

SUPREME COURT OF ARKANSAS

In re Arkansas Bar Association Petition to Amend Rules 1.2, 4.2, and 4.3 of the Arkansas Rules of Professional Conduct

2016 Ark. 204 (2016) · No. CV-16-187 · Decided May 12, 2016

SUMMARY

The Supreme Court of Arkansas grants a petition to amend Arkansas Rules of Professional Conduct 1.2, 4.2, and 4.3 concerning limited-scope or unbundled legal representation. The amendments address informed consent, the treatment of limited-scope clients as unrepresented persons, and communications by opposing counsel, and are effective immediately.

CASE DETAILS

COURT	Supreme Court of Arkansas
WRITING FOR THE COURT	Per Curiam
JURISDICTION	Arkansas
DECIDED	May 12, 2016
DOCKET	CV-16-187
DISPOSITION	approved
PROCEDURAL POSTURE	The Arkansas Bar Association, its House of Delegates, and its Professional Ethics Committee petitioned the Supreme Court of Arkansas to amend Rules 1.2, 4.2, and 4.3 of the Arkansas Rules of Professional Conduct.
PRECEDENTIAL VALUE	Published and precedential rule-adoption opinion

TOPICS

civil procedure

PRACTICE AREAS

legal ethics

professional responsibility

civil procedure

QUESTIONS PRESENTED

1. Whether the Arkansas Rules of Professional Conduct should be amended to facilitate limited-scope or unbundled legal representation.

2. Whether the proposed amendments to Rules 1.2, 4.2, and 4.3 should be adopted and made effective immediately.

HOLDINGS

1. The petition to amend Rules 1.2, 4.2, and 4.3 of the Arkansas Rules of Professional Conduct is granted, and the proposed amendments are adopted.
2. The amended Rules 1.2, 4.2, and 4.3 are effective immediately.

KEY QUOTATIONS

“The comment period has expired, and we hereby adopt the proposed changes.” (at 1)

“These rules are republished below and are effective immediately.” (at 1)

FACTUAL BACKGROUND

The Arkansas Bar Association, its House of Delegates, and its Professional Ethics Committee sought amendments to the Arkansas Rules of Professional Conduct to facilitate limited-scope, or unbundled, legal representation. The court had previously published proposed amendments and solicited comments. After the comment period expired, the court adopted the proposed changes.

PROCEDURAL HISTORY

The court previously published proposed amendments and invited comments from the bench and bar. After the comment period expired, the court granted the petition, adopted the proposed amendments, republished the rules, and made them effective immediately.

DISPOSITION

approved

CASES CITED

- In re Arkansas Bar Association Petition to Amend Rules 1.2, 4.2, and 4.3 of the Arkansas Rules of Professional Conduct, 2016 Ark. 132

OPINION

In re Rules of Prof'l Conduct

2016 Ark. 204

PER CURIAM.

Cite as 2016 Ark. 204

SUPREME COURT OF ARKANSAS

No. CV-16-187

IN RE ARKANSAS BAR

ASSOCIATION PETITION TO Opinion Delivered May 12, 2016

AMEND RULES 1.2, 4.2, AND 4.3

OF THE ARKANSAS RULES OF

PROFESSIONAL CONDUCT

PETITION GRANTED

PER CURIAM

The Arkansas Bar Association, its House of Delegates, and its Professional Ethics Committee filed a petition with the Supreme Court to amend the Arkansas Rules of Professional Conduct to facilitate the ability of lawyers to engage in limited scope or “unbundled” legal representation. We recently published the proposed amendments and welcomed comments from the bench and bar. In *Re Arkansas Bar Association Petition to Amend Rules 1.2, 4.2, and 4.3 of the Arkansas Rules of Professional Conduct*, 2016 Ark. 132 (per curiam). The comment period has expired, and we hereby adopt the proposed changes. These rules are republished below and are effective immediately. Arkansas Rules of Professional Conduct Rule 1.2. Scope of representation and allocation of authority between client and lawyer (a) Subject to paragraphs (c) and (d), a lawyer shall abide by a client’s decisions concerning the objectives of representation, and, as required by Rule 1.4, shall consult with the client as to the means by which they are to be pursued. A lawyer may take such action on behalf of the client as is impliedly authorized to carry out the representation. A lawyer shall abide Cite as 2016 Ark. 204 by a client’s decision whether to settle a matter. In a criminal case, the lawyer shall abide by the client’s decision, after consultation with the lawyer, as to a plea to be entered, whether to waive jury trial and whether the client will testify. (b) A lawyer’s representation of a client, including representation by appointment, does not constitute an endorsement of the client’s political, economic, social or moral views or activities. (c) A lawyer may limit the scope of the representation if the limitation is reasonable under the circumstances and the client gives informed consent.

(1) The client’s informed consent must be confirmed in writing unless:

(A) the representation of the client consists solely of a telephone consultation; (B) the representation is provided by a lawyer employed by a nonprofit legal services program or participates in a program authorized by Rule 6.5 and the lawyer’s representation consists solely of providing information and advice or the preparation of legal documents; or (C) the court appoints the attorney for a limited purpose that is set forth in the appointment order.

(2) If the client gives informed consent as required by this rule, there shall be a presumption

that: (A) the representation is limited to the attorney and the services as agreed upon; and (B) the attorney does not represent the client generally or in matters other than those as agreed upon. 2

Cite as 2016 Ark. 204 (d) A lawyer shall not counsel a client to engage, or assist a client in, conduct that the lawyer knows is criminal or fraudulent, but a lawyer may discuss the legal consequences of any proposed course of conduct with a client and may counsel or assist a client to make a good faith effort to determine the validity, scope, meaning, or application of the law. Rule

4.2. Communication with person represented by counsel. (a) In representing a client, a lawyer shall not communicate about the subject of the representation with a person the lawyer knows to be represented by another lawyer in the matter, unless the lawyer has the consent of the other lawyer or is authorized to do so by law. (b) A person to whom limited scope representation is being provided or has been provided in accordance with Rule 1.2(c) is considered to be unrepresented for purposes of this rule unless the opposing lawyer has been provided with a written notice of the limited scope representation. If such notice is provided, the opposing lawyer shall not communicate with the person regarding matters designated in the notice of limited scope representation without consent or authorization as provided by Rule 4.2(a).

Rule 4.3. Dealing with unrepresented person. (a) In dealing on behalf of a client with a person who is not represented by counsel, a lawyer shall not state or imply that the lawyer is disinterested. When the lawyer knows or reasonably should know that the unrepresented person misunderstands the lawyer's role in the matter, the lawyer shall make reasonable efforts to correct the misunderstanding. The lawyer shall not give legal advice to an unrepresented person, other than the advice to secure counsel, if 3 Cite as 2016 Ark. 204 the lawyer knows or reasonably should know that the interests of such a person are or have a reasonable possibility of being in conflict with the interests of the client. (b) A person to whom limited scope representation is being provided or has been provided in accordance with Rule 1.2(c) is considered to be unrepresented for purposes of this rule unless the opposing lawyer has been provided with a written notice of the limited scope representation. If such notice is provided, the person is considered to be unrepresented regarding matters not designated in the notice of limited scope representation. 4