

NEW YORK COURT OF APPEALS

People v. DaCosta

6 N.Y.3d 181, 844 N.E.2d 762 (2006) · Decided February 16, 2006

SUMMARY

The New York Court of Appeals held that the evidence was legally sufficient to support the defendant’s conviction for second-degree manslaughter. The defendant’s flight across a major highway while being pursued by police was a sufficiently direct and reasonably foreseeable cause of the pursuing officer’s fatal fall into traffic.

CASE DETAILS

COURT	New York Court of Appeals
WRITING FOR THE COURT	Graffeo, J.; Chief Judge Kaye; Judge G.B. Smith; Judge Ciparick; Judge Rosenblatt; Judge Read; Judge R.S. Smith
JURISDICTION	New York
DECIDED	February 16, 2006
DISPOSITION	affirmed
PROCEDURAL POSTURE	Defendant appealed from an order affirming his conviction for second-degree manslaughter. The New York Court of Appeals granted leave to appeal and reviewed the preserved legal-sufficiency challenge concerning causation.
STANDARD OF REVIEW	The court reviewed whether the trial evidence was legally sufficient to establish causation. The causation argument was preserved because defendant raised it in his trial motions to dismiss; the separate challenge to recklessness was unpreserved.
PRECEDENTIAL VALUE	Published precedential opinion of the New York Court of Appeals
PARTIES	Defendant v. The People

TOPICS

- criminal procedure
- burden of proof
- evidence

PRACTICE AREAS

- Criminal law
- Criminal procedure
- Homicide

QUESTIONS PRESENTED

1. Whether defendant's conduct was a sufficiently direct and foreseeable legal cause of Officer McLellan's death to support a conviction for second-degree manslaughter.
2. Whether defendant's challenge to the sufficiency of the evidence of recklessness was preserved for appellate review.

HOLDINGS

1. The evidence was legally sufficient to establish that defendant's flight set in motion the events leading to McLellan's death and was a sufficiently direct cause of the death. It was reasonably foreseeable that leading a pursuing officer onto a major highway during morning rush-hour traffic could result in a fatal vehicle collision.
2. Defendant's challenge to the sufficiency of the evidence of recklessness was unpreserved because he did not raise recklessness as a basis for his trial motion to dismiss.

KEY QUOTATIONS

“To be held criminally responsible for a homicide, a defendant’s conduct must actually contribute to the victim’s death” (6 N.Y.3d at 184)

“Rather, an act “qualifies as a sufficiently direct cause when the ultimate harm should have been reasonably foreseen”” (6 N.Y.3d at 184)

“Defendant’s conduct was therefore a sufficiently direct cause of the officer’s death” (6 N.Y.3d at 186)

FACTUAL BACKGROUND

While fleeing police during morning rush hour, defendant climbed over a six-foot chain-link fence in the median of the Kensington Expressway and ran across the highway. Officer McLellan pursued him and attempted to vault the fence, which bent under the officer's weight; McLellan fell onto a vehicle and suffered fatal injuries. Defendant was apprehended and convicted of second-degree manslaughter based on the theory that he recklessly caused the officer's death.

PROCEDURAL HISTORY

Defendant was indicted by a grand jury for recklessly causing Officer McLellan's death. County Court denied defendant's motions to dismiss for insufficient evidence, and the jury convicted him of second-degree manslaughter. The Appellate Division affirmed, concluding that defendant's conduct was reckless and the legal cause of the officer's death. The Court of Appeals affirmed.

DISPOSITION

affirmed

CASES CITED

- People v. Stewart, 40 N.Y.2d 692, 697 (1976)
- People v. Matos, 83 N.Y.2d 509, 511-512 (1994)
- People v. Kibbe, 35 N.Y.2d 407, 413 (1974)
- Matter of Anthony M., 63 N.Y.2d 270, 280 (1984)
- People v. Brengard, 265 N.Y. 100, 108 (1934)
- People v. Kern, 75 N.Y.2d 638, 658 (1990), cert. denied, 498 U.S. 824 (1990)
- People v. Warner-Lambert Co., 51 N.Y.2d 295, 304 (1980), cert. denied, 450 U.S. 1031 (1981)
- People v. Roth, 80 N.Y.2d 239, 244 (1992)
- People v. Gladman, 41 N.Y.2d 123, 130 (1976)

OPINION

GRAFFEO, J.

OPINION OF THE COURT Graffeo, J. While being chased by a police officer, defendant scaled a tall fence and ran across a major highway during morning rush-hour traffic. The officer followed, but was struck and killed by a vehicle when he fell from the fence into oncoming traffic.

The issue in this case is whether the evidence presented at trial was legally sufficient to support defendant's conviction for manslaughter in the second degree. We conclude that it was. In 1996, defendant was charged in Maryland with several cocaine-related felony offenses. He pleaded guilty to one felony crime and was sentenced to a period of incarceration, to be followed by probation.

Defendant was subsequently arrested for allegedly violating the terms of his probation and was released from custody on a \$50,000 bail bond. An arrest warrant was issued after he failed to appear in court. Darrell Love, the owner of the company that issued the bail bond, believed that defendant had fled to Western New York. Love traveled to Buffalo with four of his employees, planning to have defendant taken into police custody and returned to Maryland in order to prevent forfeiture of the bail bond.

Upon arriving in Buffalo, Love contacted the local police and provided them with an address where defendant was suspected of hiding. Buffalo police officers met Love and his associates at the location identified by Love. They surveyed the perimeter of the residence but saw nothing suspicious.

The police officers, who did not have a warrant to enter the premises, left the vicinity. The bail bondsmen, however, returned to their van parked nearby and placed the building under surveillance. A short time later, the men observed defendant, his girlfriend and his brother enter a nearby fast-food restaurant.

The bondsmen notified the police but, before they could respond, defendant and his companions left the restaurant and boarded a bus. While following the bus in their vehicle, the bondsmen provided updated information to the police.

The bus eventually stopped and was intercepted by a police car. Realizing that the bus had been blocked by the police, defendant and his companions disembarked and began to run away. Officers Knecevic and McLellan pursued defendant and his brother, ordering them to stop. During the chase, defendant and his brother ran in different directions. Knecevic followed defendant's brother toward a pedestrian overpass that traversed the Kensington Expressway where the brother was eventually apprehended.

Officer McLellan pursued defendant as he ran toward the expressway. The expressway, a divided six-lane highway that leads into and out of downtown Buffalo, had a six-foot-tall chain-link fence in the median. The top of the fence was bordered by a metal wire, rather than a metal pole, and therefore was somewhat unstable.

After crossing the first three lanes of traffic, defendant climbed over the fence and ran across the highway. As Officer McLellan attempted to jump or vault over the fence, it began to bend under his weight. He fell from the fence onto the hood of a car and was thrown into the air, hitting the ground head first. Officer McLellan died from the injuries he sustained.

A witness to the incident informed the police that defendant had run into a nearby residence, where police found and arrested him. A grand jury subsequently indicted defendant on one count of manslaughter in the second degree, charging that he recklessly caused the death of Officer McLellan.

The case proceeded to trial and, at the close of the People's case, defendant moved to dismiss the indictment, arguing that the evidence was insufficient to prove that his actions caused McLellan's death. County Court denied defendant's motion.

After the defense presented two witnesses, defendant renewed his motion to dismiss, but the court adhered to its previous ruling. The *184jury found defendant guilty of second-degree manslaughter. He was sentenced to an indeterminate prison term of 472 to 137a years.

The Appellate Division affirmed, concluding that the evidence was sufficient to establish that defendant's conduct was reckless and the legal cause of Officer McLellan's death, having set in motion the chain of events resulting in his fatal injuries. A Judge of this Court granted leave to appeal. Defendant argues that the evidence presented at trial was insufficient to support the conviction.

Relying on causation principles articulated in corporate liability cases such as *People v Roth* (80 NY2d 239 [1992]) and *People v Warner-Lambert Co.* (51 NY2d 295 [1980], cert denied 450 US

1031 [1981]), defendant contends that the legal cause of death was not his conduct but rather Officer McLellan's "ill-fated" decision to climb over the chain-link fence. Defendant also claims that there was insufficient evidence that his decision to scale the fence and run across the Kensington Expressway while being pursued by the police was reckless.

The People assert that defendant's sufficiency arguments are unpreserved and, in any event, meritless. Initially, defendant failed to preserve his contention that there was insufficient evidence of recklessness because he did not raise this argument as a basis for his trial motion to dismiss. Defendant, however, did contend that there was insufficient evidence with regard to causation and reasserted that claim at the close of proof.

As such, the issue of causation was preserved for review and is properly before us. To be held criminally responsible for a homicide, a defendant's conduct must actually contribute to the victim's death (see *People v Stewart*, 40 NY2d 692, 697 [1976]) by "set[ting] in motion" the events that result in the killing (*People v Matos*, 83 NY2d 509, 511 [1994], citing *People v Kibbe*, 35 NY2d 407 [1974]).

Liability will attach even if the defendant's conduct is not the sole cause of death (see *Matter of Anthony M.*, 63 NY2d 270, 280 [1984]) if the actions were a " 'sufficiently direct cause of the ensuing death' " (*People v Stewart*, 40 NY2d at 697, quoting *People v Kibbe*, 35 NY2d at 413 [emphasis omitted]). More than an " 'obscure or merely probable connection' " between the conduct and result is required (*People v Stewart*, 40 NY2d at 697, quoting *People v Brengard*, 265 NY 100, 108 [1934]).

Rather, an act "qualifies as a sufficiently direct cause when the ultimate harm should have been reasonably foreseen" (*People v Matos*, 83 NY2d at 511). *185Our analysis in this case begins with *People v Matos* (83 NY2d 509 [1994]).

The defendant in that case was in the process of robbing a restaurant when police officers arrived. The defendant ran toward the back of the restaurant and up a ladder that led to the roof. An officer pursued him up the ladder and across the roof, but died after falling into an open, 25-foot air shaft.

We affirmed the murder conviction, concluding that the "defendant's conduct set in motion and legally caused the death" of the police officer because, had the "defendant not first committed an armed violent felony and then attempted to escape by way of the roof, the officer would not have pursued him onto the roof, thereafter plunging to his death in the airshaft" (*id.* at 511).

We further determined that the defendant's actions were a sufficiently direct cause of the death because it was "foreseeable that someone might fall while in hot pursuit across urban roofs in the middle of the night" (*id.* at 512). *People v Kern* (75 NY2d 638 [1990], cert denied 498 US 824 [1990]) is also instructive.

The defendants in that case instigated a vicious, armed attack against three young men whose car had broken down. All three victims fled the initial altercation, but were chased by the defendants. One of the victims (Griffith) ran until he reached the end of a street and decided to jump over a guardrail and onto a New York City highway. He ran across three eastbound lanes of traffic before scaling the center median and entering the westbound lanes of the highway, where he was struck by an automobile and killed.

We concluded that the evidence was “sufficient to support findings that defendants’ actions were a ‘sufficiently direct cause’ of Griffith’s death” because, even though there was a potentially less dangerous escape route, “it was foreseeable and indeed probable that Griffith would choose the escape route most likely to dissuade his attackers from pursuit” (id. at 658).

Furthermore, “[t]he evidence was sufficient to prove, beyond a reasonable doubt, that [the driver’s] operation of his automobile... was not an intervening cause sufficient to relieve defendants of criminal liability for the directly foreseeable consequences of their actions” (id.).

Here, as in *Matos*, the evidence was sufficient to prove that defendant’s conduct “set in motion and legally caused the death” of Officer McLellan (83 NY2d at 511). Defendant was a fugitive felon who, upon encountering the police, decided to flee. Defendant was aware that he was being pursued as he neared the expressway since additional orders to halt were issued after *186the chase began.

Had defendant not fled, Officer McLellan would not have faced the circumstances that ultimately resulted in his death. The principle to be discerned from *Kern* is that a person whose actions result in the victim’s presence on a major highway should reasonably foresee that the victim could be struck and killed by a vehicle.

Here, even more so than in *Kern*, it was highly probable that defendant’s actions would prompt Officer McLellan to attempt to enter and cross the highway. Rather than a tenuous connection between defendant’s conduct and McLellan’s death, it was reasonably foreseeable that leading Officer McLellan onto the Kensington Expressway in the midst of morning rush-hour traffic into downtown Buffalo could result in a collision with a vehicle.

Defendant’s conduct was therefore a sufficiently direct cause of the officer’s death and County Court correctly denied defendant’s motion to dismiss on the basis of causation.* We are not persuaded by defendant’s reliance on precedent that addresses causation in the context of corporate liability. *People v Warner-Lambert Co.* (51 NY2d 295 [1980]) involved dual explosions that occurred because of a complex manufacturing process that produced an airborne chemical dust and highly volatile liquid oxygen.

We noted that the evidence regarding what actually triggered the explosion of these substances was “hypothetical and speculative” (id. at 304) and, as a result, was insufficient to prove that the

defendants' conduct was a direct, substantial cause of the explosion.

In *People v Roth* (80 NY2d 239 [1992]), the evidence was insufficient to show that it was foreseeable that an "employee would place the unprotected trouble light in the path of the high pressure washer during the tank cleaning operation and that an explosion-causing spark would result" (id. at 244).

The case before us, of course, involves neither commercial nor manufacturing processes. Instead, it is more appropriately categorized with cases that address the foreseeable dangers inherent in police pursuits of fleeing felons (see *People v Matos*, 83 NY2d 509 [1994]) or actions that cause a person to be on or in the vicinity of a major highway (see *People v Kern*, 75 NY2d 638 [1990]).
*187Accordingly, the order of the Appellate Division should be affirmed.

Chief Judge Kaye and Judges G.B. Smith, Ciparick, Rosenblatt, Read and R.S. Smith concur. Order affirmed. To the extent defendant claims that causation should not attach because he was on the far side of the expressway at the time Officer McLellan fell, this contention is without merit because there was sufficient evidence that defendant had not yet "reached any place of temporary safety" (*People v Gladman*, 41 NY2d 123, 130 [1976]).