

NEW YORK COURT OF APPEALS

People v. Meckwood

20 N.Y.3d 69 (N.Y. 2012) · Decided October 25, 2012

SUMMARY

The New York Court of Appeals held that a foreign felony conviction is not retroactively eligible for youthful offender treatment merely because the defendant might have qualified for that treatment had the offense occurred in New York. The Court also upheld Penal Law § 70.04(1)(b)(v), which tolls the 10-year look-back period for periods of incarceration, against an equal protection challenge.

CASE DETAILS

COURT	New York Court of Appeals
WRITING FOR THE COURT	Jones, J.; Chief Judge Lippman; Judge Ciparick; Judge Graffeo; Judge Read; Judge Smith; Judge Pigott
JURISDICTION	New York
DECIDED	October 25, 2012
DISPOSITION	affirmed
PROCEDURAL POSTURE	Defendant appealed from an order affirming his adjudication as a second violent felony offender and the use of a prior Pennsylvania burglary conviction as a predicate violent felony. The New York Court of Appeals granted leave to appeal and affirmed.
STANDARD OF REVIEW	The court applied de novo constitutional and statutory analysis to the youthful-offender predicate issue and rational-basis review to the equal-protection challenge.
PRECEDENTIAL VALUE	published precedential opinion of the New York Court of Appeals
PARTIES	Defendant v. The People

TOPICS

- sentencing
- criminal procedure
- equal protection
- statutory interpretation
- constitutional law

PRACTICE AREAS

- criminal law
- criminal procedure
- sentencing
- constitutional law

QUESTIONS PRESENTED

1. Whether a defendant may receive retroactive youthful offender status for a foreign felony conviction when the defendant might have been eligible for youthful offender treatment had the offense occurred in New York.
2. Whether Penal Law § 70.04 (1) (b) (v), which excludes periods of incarceration from the ten-year look-back period for predicate violent felony convictions, violates the Equal Protection Clause of the New York State Constitution.

HOLDINGS

1. A defendant is not entitled to retroactive youthful offender status for a foreign felony conviction merely because youthful offender consideration might have been available had the offense occurred in New York. The foreign conviction retains the status assigned by the jurisdiction in which it was entered, unless the defendant actually received a foreign youthful offender adjudication similar to and consistent with New York youthful offender treatment.
2. Penal Law § 70.04 (1) (b) (v), which excludes periods of incarceration from the ten-year look-back period for predicate violent felonies, does not violate the Equal Protection Clause of the New York State Constitution.

KEY QUOTATIONS

“mere speculation that defendant might have been accorded youthful offender treatment had the offense been committed in New York, where such treatment was not and could not have been accorded by the jurisdiction in which the crime was actually committed, cannot preclude the use of such a conviction as a predicate felony” (at 73)

“The equal protection clause does not mandate absolute equality of treatment but merely prescribes that, absent a fundamental interest or suspect classification, a legislative classification be rationally related to a legitimate State purpose” (at 73)

“enhanced sentencing statutes punish a defendant “more for the second felony . . . because recidivism evidences a lack of rehabilitation and a greater danger to society”” (at 73)

FACTUAL BACKGROUND

Defendant pleaded guilty to attempted robbery in the first degree in New York in March 2010. He was adjudicated a second violent felony offender based on a 1999 Pennsylvania first-degree burglary conviction obtained when he was 18 years old. Pennsylvania law did not provide him youthful offender status for that conviction, although he argued that he might have been eligible for consideration had the offense occurred in New York. He also challenged the statutory tolling of the ten-year look-back period during periods of incarceration.

PROCEDURAL HISTORY

In March 2010, defendant pleaded guilty to attempted robbery in the first degree. County Court adjudicated him a second violent felony offender based on a 1999 Pennsylvania first-degree burglary conviction and rejected his

arguments that the prior conviction should receive youthful offender treatment and that Penal Law § 70.04 (1) (b) (v) violated equal protection. The Appellate Division affirmed, and the Court of Appeals granted leave to appeal and affirmed.

DISPOSITION

affirmed

CASES CITED

- People v. Kuey, 83 N.Y.2d 278, 284 (1994)
- People v. Carpenteur, 21 N.Y.2d 571 (1968)
- People v. Arroyo, 179 A.D.2d 393, 394 (1st Dep't 1992)
- People v. Coolbaugh, 259 A.D.2d 781, 782 (3d Dep't 1999)
- People v. Treadwell, 80 A.D.2d 697, 698 (3d Dep't 1981)
- People v. Parker, 41 N.Y.2d 21, 25 (1976)
- People v. Walker, 81 N.Y.2d 661, 667-68 (1993)

OPINION

JONES, J.

OPINION OF THE COURT Jones, J. Defendant contests his second violent felony offender adjudication, predicated upon a prior foreign conviction that occurred when he was 18 years old, contending that, because he could have been accorded youthful offender status had he committed that crime in New York, he is entitled to such status for the purpose of enhanced sentencing.

He also raises whether Penal Law § 70.04 (1) (b) (v)'s tolling provision violates the Equal Protection Clause of the New York State Constitution. These arguments are contrary to our enhanced sentencing jurisprudence.

In March 2010, defendant was convicted, upon a plea of guilty, of attempted robbery in the first degree. Thereafter, he was *72adjudicated a second violent felony offender, based upon his 1999 Pennsylvania conviction for first degree burglary. At the time of the 1999 conviction, defendant, then 18 years old, was not entitled to youthful offender status pursuant to Pennsylvania laws.

In July 2010, prior to sentencing, defendant objected to the use of his prior burglary conviction in Pennsylvania as a predicate violent felony. He argued that, had he committed the crime in New York, he would have been eligible for consideration as a youthful offender; and, if so adjudicated, it could not be used as a predicate conviction. He also argued that Penal Law § 70.04 (1) (b) (v), the provision that tolls the 10-year look-back period while a person is incarcerated, violates the Equal Protection Clause of the New York State Constitution.

County Court rejected defendant's arguments and adjudicated him a second violent felony offender. The Appellate Division affirmed (86 AD3d 865 [3d Dept 2011]). A Judge of this Court granted defendant leave to appeal (17 NY3d 954 [2011]), and we now affirm. Pursuant to Penal Law § 70.04, a person may receive an enhanced sentence as a second violent felony offender where the prior conviction occurred in a foreign jurisdiction and the underlying offense has all of the essential elements of a violent felony if it had occurred in New York.

It is well settled that foreign convictions have the "same force and effect in New York that [they] would have where entered" when assessing whether a defendant is subject to multiple offender status (*People v Kuey*, 83 NY2d 278, 284 [1994]).

In New York, a prior adjudication as a youthful offender— whether it occurred in New York or another jurisdiction—cannot serve as the basis for multiple offender sentencing provided the foreign youthful offender adjudication is similar to and consistent with New York's youthful offender treatment (see *People v Carpenteur*, 21 NY2d 571 [1968]; cf. *Kuey*, 83 NY2d 278).

New York courts, however, have declined to retroactively assign youthful offender status to underlying convictions of foreign jurisdictions even though, had the crimes been committed in New York, such consideration could have been granted (see *People v Arroyo*, 179 AD2d 393, 394 [1st Dept 1992]; *People v Coolbaugh*, 259 AD2d 781, 782 [3d Dept 1999]).

In *People v Treadwell*, the Appellate Division accurately observed, "mere speculation that defendant might have been accorded youthful *73offender treatment had the offense been committed in New York, where such treatment was not and could not have been accorded by the jurisdiction in which the crime was actually committed, cannot preclude the use of such a conviction as a predicate felony" (80 AD2d 697, 698 [3d Dept 1981]).

Here, defendant did not receive youthful offender treatment for the underlying offense at issue. Defendant is not now entitled to a retroactive application of youthful offender status to a foreign felony conviction. Instead, County Court properly assigned the same status to the underlying conviction as did the foreign jurisdiction in which the conviction had been entered.

Penal Law § 70.04 (1) (b) (iv) and (v) prohibits enhanced sentencing where more than 10 years have passed between sentencing for the prior felony and commission of the present felony, excluding all periods of incarceration during such time period. Defendant argues that this provision violates the Equal Protection Clause because repeat offenders with lengthy sentences may receive harsher punishments than repeat offenders with lesser sentences or no periods of incarceration.

While the tolling provision, as applied to persons with differing years of incarceration, may result in disparate punishment, there is no equal protection violation under our Constitution. "The equal protection clause does not mandate absolute equality of treatment but merely prescribes that,

absent a fundamental interest or suspect classification, a legislative classification be rationally related to a legitimate State purpose” (People v Parker, 41 NY2d 21, 25 [1976]).

Thus, given the absence of a suspect class or a fundamental right at issue, this statutory provision “need only be supported by some rational basis to survive constitutional scrutiny” (People v Walker, 81 NY2d 661, 668 [1993]).

As the Walker Court explained, enhanced sentencing statutes punish a defendant “more for the second felony... because recidivism evidences a lack of rehabilitation and a greater danger to society” (id. at 667 [emphasis omitted]). Section 70.04 of the Penal Law furthers that legitimate interest.

Moreover, it was not irrational for the Legislature, in section 70.04 (1) (b) (v), to omit periods of incarceration from the 10-year look-back period, in order to assess whether a prior violent felon has abstained from a repeat offense within a 10-year period.

As the Court has previously observed, “New York has a legitimate interest in upholding the State’s Penal Law, and in furtherance of this *74interest it was not irrational for the Legislature to punish those who repeatedly violate New York’s criminal laws more harshly than those who have violated our laws but once” (id. at 668 [emphasis omitted]). Indeed, it is rational to omit periods of incarceration from the look-back period because society has an interest in treating differently individuals who demonstrate good behavior during the time in which they are released and living in society from those who cannot.

Accordingly, the order of the Appellate Division should be affirmed. Chief Judge Lippman and Judges Cipakick, Graffeo, Read, Smith and Pigott concur. Order affirmed.