

SUPREME COURT OF ALABAMA

A.L.L. v. State

42 So. 3d 146 (Ala. 2009) · Decided August 21, 2009

SUMMARY

The Alabama Supreme Court reviewed whether an indictment for vehicular homicide was fatally defective because it did not allege a culpable mental state. The court held that the indictment substantially tracking the vehicular-homicide statute was not fatally defective or void, overruled contrary Court of Criminal Appeals decisions, and reversed and remanded.

CASE DETAILS

COURT	Supreme Court of Alabama
WRITING FOR THE COURT	Woodall, Justice; Lyons; Stuart; Smith; Bolin; Parker; Cobb, Chief Justice; Murdock; Shaw
JURISDICTION	Alabama
DECIDED	August 21, 2009
DISPOSITION	reversed_and_remanded
PROCEDURAL POSTURE	The State petitioned for certiorari review of the Court of Criminal Appeals' decision reversing A.L.L.'s youthful-offender adjudication based on the vehicular-homicide charge.
STANDARD OF REVIEW	De novo review of the legal sufficiency of the indictment and the Court of Criminal Appeals' construction of governing precedent.
PRECEDENTIAL VALUE	Published, precedential opinion of the Supreme Court of Alabama
PARTIES	State v. A.L.L.

TOPICS

- criminal procedure
- statutory interpretation
- appellate procedure
- appellate jurisdiction

PRACTICE AREAS

- criminal procedure
- appellate procedure
- statutory interpretation

QUESTIONS PRESENTED

1. Whether an indictment for vehicular homicide under Ala. Code § 32-5A-192(a) is fatally defective or void because it does not expressly allege a culpable mental state.
2. Whether Ex parte Edwards required reversal of A.L.L.'s youthful-offender adjudication and permitted the State to reindict him.
3. Whether Chatman v. State and Burnett v. State correctly construed Ex parte Edwards.

HOLDINGS

1. An indictment for vehicular homicide under Ala. Code § 32-5A-192(a) is not fatally defective or void merely because it does not expressly allege a culpable mental state, when it substantially follows the statutory language and sufficiently informs the defendant of the nature of the accusation.
2. Chatman v. State and Burnett v. State were overruled because they misconstrued Ex parte Edwards by treating the omission of a culpable mental state from a vehicular-homicide indictment as jurisdictional and rendering the indictment void.

KEY QUOTATIONS

“Under these circumstance, the absence of a mens rea averment in the indictment did not render the indictment fatally defective or void.” (150)

“Furthermore, we overrule Chatman v. State, 813 So.2d 956 (Ala.Crim.App.2001), and Burnett v. State, 807 So.2d 588 (Ala.” (151)

FACTUAL BACKGROUND

On August 4, 2005, A.L.L. was driving faster than the posted speed limit when his vehicle left the roadway and struck a tree. One passenger died and another was injured. The indictment alleged that A.L.L. unlawfully and unintentionally caused the death while violating a traffic law, specifically speeding, in violation of Alabama's vehicular-homicide statute.

PROCEDURAL HISTORY

A.L.L. was indicted for vehicular homicide and second-degree assault, granted youthful-offender status, adjudicated after a bench trial, and sentenced to concurrent three-year terms in community corrections plus jail weekends and community service. The trial court denied A.L.L.'s motion to dismiss the vehicular-homicide count for failure to allege a culpable mental state. The Court of Criminal Appeals reversed the vehicular-homicide youthful-offender adjudication and permitted reindictment. The Alabama Supreme Court reversed that portion of the appellate judgment and remanded for entry of a consistent judgment.

DISPOSITION

reversed_and_remanded

REMAND INSTRUCTIONS

The case was remanded to the Court of Criminal Appeals for entry of a judgment consistent with the Alabama Supreme Court's opinion.

CASES CITED

- Ex parte Edwards, 816 So. 2d 98 (Ala. 2001)
- Ex parte Burnett, 807 So. 2d 586 (Ala. 2001)
- Chatman v. State, 813 So. 2d 956 (Ala. Crim. App. 2001)
- Burnett v. State, 807 So. 2d 588 (Ala. Crim. App. 2001)
- Sullens v. State, 878 So. 2d 1216 (Ala. Crim. App. 2003)
- Ex parte Lewis, 811 So. 2d 485 (Ala. 2001)
- Ex parte Seymour, 946 So. 2d 536 (Ala. 2006)
- Ex parte Rice, 766 So. 2d 143 (Ala. 1999)
- Shouldis v. State, 953 So. 2d 1275 (Ala. Crim. App. 2006)
- Moore v. State, 697 So. 2d 800 (Ala. Crim. App. 1996)

OPINION

WOODALL, J.

WOODALL, Justice. On August 4, 2005, A.L.L. was operating a motor vehicle at a speed exceeding the posted limit. The vehicle left the roadway and struck a tree. One passenger in the vehicle, Montez Kelly, was killed, and another passenger, Michael Grace, was injured. A.L.L. was indicted for vehicular homicide, a violation of § 32-5A-192, Ala.Code 1975, and for assault in the second degree, a violation of § 13A-6-21, Ala.Code 1975.

A.L.L. applied for and was granted youthful-offender status. After a bench trial, the trial court adjudicated A.L.L. a youthful offender on the basis of the underlying charges of vehicular homicide and second-degree assault. He was sentenced to concurrent three-year terms in the community-corrections program and ordered to serve six consecutive weekends in jail and to perform community service.

A.L.L. appealed. The Court of Criminal Appeals reversed the judgment of the trial court and remanded the case for further proceedings. A.L.L. v. State, 42 So.3d 138 (Ala. Crim. App.2008). The Court of Criminal Appeals reversed the trial court's adjudication of A.L.L. as a youthful offender based on the underlying charge of vehicular homicide, without prejudice to his reindictment.

Further, it reversed its adjudication of A.L.L. as a youthful offender based on the underlying charge of second-degree assault and remanded the case for the trial court to adjudicate him based on an underlying offense of third-degree assault.

We granted the State's petition seeking certiorari review of the judgment of the Court of Criminal Appeals insofar as it reversed the judgment of the trial court adjudicating A.L.L. a youthful offender based on the underlying charge of vehicular homicide.

We reverse that portion of the judgment of the Court of Criminal Appeals and remand the case for the entry of a judgment consistent with this opinion. In the trial court, A.L.L. moved to dismiss the vehicular-homicide count of his indictment, arguing that the failure to allege as part of that count a culpable mental state rendered the count fatally defective.

The trial court denied the motion, and the Court of Criminal Appeals held that the trial court erred in doing so. The Court of Criminal Appeals explained, in pertinent part: "Count I of the indictment alleged: *148"ON OR ABOUT AUGUST 4, 2005, ONE [A.L.L.], DID UNLAWFULLY AND UNINTENTIONALLY CAUSE THE DEATH OF ANOTHER PERSON WHILE ENGAGED IN THE VIOLATION OF ANY STATE LAW OR MUNICIPAL ORDINANCE APPLYING TO THE OPERATION OR USE OF A VEHICLE, TO-WIT: SPEEDING, AND SAID VIOLATION IS THE PROXIMATE CAUSE OF DEATH, IN VIOLATION OF SECTION 32-5A-192 OF THE CODE OF ALABAMA." (C.

12; capitalization in original.) "Section 32-5A-192(a), Ala.Code 1975, provides: " '(a) Whoever shall unlawfully and unintentionally cause the death of another person while engaged in the violation of any state law or municipal ordinance applying to the operation or use of a vehicle, or vessel, as defined in Section 33-5-3, or to the regulation of traffic or boating, shall be guilty of homicide when the violation is the proximate cause of the death.' "Although § 32-5A-192 does not include a mens rea element, in *Ex parte Edwards*, 816 So.2d 98 (Ala.2001), the Alabama Supreme Court held that vehicular homicide was not a strict-liability crime, but required a mens rea other than intentionally, specifically, either knowingly, recklessly, or negligently under § 13A-2-2(2), (3), or (4), Ala.Code 1975, respectively.

The Court also noted that an indictment charging vehicular homicide should include a mens rea element. "In *Chatman v. State*, 813 So.2d 956 (Ala.Crim.App.2001), this Court followed *Ex parte Edwards* and reversed Chapman's guilty-plea conviction for vehicular homicide on the ground that the indictment was defective for not charging a culpable mental state; we explained: " 'In *Ex parte Edwards*, 816 So.2d 98 (Ala.2001), The Alabama Supreme Court reversed our judgment and rendered a judgment for Edwards because the indictment was fatally defective with respect to the charge of vehicular homicide.

In *Edwards*, the Court stated that "[a]n indictment under § 32-5A-192[(a), Ala.Code 1975,] should charge an appropriate mental state based on § 13A-2-2(2) to (4)." Moreover, because the appellant was acquitted on the manslaughter and the criminal-negligence' charges, [s]he was effectively acquitted of vehicular homicide, and, therefore, the trial court erred in denying her motion for a judgment of acquittal.

See *Ex parte Rice*, 766 So.2d 143, 147 (Ala.1999). “ ‘In *Ex parte Burnett*, 807 So.2d 586 (Ala.2001), the Alabama Supreme Court addressed issues identical to those raised in *Edwards*, but reversed our judgment and remanded the case for further proceedings consistent with that opinion on grounds that the jury in *Burnett* did not make any findings as to any charged offense other than vehicular homicide.

Because the Supreme Court, in *Burnett*, did not render a judgment in favor of the appellant, as it did in *Ex parte Edwards*, this Court, on remand from the Alabama Supreme Court, held that the State can reindict the appellant for vehicular homicide using the language set forth in *Ex parte Edwards*, *supra*. See *Burnett v. State*, 807 So.2d 588 (Ala.Crim.App.2001). “ ‘As in *Ex parte Edwards*, and *Ex parte Burnett*, the indictment in the instant case did not charge a mental state, and is, therefore, fatally defective with respect to the vehicular-homicide charge....

Because there has been no adjudication in this case regarding the sufficiency of the evidence to support the appellant’s vehicular-homicide charge, the State can, however, reindict the appellant for vehicular homicide using the language set forth in *Ex parte Edwards*, *supra*.’ “813 So.2d at 957-58 (emphasis added in [A.L.L.]). “We recognize that this Court has, in some circumstances, upheld indictments that did not charge a mens rea element, finding in those cases that the indictments sufficiently apprised the defendants of the nature of the charges against them.

See *Sullens v. State*, 878 So.2d 1216 (Ala.Crim.App.2003), and the cases cited therein. However, none of those cases dealt with the vehicular-homicide statute, and this Court did not overrule *Chatman* in those opinions.

Therefore, *Chatman* is controlling in this case. “Based on our holding in *Chatman*, we are compelled to reverse A.L.L.’s adjudication as a youthful offender based on the underlying charge of vehicular homicide. However, as in *Chatman*, the State can reindict A.L.L. for vehicular homicide ‘using the language set forth in *Ex parte Edwards*, *supra*.’ *Chatman*, 813 So.2d at 958.” A.L.L., 42 So.3d at 141-42.

The Court of Criminal Appeals has misconstrued this Court’s holding in *Ex parte Edwards*, 816 So.2d 98 (Ala.2001). In *Edwards*, “this Court held that the vehicular-homicide statute did not state an offense of strict liability and that the court should instruct the jury on one of the culpable mental states set forth in the Criminal Code at § 13A-2-2 (the mental states exhibited by persons acting ‘knowingly,’ ‘recklessly,’ or in a ‘criminally negligent’ manner) if the evidence before the [c]ourt supports such an instruction.” *Ex parte Burnett*, 807 So.2d 586, 587 (Ala.2001).

In other words, this Court construed the word “unintentionally” as used in § 32-5A-192(a) “to refer to all forms of mens rea except that described by the word ‘intentional.’ ” *Edwards*, 816 So.2d at 107. In *Edwards*, this Court stated that “[a]n indictment under § 32-5A-192[(a)] should

charge an appropriate mental state based on § 13A-2-2(2) to (4).” 816 So.2d at 109 (emphasis added).

However, although “Edwards’s indictment did not describe a necessary mental state and the court refused her request for an instruction on a culpable mental state,” *id.*, we did not premise our holding on either of those failures. Instead, we rendered a judgment of acquittal “[b]ecause Edwards [had been] acquitted of both manslaughter (ruling out recklessness) and criminal negligence.” *Id.* Soon after our decision in Edwards, the Court of Criminal Appeals, seizing upon our statement that an indictment for vehicular homicide should charge an appropriate mental state, held that an “indictment [that] did not charge such a mental state... was void.” *Burnett v. State*, 807 So.2d 588, 589 (Ala.Crim.App.2001).

In so holding, the Court of Criminal Appeals misconstrued our holding in Edwards. As already stated in this opinion, our holding in Edwards was not based on any deficiency in Edwards’s indictment, and we certainly did not hold that the indictment was void. Indeed, at the time of our decision in Edwards, an indictment that failed to state an essential element of the charged offense did not invoke the subject-matter jurisdiction of the trial court to render judgment and impose sentence.

See, e.g., *Ex parte Lewis*, 811 So.2d 485 (Ala.2001), overruled, *Ex parte Seymour*, 946 So.2d 536 (Ala. *1502006). Thus, our holding in Edwards that “the [trial] court should have granted Edwards’s... motion for a judgment of acquittal,” 816 So.2d at 109, clearly indicated that the failure of the indictment to charge an appropriate mental state had not deprived the trial court of subject-matter jurisdiction over the vehicular-homicide charge.

As the Court of Criminal Appeals has correctly acknowledged, “ ‘Edwards stands for the proposition that the trial court could have cured the [omission of a culpable mental state from the] indictment in its instructions to the jury.’ ” *Sullens v. State*, 878 So.2d 1216, 1228 (Ala.Crim.App. 2003) (quoting appellant’s brief).

In reversing the trial court’s adjudication of A.L.L. as a youthful offender on the underlying vehicular-homicide charge, the Court of Criminal Appeals stated that it was compelled to reverse that adjudication based on its holding in *Chatman v. State*, 813 So.2d 956 (Ala.Crim.App.2001).

In *Chatman*, the Court of Criminal Appeals stated that this Court, in Edwards, had “rendered a judgment for Edwards because the indictment was fatally defective with respect to the charge of vehicular homicide.” 813 So.2d at 957.

However, as already twice stated in this opinion, our holding in Edwards was not based on any defect in Edwards’s indictment. Based upon its misconstruction of Edwards, the Court of Criminal Appeals held that *Chatman*’s indictment was void, because, that court reasoned, it “did not charge

a mental state, and [was], therefore, fatally defective with respect to the vehicular-homicide charge.” 813 So.2d at 958.

Although the Court of Criminal Appeals in *Chatman* acknowledged our holding in *Edwards* that “the trial court erred in denying [Edwards’s] motion for a judgment of acquittal,” 813 So.2d at 957, and itself held that a void indictment confers no jurisdiction on the trial court, it made no attempt to explain how this Court could have rendered a judgment of acquittal for Edwards if his indictment was, in fact, void.

A.L.L.’s indictment substantially followed the language of § 32-5A-192(a). It was “a plain, concise statement of the charge in ordinary language sufficiently definite to inform a defendant of common understanding of the offense charged....” Rule 13.2(a), Ala. R.Crim. P. The vehicular-homicide indictment clearly “ ‘ ‘ ‘apprise[d] [A.L.L.] with a reasonable certainty of the nature of the accusation against him so that he [could] prepare his defense and plead the judgment of conviction as a bar to any subsequent prosecution for the same offense.’ ” ’ ” *Shouldis v. State*, 953 So.2d 1275, 1283 (Ala.Crim.App.2006) (quoting *Moore v. State*, 697 So.2d 800, 802 (Ala.Crim.App.1996), quoting in turn other cases).

Had A.L.L. felt that additional details concerning the alleged offense were needed, he could have made a motion for a more definite statement. See Rule 13.2(e), Ala. R.Crim. P. Under these circumstances, the absence of a mens rea averment in the indictment did not render the indictment fatally defective or void. See *Sullens*, supra (holding that the trial court did not err in denying the defendants’ motion to dismiss indictments charging them with shooting into an occupied vehicle when the indictments tracked the language of the applicable statute, but did not allege any culpable mental state), and the cases cited therein.

For these reasons, we reverse the judgment of the Court of Criminal Appeals insofar as that court reversed the judgment of the trial court adjudicating A.L.L. a youthful offender based on the underlying charge of vehicular homicide, and we remand the case to the Court of Criminal Appeals for the entry of a judgment consistent with this opinion. Furthermore, we overrule *Chatman v. State*, 813 So.2d 956 (Ala.Crim.App.2001), and *Burnett v. State*, 807 So.2d 588 (Ala.Crim.App.2001), because in those opinions the Court of Criminal Appeals misconstrues the holdings and statements of this Court in *Edwards*.

REVERSED AND REMANDED. LYONS, STUART, SMITH, BOLIN, and PARKER, JJ., concur. COBB, C.J., and MURDOCK, J., dissent. SHAW, J., recuses himself.*