

DISTRICT COURT OF APPEAL OF FLORIDA, FIRST DISTRICT

Duke v. State

529 So. 2d 341 (Fla. Dist. Ct. App. 1988) · Decided August 4, 1988

SUMMARY

The court affirmed the convictions and sentences of Steven Duke for sexual battery and Mary Sue Duke for aiding and abetting sexual battery. It held that an indictment of a juvenile for an offense punishable by death or life imprisonment does not constitute a transfer requiring compliance with Florida Statutes section 39.111(6), and certified the issue to the Florida Supreme Court as a matter of great public importance.

CASE DETAILS

COURT	District Court of Appeal of Florida, First District
WRITING FOR THE COURT	Barfield; Thompson; Nimmons
JURISDICTION	Florida
DECIDED	August 4, 1988
DISPOSITION	affirmed
PROCEDURAL POSTURE	Direct appeal from convictions and sentences for sexual battery and aiding and abetting sexual battery.
STANDARD OF REVIEW	The court reviewed the claimed sentencing error and the trial court's treatment of Mary Duke as an adult for abuse of discretion and statutory compliance.
PRECEDENTIAL VALUE	Published opinion; certified question concerning a matter of great public importance.
PARTIES	Steven Duke, Mary Sue Duke v. State of Florida

TOPICS

- statutory interpretation
- sentencing
- criminal procedure
- appellate procedure
- appellate jurisdiction

PRACTICE AREAS

- criminal law
- juvenile justice
- sentencing
- appellate practice

QUESTIONS PRESENTED

1. Whether the issues raised by Steven Duke warranted reversal of his conviction and sentence for sexual battery.
2. Whether a juvenile charged by indictment with an offense punishable by death or life imprisonment has been 'transferred' for purposes of section 39.111(6), Florida Statutes (1985), thereby requiring consideration of a predisposition report, statutory adult-sanction criteria, and written findings.
3. Whether the trial court erred by treating Mary Sue Duke as an adult without making the written findings required by section 39.111(6).

HOLDINGS

1. An indictment for an offense punishable by death or life imprisonment does not constitute a 'transfer' within the meaning of section 39.02(6), Florida Statutes (1985).
2. The trial court did not err in treating Mary Sue Duke as an adult without making the written findings required by section 39.111(6), because her indictment for a life felony did not constitute a statutory transfer.
3. Steven Duke's conviction and sentence were affirmed because the court found no merit in the issues raised on appeal.

KEY QUOTATIONS

“Reading the provisions of chapter 39 in pari materia, we find that an indictment for an offense punishable by death or life imprisonment does not constitute a “transfer” within the meaning of section 39.02(6), Florida Statutes (1985), which would require compliance with the provisions of section 39.111(6).” (529 So. 2d at 342)

FACTUAL BACKGROUND

Steven Duke was convicted and sentenced for sexually battering an eleven-year-old child. Mary Sue Duke, his fifteen-year-old wife, was convicted of aiding and abetting the sexual battery, a life felony, and received a seven-year sentence. Mary argued that the trial court failed to consider a predisposition report and the statutory criteria for adult sanctions and failed to make the required written findings under section 39.111(6), Florida Statutes (1985).

PROCEDURAL HISTORY

Steven Duke appealed his conviction and sentence for sexual battery of an eleven-year-old child. Mary Sue Duke, who was fifteen years old, appealed her seven-year sentence for aiding and abetting the commission of the sexual battery, arguing that the trial court failed to comply with the statutory requirements governing the imposition of adult sanctions on juveniles. The district court affirmed both convictions and sentences and certified the statutory issue concerning indictment and transfer to the Florida Supreme Court as a matter of great public importance.

DISPOSITION

affirmed

CASES CITED

- Goodson v. State, 392 So. 2d 1335 (Fla. 1st DCA 1980)
- State v. Goodson, 403 So. 2d 1337 (Fla. 1981)
- State v. Upshaw, 469 So. 2d 922 (Fla. 3d DCA 1985)
- Myers v. State, 442 So. 2d 272 (Fla. 1st DCA 1983), petition for review denied, 450 So. 2d 487 (Fla. 1984)
- Judge v. State, 408 So. 2d 831 (Fla. 4th DCA 1982)
- Postell v. State, 383 So. 2d 1159 (Fla. 3d DCA 1980)
- Whidden v. State, 374 So. 2d 543 (Fla. 2d DCA 1979)

OPINION

BARFIELD, J.

BARFIELD, Judge. We find no merit in the issues raised by Steven Duke in this appeal of his conviction and sentence for sexual battery of an eleven year old child. We affirm his conviction and sentence. His fifteen year old wife, Mary Sue Duke, appeals her sentence of seven years for aiding and abetting the commission of the sexual battery, a life felony, claiming that the trial court erred in failing to follow the requirements of section 39.111, Florida Statutes (1985).

We affirm her conviction and sentence, but certify the question to the supreme court as a matter of great public importance. Mary asserts that the trial court failed to consider a predisposition report and the statutory criteria for determining the suitability of adult sanctions, and failed to analyze in writing the decision to impose adult sanctions, as required by section 39.111(6) when “a child has been transferred for criminal prosecution.” She argues that a juvenile charged by indictment is considered “transferred”, citing Goodson v. State, 392 So.2d 1335 (Fla.

1st DCA 1980), approved, State v. Goodson, 403 So.2d 1337 (Fla.1981), and State v. Upshaw, 469 So.2d 922 (Fla. 3d DCA 1985).¹ The State contends that under section 39.02(5)(c)l, Florida Statutes (1985), a child charged by indictment with a crime punishable by death or life imprisonment “shall be tried and handled in every respect as if he were an adult” on all crimes charged in the indictment based on the same act.² The State argues that an indictment for an offense punishable by death or life imprisonment does not constitute a “transfer” under chapter 39, citing Myers v. State, 442 So.2d 272 (Fla.

1st DCA 1983), pet. for rev. den., 450 So.2d 487 (Fla.1984),³ and that the trial court did not abuse its discretion by treating Mary Duke as an adult without the written findings required by section 39.111(6). Reading the provisions of chapter 39 in pari materia, we find that an indictment for an offense punishable by death or life imprisonment does not constitute a “transfer” within the

meaning of section 39.02(6), Florida Statutes (1985), which would require compliance with the provisions of section 39.111(6).⁴ However, because of the possible confusion engendered by Goodson, we certify this issue to the Florida Supreme Court as a matter of great public importance.

THOMPSON and NIMMONS, JJ., concur..Goodson and Upshaw involved the applicability of the Youthful Offender statute, which provides, inter alia, that no person convicted of a capital or life felony may be classified as a youthful offender. § 958.04(1)(c), Fla. Stat. (1985).

In Upshaw, the court rejected the State's position that youthful offender sentencing was precluded for a child convicted of a first degree felony punishable by life imprisonment and held that the trial court did not abuse its discretion in so sentencing Upshaw. This decision has no applicability to the case at issue.

In Goodson, the supreme court adopted this court's holding that the 17 year old defendant charged by indictment with armed robbery was "transferred" for purposes of qualifying for youthful offender classification, under the rationale that because of the language of section 958.04(1)(a), a contrary interpretation would create the anomalous situation in which an indicted 18 year old person could be classified as a youthful offender, but an indicted 13 year old person could not.

Goodson does not address the situation in which the minor is indicted and convicted of a capital or life felony, in which youthful offender sentencing is precluded, and is distinguishable from the case at issue. Moreover, the Goodson courts' interpretation of section 958.04(1)(a) appears to be based on a flawed rationale, because an indicted minor would appear to be eligible for youthful offender sentencing under section 958.04(1)(b)..

The State points out that if such an indicted juvenile is found guilty of a lesser included offense, section 39.02(5)(c)³ gives the trial court the option of treating the offender as a juvenile, but that such treatment is not mandatory..

In Myers, this court held that indictment of a minor for first degree murder is not a "transfer" within the meaning of section 39.02(5)(d), finding *Judge v. State*, 408 So.2d 831 (Fla. 4th DCA 1982), no longer controlling because of the 1981 amendment to this section eliminating the word "indictment" and relying on *Postell v. State*, 383 So.2d 1159 (Fla. 3d DCA 1980), in which the Third District Court of Appeal found that a child indicted for an offense punishable by death or life imprisonment is not "transferred" within the meaning of chapter 39 and must be sentenced as an adult, and that such a child is also rendered ineligible for classification and treatment as a youthful offender..

See also *Whidden v. State*, 374 So.2d 543 (Fla. 2d DCA 1979), in which the question addressed was whether the grand jury had indicted the minor for a crime for which he could be imprisoned

for life, so that he would not be entitled to a waiver hearing under section 39.02(5)(c), Florida Statutes (1977), before being prosecuted as an adult.

Retrieved from lawtools.ai · <https://lawtools.ai/cases/state/florida-district-court-of-appeal-of-florida-first-district/1988/duke-v-state-psv4far4>