

UNITED STATES COURT OF APPEALS FOR THE FIRST CIRCUIT

Penobscot Nation v. Frey

No. 16-1424, 16-1435, 16-1474, 16-1482, United States Court of Appeals for the First Circuit (April 8, 2020)

SUMMARY

The First Circuit granted en banc rehearing in this dispute over the Penobscot Nation's reservation boundaries and regulatory authority over the Penobscot River's main stem. The court vacated the prior panel opinion and dissent, directing supplemental briefing on whether the Indian Canon of Construction applies to the Maine Settlement Acts (MIA and MICA), the effect of the canon against conveying navigable waters, and the proper interpretation of statutory definitions and treaty boundaries. Additional questions address ripeness, standing, laches, and whether the Nation transferred the river's main stem under the Settlement Acts.

CASE DETAILS

COURT	United States Court of Appeals for the First Circuit
WRITING FOR THE COURT	Howard, Chief Judge; Torruella, Circuit Judge; Selya, Circuit Judge; Lynch, Circuit Judge; Thompson, Circuit Judge; Barron, Circuit Judge
JURISDICTION	Federal
DECIDED	April 8, 2020
DOCKET	16-1424, 16-1435, 16-1474, 16-1482
DISPOSITION	vacated
PROCEDURAL POSTURE	Petition for rehearing en banc granted; panel opinion and judgment vacated; case set for en banc briefing.
PRECEDENTIAL VALUE	Published
PARTIES	Penobscot Nation; United States v. Aaron M. Frey, Attorney General for the State of Maine; Judy A. Camuso, Commissioner for the Maine Department of Inland Fisheries and Wildlife; Joel T. Wilkinson, Colonel for the Maine Warden Service; State of Maine; Town of Howland; True Textiles, Inc.; Guilford-Sangerville Sanitary District; City of Brewer; Town of Millinocket; Kruger Energy (USA) Inc.; Veazie Sewer District; Town of Mattawamkeag; Covanta Maine LLC; Lincoln Sanitary District; Town of East Millinocket; Town of Lincoln; Verso Paper Corporation; Expera Old Town; Town of Bucksport; Lincoln Paper and Tissue LLC; Great Northern Paper Company LLC

TOPICS

tribal sovereignty

statutory interpretation

federalism

appellate procedure

standing

ripeness

PRACTICE AREAS

Indian Law

Federal Appellate Procedure

Statutory Interpretation

QUESTIONS PRESENTED

1. Does the Indian Canon of Construction apply to the Maine Settlement Acts?
2. Does the canon against conveying navigable waters affect the application of the Indian Canon?
3. How does *Alaska Pacific Fisheries v. United States* impact this case?
4. Are there any material ambiguities in the relevant provisions of the Settlement Acts?
5. Do state common law and interpretive rules apply?
6. May the Court consider legislative history?
7. Does 25 U.S.C. § 1723(a)(2) bar the United States from asserting certain claims?
8. Do the doctrines of laches, acquiescence, and/or impossibility bar the Nation's claims?
9. Did the Penobscot Nation transfer the Main Stem of the Penobscot River to Maine under the Settlement Acts?
10. What are the proper boundaries of the Reservation?
11. Is the Nation's claim ripe regarding sustenance fishing rights in the entire Main Stem?
12. Does the Nation have standing to bring this claim?

HOLDINGS

1. A majority of the active judges who are not disqualified have voted to hear this case en banc. The petitions for rehearing en banc are granted.

KEY QUOTATIONS

“A majority of the active judges who are not disqualified have voted to hear this case en banc.”

FACTUAL BACKGROUND

This case involves the Penobscot Nation's claims regarding the Penobscot River and the Maine Settlement Acts. The Nation and the United States seek to define the boundaries of the Penobscot Indian Reservation and the Nation's regulatory and fishing rights in the Main Stem of the Penobscot River.

PROCEDURAL HISTORY

The case was originally heard by a panel of this court, which issued an opinion and judgment on June 30, 2017. The Penobscot Nation and the United States filed petitions for rehearing en banc. A majority of active judges voted to grant en banc review.

DISPOSITION

vacated

CASES CITED

- Idaho v. Coeur d'Alene, 521 U.S. 261 (1997)
- Alaska Pacific Fisheries v. United States, 248 U.S. 78 (1918)
- Maine v. Johnson, 498 F.3d 37 (1st Cir. 2007)
- City of Sherrill v. Oneida Indian Nation of N.Y., 544 U.S. 197 (2005)
- Reddy v. Foster, 845 F.3d 493 (1st Cir. 2017)
- Town of Barnstable v. O'Connor, 786 F.3d 130 (1st Cir. 2015)
- Mashantucket Pequot Tribe v. Town of Ledyard, 722 F.3d 457 (2d Cir. 2013)
- Massachusetts v. EPA, 549 U.S. 497 (2007)
- Moe v. Confederated Salish & Kootenai Tribes of Flathead Reservation, 425 U.S. 463 (1976)
- Labor Relations Div. of Constr. Indus. of Mass., Inc. v. Healey, 844 F.3d 318 (1st Cir. 2016)

OPINION

PER CURIAM.

United States Court of Appeals For the First Circuit _____ Nos. 16-1424 16-1435 16-1474 16-1482 PENOBSCOT NATION; UNITED STATES, on its own behalf, and for the benefit of the Penobscot Nation, Plaintiffs-Appellants/Cross-Appellees, v. AARON M. FREY, Attorney General for the State of Maine; JUDY A. CAMUSO, Commissioner for the Maine Department of Inland Fisheries and Wildlife; JOEL T. WILKINSON, Colonel for the Maine Warden Service; STATE OF MAINE; TOWN OF HOWLAND; TRUE TEXTILES, INC.; GUILFORD-SANGERVILLE SANITARY DISTRICT; CITY OF BREWER; TOWN OF MILLINOCKET; KRUGER ENERGY (USA) INC.; VEAZIE SEWER DISTRICT; TOWN OF MATTAWAMKEAG; COVANTA MAINE LLC; LINCOLN SANITARY DISTRICT; TOWN OF EAST MILLINOCKET; TOWN OF LINCOLN; VERSO PAPER CORPORATION, Defendants-Appellees/Cross-Appellants, EXPERA OLD TOWN; TOWN OF BUCKSPORT; LINCOLN PAPER AND TISSUE LLC; GREAT NORTHERN PAPER COMPANY LLC, Defendants-Appellees, _____ TOWN OF ORONO, Defendant. _____ Before Howard, Chief Judge, Torruella, Selya, Lynch, Thompson, Kayatta and Barron, Circuit Judges. _____ Judge Kayatta is recused from this case and did not participate in the determination of this matter.

ORDER OF COURT Entered: April 8, 2020 A majority of the active judges who are not disqualified have voted to hear this case en banc. Accordingly, Penobscot Nation's petition for rehearing en banc and the United States' petition for rehearing en banc are each granted.

In accordance with customary practice, the panel opinion and the dissent released on June 30, 2017 are withdrawn, and the judgment entered the same date is vacated. See 1st Cir. I.O.P. X(D). The en banc court will have copies of the parties' previously filed briefs.

The parties are also directed to file supplemental briefs addressing the following questions, in addition to any other questions the parties may wish to address. 1. Does the Indian Canon of Construction apply to the Maine Settlement Acts, the Maine Implementing Act ("MIA"), Me. Rev. Stat. Ann. tit. 30 ("30 M.R.S.A."), §§ 6201-6214, and/or the Maine Indian Claims Settlement Act ("MICSA"), 25 U.S.C. §§ 1721-1735?

Also address the effects of 25 U.S.C. § 1725(h) and 25 U.S.C. § 1735(b). 2. Does the canon against conveying navigable waters affect the application of the Indian Canon, assuming the Indian Canon otherwise would apply? See *Idaho v. Coeur d'Alene*, 521 U.S. 261, 284 (1997) (holding that, because "navigable waters uniquely implicate sovereign interests," there exists a "strong presumption of state ownership" of these waters).

If the canon against conveying navigable waters generally takes precedence over the Indian Canon, does the navigational waters canon apply here, given the fact that Congress ratified the Tribe's grant of the public right of way in the 1818 treaty? 3. How does the holding in *Alaska Pacific Fisheries v. United States*, 248 U.S. 78 (1918) (applying the Indian Canon in determining whether surrounding waters were within the "body of lands" comprising a reservation), impact this case, if at all?

How does this Court's ruling in *Maine v. Johnson*, 498 F.3d 37 (1st Cir. 2007), impact this case, if at all? 4. Are there any material ambiguities in the relevant provisions of any of the Settlement Acts, for purposes of applying the Indian Canon of Construction? Please specifically address whether and how the word "solely" in 30 M.R.S.A. § 6203(8) is ambiguous and, if so, how it should be construed; whether the word "Reservation" in 30 M.R.S.A. § 6207(4) must be construed to have the same meaning as the word "Reservation" in 30 M.R.S.A. § 6203(8), and if not why not; and how, 30 M.R.S.A. § 6203, which provides that its subsections' definitions, including "Penobscot Indian Reservation," will apply "unless the context indicates otherwise," affect how we interpret the relevant statutes and construe any ambiguities. -2- 5.

Because the MIA's definition of the Reservation references boundaries related to the 1796 and 1818 treaties between the Nation and Massachusetts and because Indian lands in Maine are subject to Maine law, do state common law and interpretive rules apply and therefore govern the meaning of the Settlement Acts? 6. May the Court consider legislative history? If so, what legislative history is relevant to the proper interpretation of the Settlement Acts and how does the relevant legislative history inform our interpretation of the statutes and any material ambiguities?

7. Does 25 U.S.C. § 1723(a)(2) bar the United States from asserting to this Court the claims it previously articulated to the panel? 8. Do the doctrines of laches, acquiescence, and/or impossibility, as argued by the State of Maine, bar the Nation's claims? See *City of Sherrill v. Oneida Indian Nation of N.Y.*, 544 U.S. 197, 217-21 (2005). 9. Did the Penobscot Nation transfer the Main Stem of the Penobscot River to Maine under the Settlement Acts?

10. What are the proper boundaries of the Reservation? What is the effect of Maine's former position that "portions of the Penobscot River and submerged lands surrounding the islands in the river are part of the Penobscot Reservation"? 11. Is the Penobscot Nation's claim ripe that the Settlement Acts accord it the right to take fish for individual sustenance in the entire Main Stem section of the Penobscot River?

See *Reddy v. Foster*, 845 F.3d 493, 501 (1st Cir. 2017) (describing the two prongs of the ripeness analysis, fitness and hardship); *Town of Barnstable v. O'Connor*, 786 F.3d 130, 143 (1st Cir. 2015) (discussing the fitness prong of the ripeness analysis). 12. Does the Nation have standing to bring this claim? Specifically, does a declaration by a state's Attorney General confining a tribe's regulatory authority to dry land, despite statutory sustenance fishing rights, constitute state action amounting to a cognizable injury?

Mashantucket Pequot Tribe v. Town of Ledyard, 722 F.3d 457, 463 (2d Cir. 2013) ("Although Article III's standing requirement is not satisfied by mere assertions of trespass to tribal sovereignty, actual infringements on a tribe's sovereignty constitute a concrete injury sufficient to confer standing.... This rule exists because tribes, like states, are afforded 'special solicitude in our standing analysis.'" (quoting *Massachusetts v. EPA*, 549 U.S. 497, 520 (2007))).

Would a finding limiting the Reservation to the uplands constitute a viable threat to self-government? And is there evidence that Maine's actions have created or will create events that are neither "uncertain" nor "contingent," *O'Connor*, 786 F.3d at 143, and that there is a "harm... from our 'withholding of a decision' at this time," *Reddy*, 845 F.3d at 501 (quoting *Labor Relations Div. of Constr.*

Indus. of Mass., Inc. v. -3- Healey, 844 F.3d 318, 330 (1st Cir. 2016)), including in regards to whether Maine's actions constitute a questioning of the tribe's sovereignty? See *Moe v. Confederated Salish & Kootenai Tribes of Flathead Reservation*, 425 U.S. 463, 468 n.7 (1976).

The supplemental briefs should be filed simultaneously on, or before, June 8, 2020, and shall comply with applicable rules concerning format, service, and other requirements. Any reply supplemental briefs must be filed no later than 21 days after the principal supplemental briefs are filed. Seventeen paper copies of all briefs filed should be provided to the Clerk's Office no later than one business day after the electronic brief is filed.

Amici are welcome to submit amicus briefs addressing the aforementioned questions. By the Court: Maria R. Hamilton, Clerk cc: Hon. George Z. Singal Christa Berry, Clerk, United States District Court for the District of Maine Mary Gabrielle Sprague Steven Miskinis Bella Sewall Wolitz James T. Kilbreth III Kaighn Smith Jr. Elizabeth Ann Peterson Pratik A. Shah David M. Kallin Adrienne Elizabeth Fouts Michael L. Buescher Susan P. Herman Christopher C. Taub Paul Stern Kimberly Leehaug Patwardhan Matthew D. Manahan Catherine R. Connors Lindsay Scott Gould Graydon Stevens Daniel D. Lewerenz Joel West Williams Gregory A. Smith Elliott A. Milhollin Kaitlyn E. Klass Gerald Donohue Reid -4-