

INDIANA SUPREME COURT

In the Matter of J.K., a Child in Need of Services: M.K. v. Marion County Department of Child Services and Child Advocates, Inc.

30 N.E.3d 695 (Ind. 2015) · No. 49S02-1505-JC-260 · Decided May 12, 2015

SUMMARY

The Indiana Supreme Court held that the cumulative effect of a trial court's derogatory and coercive comments in a CHINS proceeding deprived the father of an impartial tribunal and due process. Because the comments pressured him to waive fact-finding and admit that his daughter was a child in need of services, the court reversed the CHINS adjudication. The court noted that reversal was moot as to the child, who had turned eighteen, but addressed the issue as one of public importance.

CASE DETAILS

COURT	Indiana Supreme Court
WRITING FOR THE COURT	Rush, Chief Justice; Dickson, Justice; Massa, Justice; Rucker, Justice; David, Justice
JURISDICTION	Indiana
DECIDED	May 12, 2015
DOCKET	49S02-1505-JC-260
DISPOSITION	reversed
PROCEDURAL POSTURE	Father appealed a CHINS adjudication after the trial court's comments and conduct allegedly deprived him of an impartial forum and coerced his admission that J.K. was a child in need of services. The Indiana Court of Appeals affirmed, and the Indiana Supreme Court granted transfer.
STANDARD OF REVIEW	The court reviewed the unobjected-to judicial conduct for fundamental error and assessed whether the cumulative effect of the trial court's comments and demeanor deprived Father of due process and coerced his admission.
PRECEDENTIAL VALUE	Published Indiana Supreme Court opinion; precedential
PARTIES	M.K. v. Marion County Department of Child Services, Child Advocates, Inc.

TOPICS

family law procedure

parental rights

due process

appellate procedure

mootness

PRACTICE AREAS

juvenile law

family law

constitutional law

appellate procedure

QUESTIONS PRESENTED

1. Whether the cumulative effect of the trial court's comments, demeanor, and conduct deprived Father of his due process right to an impartial tribunal.
2. Whether the trial court's statements coerced Father into waiving fact-finding and admitting that J.K. was a child in need of services.
3. Whether reversal required a remand after J.K. reached the age of eighteen.

HOLDINGS

1. The cumulative effect of the trial court's derogatory, impatient, and hostile comments and conduct breached its duty to remain impartial and deprived Father of a fair proceeding.
2. The trial court's conduct coerced Father's admission that J.K. was a CHINS, and that coercion constituted fundamental error despite Father's failure to object to the court's earlier comments.
3. No remand was necessary because J.K. had turned eighteen, rendering the CHINS adjudication moot except for the public-importance issue resolved by the court.

KEY QUOTATIONS

“Though Father did not object to the trial court’s statements, their combined effect was sufficiently coercive to constitute fundamental error.” (30 N.E.3d at 696)

“The cumulative effect of the trial court’s comments and demeanor had a direct impact on Father accepting the court’s leading suggestion to “waive fact-finding.”” (30 N.E.3d at 703)

“Such coercion is fundamental error, and we reverse J.K.’s adjudication as a CHINS accordingly.” (30 N.E.3d at 704)

FACTUAL BACKGROUND

Seventeen-year-old J.K. lived with her mother, maternal grandmother, and uncle after her parents had separated. DCS initiated CHINS proceedings after J.K. was locked out of the home and Mother expressed that she wanted to relinquish J.K.; Father denied that J.K. needed services if placed in his care and sought custody or placement. During two hearings concerning placement, the trial court repeatedly criticized the parties, announced that it was adjudicating J.K. as a CHINS before sworn testimony, and pressured Father to waive fact-finding by threatening that he would otherwise be responsible for transportation and stating that he would be 'finding a new job.'

PROCEDURAL HISTORY

The Marion County Department of Child Services initiated CHINS proceedings concerning J.K. Father denied that J.K. was a CHINS and sought custody or placement. After the trial court made derogatory and coercive comments during two hearings, Father admitted that J.K. was a CHINS, and the trial court entered the adjudication. The Court of Appeals affirmed, but the Indiana Supreme Court reversed; because J.K. had turned eighteen, the court did not remand.

DISPOSITION

reversed

REMAND INSTRUCTIONS

No remand; the court stated that J.K.'s attainment of age eighteen made the CHINS adjudication moot, although the public-importance issue warranted decision.

CASES CITED

- Timberlake v. State, 690 N.E.2d 243, 256 (Ind. 1997)
- Taylor v. State, 530 N.E.2d 1185, 1187 (Ind. 1988)
- Swift v. State, 255 Ind. 337, 341, 264 N.E.2d 317, 320 (Ind. 1970)
- Harrington v. State, 584 N.E.2d 558, 561-62 (Ind. 1992)
- Rowe v. State, 539 N.E.2d 474, 477 (Ind. 1989)
- Beatty v. State, 567 N.E.2d 1134, 1136 (Ind. 1991)
- Everling v. State, 929 N.E.2d 1281, 1287, 1290-91 (Ind. 2010)
- Caperton v. A.T. Massey Coal Co., 556 U.S. 868, 876 (2009)
- In re N.E., 919 N.E.2d 102, 108 (Ind. 2010)
- In re G.P., 4 N.E.3d 1158, 1165 (Ind. 2014)
- In re C.G., 954 N.E.2d 910, 916 (Ind. 2011)
- Baker v. Marion County Office of Family and Children, 810 N.E.2d 1035, 1041 (Ind. 2004)
- Abernathy v. State, 524 N.E.2d 12, 13-15 (Ind. 1988)
- Brannum v. State, 267 Ind. 51, 52-59, 366 N.E.2d 1180, 1182-84 (Ind. 1977)
- Kennedy v. State, 258 Ind. 211, 218, 280 N.E.2d 611, 615 (Ind. 1972)
- Lake County Division of Family and Children Services v. Charlton, 631 N.E.2d 526, 529 (Ind. Ct. App. 1994)
- Stellwag v. State, 854 N.E.2d 64, 69 (Ind. Ct. App. 2006)
- Elbert v. Elbert, 579 N.E.2d 102, 115 (Ind. Ct. App. 1991)
- M.K. v. Marion County Department of Child Services, No. 49A02-1312-JC-1008 (Ind. Ct. App. Aug. 6, 2014), slip op. at 6-7, 9

OPINION

RUSH, J.

ATTORNEY FOR APPELLANT ATTORNEY FOR APPELLEE Jill M. Acklin Gregory F. Zoeller
McGrath, LLC Attorney General of Indiana Carmel, Indiana Robert J. Henke Deputy Attorney
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In the May 12 2015, 12:45 pm Indiana Supreme Court _____ No.
49S02-1505-JC-260 IN THE MATTER OF J.K., A CHILD IN NEED OF SERVICES.

M.K., Appellant, V. MARION COUNTY DEPARTMENT OF CHILD SERVICES AND CHILD ADVOCATES, INC., Appellees. _____ Appeal from the Marion Superior Court, No. 49D09-1305-JC-16154 The Honorable Marilyn A. Moores, Judge _____ On Petition to Transfer from the Indiana Court of Appeals, No. 49A02-1312-JC-1008 _____ May 12, 2015 Rush, Chief Justice.

Every Child in Need of Services (CHINS) proceeding has the potential to interfere with parents' rights in the upbringing of their children—and so the parents' due process rights, including the right to an unbiased and uncoercive forum, are paramount. But the judicial conduct in this case deprived Father of those rights.

After making several derogatory remarks over the course of two hearings about the parties and the nature of their dispute, the trial court pressured Father to waive his right to a fact-finding hearing and instead admit that his daughter was a CHINS. Though Father did not object to the trial court's statements, their combined effect was sufficiently coercive to constitute fundamental error.

We therefore reverse the CHINS adjudication. Facts and Procedural History Seventeen-year-old J.K. and her mother C.K. (Mother) lived with J.K.'s maternal grand- mother G.C. (Grandmother) and uncle. Appellant M.K (Father) is J.K.'s father and was still married to Mother.

However, they had long been separated, and their divorce was pending with child-custody issues remaining unresolved. In May 2013, the Marion County Department of Child Services (DCS) initiated CHINS proceedings over J.K., alleging that J.K. had finished her work shift at 9:00 p.m. and arrived at home to find that Grandmother had locked her out for coming home too late.

Further, when DCS contacted Mother, she told them she was tired of J.K. and said, "I will sign the bitch over to you." DCS also alleged that Father refused to talk to them while he was at work or to take time off to do so. When the fact-finding hearing began, Mother admitted that J.K. was in need of services. But Father denied that claim, stating that he intended to seek either custody of J.K. through the divorce or placement through the CHINS case and that he did not believe J.K. would be in need of services if she were in his care.

From the first few minutes of the hearing, the court expressed impatience— responding to the parties' discussion of the potential overlap between custody in the divorce and placement in the CHINS case by commenting, "My hair hurts." Minutes after that, Father proposed placement in his home as "possibly... a solution" to that overlap. But Mother objected (and J.K. confirmed) that Father had prevented J.K. from contacting Mother during previous times J.K. had stayed with him.

When Father replied to express willing- ness to permit communication and establish a parenting-time schedule, the court interjected, "Just for giggles, how does this affect the CHINS? All I want to know is does he admit or are we trying it? I don't want the divorce either. It's not my job." When Father's counsel reiterated that he did not admit J.K. was a CHINS, the trial court interrupted, "Then... call

your first witness.” It then went on to call the parties’ dispute “ridiculous and retarded,” fault the parties for “stupidity,” and continue the hearing to a new date to order the parties into mediation: [G]uys this is not what the Court is for.

This is not what tax payer’s [sic] services are for. We have people who are writing their names on 2 children with lit cigarettes. That is what the resources of this Court are for and not because you’re living with people... that have too much drama and you’re living with somebody else who creates drama for her which then creates drama for her. Shame on you guys for putting her in the middle of this and shame on her grandmother for locking her out.

What kind of crazy person locks a kid out on the streets in this world, in this day and age? It’s not like she’s out running around, she’s working for [G]od[’s] sake. Now, this is completely ridiculous and retarded.

Here’s what the Court’s order is, I’m order- ing you guys to go to mediation with our mediators, not DCS, you two get this figured out where this child is going to be so that her life is not impacted by the stupidity that is going on in both of your lives. All I want to know is where she’s going to live and that she is safe and you need to start thinking about her and not you.

Give me a date for mediation. Tr. 8 (emphases added). A moment later, the trial court continued, “This is just nuts.... This is a divorce and it’s being poorly handled,” and asked, “[W]hat the hell are [the parties’ divorce attorneys] doing?” And as the parties finalized details of the court-ordered mediation at the conclusion of the hearing, the trial court recommended to J.K. that she should attend the mediation to “[m]ake your parents mind” and further said, “I’ll warn [the mediator]” about the case.

At that point, the fact- finding hearing was continued pending the outcome of mediation. The parties reached no agreement in mediation and returned to court in October 2013 for a continuation of the fact-finding hearing.

The tone of the previous hearing continued: DCS stated at the outset, “Judge, we have a little bit of an issue with this case,” and the court responded, “Imagine that.” J.K. then proposed to be placed with Father, with Mother to have regularly scheduled parenting time, prompting the trial court to scold the parties, “[D]o you he[ar] the wisdom of your daughter, the seventeen year old that neither of you knuckles head [sic] can get this done, shame on both of you.” The parties had nearly reached agreement on J.K.’s placement, except for confirming whether J.K. could be bused to her current high school from Father’s home in a neighboring school district, since Father’s work schedule (and, as revealed at a subsequent hearing, a suspended driver’s license) prevented him from taking her to school.

At that point, the trial court stated, “I am adjudicating [J.K.] as a child in need of services.” Father objected, leading to another heated 3 colloquy that culminated in Father reversing his previous position and admitting that J.K. was in need of services: [FATHER’S COUNSEL]: Your honor, before

we get to[o] far afield, um, father still avers that [J.K.] is not a child in need of services based on if he has placement that she doesn't need services.

THE COURT: Well, if that were the case then he'd be able to provide her transportation to school wouldn't he? [FATHER'S COUNSEL]: Not necessarily, your honor. As you said the school system...

THE COURT: Hopefully, that's the case. [FATHER'S COUNSEL]:... must do that but he doesn't believe... THE COURT: Well no, only if she is placed by court order. They won't do it if she, if he just gets custody.

They w[o]n[']t do it, that's the reason I'm keeping the case open. If I were you I'd waive fact- finding otherwise you're going to find your butt finding a new job. I'll be happy to give you what you want sir and I will order custody to you and then you will be responsible for ensuring that she gets to school every day. Do you want to do that?

We can play that game. They only do it for kids in foster care and in court ordered placements, they don't do it for others. MR. KING: That's fine she's... THE COURT: It's 5:30 sir... MR. KING:... a child in need of services. THE COURT: Thank you.... Tr. 27–28 (emphases added).

In accordance with that admission, the court adjudicated J.K. to be a child in need of services. Father appealed, arguing the trial court's comments deprived him of a fair tribunal and coerced his admission that J.K. was in need of services.

The Court of Appeals affirmed, concluding that even though the court's statements were blunt, they did not call the judge's impartiality into question or coerce Father, but instead aimed only to emphasize that the CHINS case was the wrong forum for their dissolution-related disputes, and that if Father could not get J.K. to school, then she was in need of services.

M.K. v. Marion 4 Cnty. Dep't of Child Servs., No. 49A02-1312-JC-1008 (Ind. Ct. App. Aug. 6, 2014), slip op. at 6– 7, 9. We disagree, and now grant transfer. Discussion and Decision We afford trial judges ample “latitude to run the courtroom and maintain discipline and control of the trial.” *Timberlake v. State*, 690 N.E.2d 243, 256 (Ind. 1997). Particularly in bench trials, courts have considerable discretion to question witnesses sua sponte “to aid in the fact-finding process as long as it is done in an impartial manner.” *Taylor v. State*, 530 N.E.2d 1185, 1187 (Ind.

1988) (quoting *Swift v. State*, 255 Ind. 337, 341, 264 N.E.2d 317, 320 (1970)). We even tolerate a “crusty” demeanor towards litigants so long as it is applied even-handedly. *Harrington v. State*, 584 N.E.2d 558, 562 (Ind. 1992) (quoting *Rowe v. State*, 539 N.E.2d 474, 477 (Ind. 1989)). Yet judges at all times “must maintain an impartial manner and refrain from acting as an advocate for either party,” *Beatty v. State*, 567 N.E.2d 1134, 1136 (Ind.

1991)—because a “trial before an impartial judge is an essential element of due process,” *Everling v. State*, 929 N.E.2d 1281, 1287 (Ind. 2010) (citing *Caperton v. A.T. Massey Coal Co.*, 556 U.S. 868, 876 (2009)). The right to an impartial judge is no less vital in CHINS cases than in any other proceeding. Indeed, we have recognized that when a trial court “makes decisions during a CHINS

hearing as to whether the child will become a ward of the State or orders services, this has the potential to interfere with the rights of parents in the upbringing of their children.” In re.

N.E., 919 N.E.2d 102, 108 (Ind. 2010). “It is unequivocal that the termination of a parent-child relationship by the State constitutes the deprivation of an important interest warranting deference and protection, and therefore when the State seeks to terminate the parent-child relationship, it must do so in a manner that meets the requirements of due process.” In re G.P., 4 N.E.3d 1158, 1165 (Ind.

2014) (alteration and internal quotation marks omitted). CHINS proceedings are thus “subject to the same due process analysis as a proceeding terminating a parent’s relationship with a child.” Id. (citation omitted). One of those requirements is “a fair proceeding,” In re C.G., 954 N.E.2d 910, 916 (Ind. 2011) (citation omitted)—even though, like other juvenile matters, CHINS cases permit “judicial involvement that is much more intensive” than in other civil or criminal matters, *Baker v. Marion Cnty.*

Office of Family and Children, 810 N.E.2d 1035, 1041 (Ind. 2004). Recognizing the well-settled due process right to an impartial court as necessary to a fair proceeding, we have found fundamental error when trial judges’ comments, demeanor, or conduct indicated bias. Often, we have focused on the challenged conduct’s likely effect on jurors. E.g., *Abernathy v. State*, 524 N.E.2d 12, 13–15 (Ind.

1988) (finding trial judge’s conduct prejudicial when he implied disbelief of witness by conspicuously propping up his feet and turning his back during a witness’s testimony and questioning several witnesses in a manner calculated to impeach or discredit); *Brannum v. State*, 267 Ind. 51, 52–59, 366 N.E.2d 1180, 1182–84 (1977) (involving a trial judge’s comments during voir dire and about a witness’s credibility and sua sponte supplementation of jury instructions while deliberations were underway); *Kennedy v. State*, 258 Ind. 211, 218, 280 N.E.2d 611, 615 (1972) (discussing trial judge’s questioning that impeached several witnesses).

But we have also found violations based on “damaging comments outside the presence of the jury.” *Everling v. State*, 929 N.E.2d 1281, 1290 (Ind. 2010). And it should go without saying that bench trials, too, demand an impartial judge. See *Taylor*, 530 N.E.2d at 1187 (requiring “impartial” judicial questioning of witnesses in bench trials).

In a CHINS case specifically, the Court of Appeals has reversed a decision when the trial judge, before hearing any testimony, expressed an opinion on the merits based on evidence previously presented in a collateral proceeding—which violated the judge’s “duty to remain impartial and refrain from making unnecessary comments or remarks.” *Lake Cnty. Div. of Family and Children Servs. v. Charlton*, 631 N.E.2d 526, 529 (Ind.

Ct. App. 1994) (citing *Harrington*, 584 N.E.2d at 561). Likewise here, we conclude the cumulative effect of the trial court’s comments breached its “duty to remain impartial and refrain from making unnecessary comments or remarks,” id., such that Father was coerced into admitting that J.K. was a CHINS—a matter he had firmly contested just moments earlier.

The court began the first hearing by complaining that the dispute made “[m]y hair hurt[.]” As the parties tried to reach consensus on a solution, the court told them, “All I want to know is does [Father] admit [that J.K. is a CHINS] or are we trying it?” And immediately thereafter, instead of letting Father “call [his] first witness” as the court had instructed, it told the parties that their dispute was “ridiculous,” “retarded,” indicative of “stupidity,” “just nuts,” and otherwise “not what this Court is for,” and stated that it would “warn” (rather than merely instruct or advise) the appointed mediator.

Those remarks strongly suggested to the parties they would not receive a “fair trial before an impartial judge.” *Harrington*, 584 N.E.2d at 561; *Abernathy*, 524 N.E.2d at 13. The second hearing, after mediation failed, confirmed that impression. Once the parties had been identified for the record, DCS informed the court that there arose “a little bit of an issue with this case,” to which the trial court sarcastically responded, “Imagine that.” And shortly thereafter, the court called the parties “knuckleheads” for failing to resolve their dispute in mediation.

All of this culminated in the court announcing that it was “adjudicating [J.K.] as a child in need of services” without having received any sworn testimony. When Father’s counsel objected, the court persuaded Father to change his mind by stating that he would otherwise “find [his] butt finding a new job” if he wanted to “play that game,” and expressing frustration at the time of day.

Then, and only then, did Father relent and say—contrary to his counsel’s statements moments earlier—“That’s fine[,] she’s... a child in need of services.” DCS argues that the trial court “did not demonstrate any actual bias or prejudice against Father.” Instead, in its view, the court urging Father to waive fact-finding was merely a neutral explanation that busing services for J.K., which would remedy his inability to provide transportation for her and permit her to remain at the same high school, could be provided only if she were in a court-ordered placement.

We disagree. Viewed in isolation, DCS’s characterization could be plausible. But we must consider the “cumulative effect” of a court’s comments, because even relatively minor remarks can compound into prejudice. E.g., *Everling*, 929 N.E.2d at 1290–91 (finding prejudice based on cumulative effect of court’s comments); *Stellwag v. State*, 854 N.E.2d 64, 69 (Ind.

Ct. App. 2006) (same), and the full context can mitigate comments that seemed damaging in isolation, e.g., *Elbert v. Elbert*, 579 N.E.2d 102, 115 (Ind. Ct. App. 1991) (Baker, J., concurring) (plurality opinion) (holding that “in their totality,” judge’s comments did not impermissibly impose religious requirement for child custody).

Here, the prejudicial effect of the statements compounded with repetition through two hearings. Moreover, the court’s repeated implication of being unreceptive and hostile to the parties came to a head when it told Father, “If I were you I’d waive fact-finding” or else “find your butt finding a new job,” unless he wanted to “play that game” of having a contested hearing.

The cumulative effect¹ We emphasize the cumulative effect of the court’s statements as dispositive. Though we require courts to treat all litigants with respect at all times, we also recognize that judges

are not immune from the emotional effects of the cases they hear, Peter G. Jaffe, et al., *Vicarious Trauma in Judges: The Personal Challenge of Dispensing Justice*, 54 *Juv. & Family Ct.*

J. 1, 2 (Fall 2003). “[W]riting... on children with lit cigarettes,” as the trial court observed, is just one example of the harm juvenile judges (and foster parents, CASAs, attorneys, service providers, and court staff) routinely confront. Recognizing that burden, we will not race to judgment over isolated inappropriate or impatient comments that do not cause prejudice to the parties.

But that leeway ends where the parties’ due process rights begin—and given the cumulative effect of these remarks, we must protect Father’s due process rights by reversing the CHINS adjudication. 7 of the trial court’s comments and demeanor had a direct impact on Father accepting the court’s leading suggestion to “waive fact-finding.” Such coercion is fundamental error, and we reverse J.K.’s adjudication as a CHINS accordingly.

Conclusion Because the trial court’s remarks and conduct, in their cumulative effect, breached the court’s duty of impartiality and amounted to coercion of Father, we reverse the CHINS adjudication.² Dickson, Rucker, and David, JJ., concur. Massa, J., concurs in result. 2 Because J.K. has long since turned eighteen, reversal of the CHINS adjudication is moot, save the issue of public importance this case presented.

Therefore, our reversal need not be accompanied by remand. 8