. At trial, the undercover agent testified he had received *32 several tips that the petitioner was distributing or selling crack cocaine.

We held counsel was ineffective for failing to request a curative instruction when her hearsay objection to the agent's testimony was sustained and for failing to object to related statements made by the solicitor in his opening statement.

Because the statements specifically referred to petitioner, and not to drug activity in general, we found them objectionable. Id. at 27, 478 S.E.2d at 688.^[4] Specifically, we stated in German that trial counsel "should have objected to these statements as improper comments on petitioner's character and her failure to do so prejudiced appellant." Id. at 28, 478 S.E.2d at 688 (emphasis added).

We explained that Pollard, as well as a Court of Appeals case relying on Pollard,^[5] "were incorrectly decided. The statements were not objectionable as hearsay. However, they were

objectionable as improper comments on the defendant's character." *Id.* at 28 n. 2, 478 S.E.2d at 688 n. 2.

Thus, in the instant case, petitioner's hearsay argument and his reliance on Pollard do not help him because he did not argue to the PCR court that counsel was ineffective for failing to object to this testimony on the basis of improper character evidence. See *id.*; see also *State v. Jones* 343 S.C. 562, 575, 541 S.E.2d 813, 820 (2001) (where the Court, relying on *German*, stated that the "identification of an individual as the suspect of a criminal investigation, based upon speculation and effectively calling into question that individual's character is" inadmissible).

Any argument based on the reasoning of *German* is clearly unpreserved for this Court's review. *Plyler v. State*, 309 S.C. 408, 424 S.E.2d 477 (1992) (an issue which was neither raised at the PCR hearing nor ruled upon by the PCR court is procedurally barred); *Hyman v. State*, 278 S.C. 501, 299 S.E.2d 330 (1983) (same).

However, even assuming we could construe petitioner's ineffectiveness argument as one based on character pursuant to *German*, we find the PCR court correctly denied relief. *33 Counsel stated at the PCR hearing he purposely sought to convert this evidence to petitioner's advantage by portraying it as rumor. He brought this out in his cross-examination of Thompson and based much of his closing argument on the idea that the victims' identifications stemmed purely from rumor and innuendo.

Where counsel articulates a valid reason for employing certain strategy, such conduct will not be deemed ineffective assistance of counsel. E.g., *Stokes v. State*, 308 S.C. 546, 419 S.E.2d 778 (1992). In our opinion, counsel articulated an objectively reasonable strategy for his failure to object to this testimony. Indeed, it appears this was the only real strength in petitioner's defense and that counsel effectively capitalized on this evidence.

Accordingly, we hold there is no merit to petitioner's argument that counsel was ineffective in this case. AFFIRMED. TOAL, C.J., MOORE, BURNETT and PLEICONES, JJ., concur. NOTES [1] Cook described the group as being comprised of eight black teen-age males.

[2] Petitioner's counsel challenged these identifications prior to trial. After a hearing, the trial court ruled the identifications admissible. During the trial, counsel consistently attacked the identifications made by the victims and the suggestiveness of the line-up.

[3] Thompson himself acknowledged that he heard "a lot of stuff. Some's true. Some's not." [4] In contrast, evidence that police began an investigation because of generalized reports of criminal activity is admissible pursuant to *State v. Brown*, 317 S.C. 55, 451 S.E.2d 888 (1994).

[5] *State v. Dennison*, 305 S.C. 161, 406 S.E.2d 383 (Ct.App.1991).

