

UNITED STATES DISTRICT COURT FOR THE DISTRICT OF CONNECTICUT

Jennifer L. R. v. Frank Bisignano, Commissioner of Social Security

Jennifer L. R. · No. 3:24-CV-01382 (MEG) · Decided February 4, 2026

SUMMARY

The United States District Court for the District of Connecticut grants Plaintiff’s motion for approval of attorney’s fees under 42 U.S.C. § 406(b). The court approves \$25,449.00 in fees from withheld past-due benefits, finding the amount reasonable and not a windfall, and directs counsel to refund previously awarded EAJA fees and \$9,200 in benefits received directly from the Social Security Administration.

CASE DETAILS

COURT	United States District Court for the District of Connecticut
WRITING FOR THE COURT	Maria E. Garcia
JURISDICTION	United States District Court for the District of Connecticut
DECIDED	February 4, 2026
DOCKET	3:24-CV-01382 (MEG)
DISPOSITION	approved
PROCEDURAL POSTURE	Plaintiff moved for approval of attorney's fees under 42 U.S.C. § 406(b)(1)(A) following a favorable agency disability determination after two sentence-four remands. The court granted the motion and ordered payment of \$25,449.00 from withheld past-due benefits, subject to refunds to the plaintiff.
STANDARD OF REVIEW	The court independently reviewed the requested contingent fee for reasonableness under 42 U.S.C. § 406(b), including whether it exceeded the statutory 25 percent cap, resulted from fraud or overreaching, or constituted a windfall. The order is reviewable under the clearly erroneous standard pursuant to 28 U.S.C. § 636(b)(1)(A), Federal Rule of Civil Procedure 72(a), and District of Connecticut Local Civil Rule 72.2.
PRECEDENTIAL VALUE	nonprecedential

TOPICS

- attorney fees
- judicial review of agency action
- administrative law
- civil procedure

PRACTICE AREAS

Social Security

administrative law

attorney fees

civil procedure

QUESTIONS PRESENTED

1. Whether the motion for approval of attorney's fees under 42 U.S.C. § 406(b) was timely.
2. Whether the contingent fee agreement and requested fee of \$25,449.00 were reasonable under § 406(b), rather than excessive, the product of fraud or overreaching, or a windfall.
3. Whether counsel was required to refund the previously awarded EAJA fee and the \$9,200.00 payment received from withheld past-due benefits to plaintiff.

HOLDINGS

1. The fee motion was timely because it was filed within 14 days after the Administration's notice of award, calculated with the applicable presumption that notice is received three days after mailing.
2. A contingent fee equal to 25 percent of past-due benefits may be approved when the attorney demonstrates that it is reasonable for the services rendered and does not constitute a windfall.
3. Counsel must refund to plaintiff the previously awarded EAJA fee of \$4,553.96 and the \$9,200.00 payment received directly from withheld past-due benefits.

KEY QUOTATIONS

“§ 406(b) calls for court review of [contingent-fee] arrangements as an independent check, to assure that they yield reasonable results in particular cases.” (III)

“Within the 25 percent boundary, . . . the attorney for the successful claimant must show that the fee sought is reasonable for the services rendered.” (III)

FACTUAL BACKGROUND

Plaintiff sought Social Security disability benefits based on an alleged disability onset date of June 25, 2017. Her claim was denied multiple times, and counsel represented her in federal judicial-review proceedings that resulted in two sentence-four remands. After a third administrative hearing, the ALJ found plaintiff disabled as of June 25, 2017 and awarded past-due benefits of \$101,796.00. Counsel sought \$25,449.00, representing 25 percent of the past-due benefits, after previously receiving \$9,200.00 from withheld benefits and an EAJA award of \$4,553.96.

PROCEDURAL HISTORY

Plaintiff's disability application was denied at the agency level and by an administrative law judge. In the first federal action, the court entered a sentence-four remand, and counsel received an EAJA fee award of \$4,553.96. After a second agency denial, plaintiff filed this court action; the Commissioner consented to remand, and judgment entered on October 24, 2024. Following a third administrative hearing, the ALJ issued a fully favorable decision, and plaintiff moved for § 406(b) fees. The court found the motion timely and the requested fee reasonable.

DISPOSITION

approved

CASES CITED

- Sinkler v. Berryhill, 932 F.3d 83, 85, 89 n.5 (2d Cir. 2019)
- Gisbrecht v. Barnhart, 535 U.S. 789, 796, 807-08 (2002)
- Mix v. Comm'r of Soc. Sec., No. 6:14-CV-06219 (MAT), 2017 WL 2222247, at *2 (W.D.N.Y. May 22, 2017)
- Wells v. Sullivan, 907 F.2d 367, 371-72 (2d Cir. 1990)
- Fields v. Kijakazi, 24 F.4th 845, 854-56 (2d Cir. 2022)
- Sue-Anne O. M. v. Kijakazi, No. 3:20-CV-00301 (MEG), 2023 WL 3737712, at *3 (D. Conn. May 31, 2023)
- Bate v. Berryhill, No. 18-CV-1229 (ER), 2020 WL 728784, at *3 (S.D.N.Y. Feb. 13, 2020)
- Valle v. Colvin, No. 13-CV-2876 (JPO), 2019 WL 2118841, at *3 (S.D.N.Y. May 15, 2019)
- Buckley v. Berryhill, 15-CV-0341-A, 2018 WL 3368434, at *2 (W.D.N.Y. July 10, 2018)
- Vainwright v. Berryhill, No. 3:15CV01025 (JCH)(SALM), 2017 WL 3493608, at *3 (D. Conn. Aug. 15, 2017)

OPINION

UNITED STATES DISTRICT COURT DISTRICT OF CONNECTICUT Jennifer L. R., Civil No. 3:24-CV-01382 (MEG) Plaintiff, v. Frank Bisignano¹, Commissioner of Social Security, February 4, 2026 Defendant. RULING ON PLAINTIFF’S MOTION FOR APPROVAL OF 42 U.S.C. § 406(b) ATTORNEY’S FEES Pending before this Court is Plaintiff’s Motion for Allowance of Attorney’s Fees under 42 U.S.C. § 406(b)(1) of the Social Security Act (the “Act”).

ECF No. 15. Defendant, Commissioner of Social Security (“Commissioner”) filed a response in its limited role as a quasi-trustee, setting forth the history of the case and applicable standard for the Court’s consideration. ECF No. 17.

For the reasons that follow, the Plaintiff’s Motion is GRANTED. ECF No. 15. I. PROCEDURAL BACKGROUND Attorney Ivan A. Ramos has represented Plaintiff since February 1, 2023, before this court for judicial review of the Commissioner’s unfavorable rulings. See ECF No. 15, at 2. Plaintiff and Attorney Ramos entered into a contingency fee agreement whereby counsel would receive a fee in the amount of twenty-five percent of the past-due benefits awarded.

ECF No. 15, Ex. A. Plaintiff filed an application for Social Security disability benefits on February 28, 2019 ¹ When Plaintiff filed this action, she named the then-Commissioner of the Social Security Administration, Martin O’Malley, as defendant. Compl., ECF No. 1. Commissioner O’Malley no longer serves in that office. His successor, Commissioner Frank Bisignano, is automatically substituted as the defendant pursuant to Fed.

R. Civ. P. 25(d). The Clerk of the Court is respectfully requested to amend the caption of the case accordingly. with an alleged an onset date of disability of June 25, 2017. Plaintiff's claim for benefits was denied on October 17, 2019, and upon reconsideration on January 29, 2020. Thereafter, Plaintiff filed a written request for a hearing before an Administrative Law Judge (ALJ).

On October 28, 2021, ALJ John Aletta held the first of three administrative hearings. On November 17, 2021, ALJ Aletta denied Plaintiff's application for disability benefits. On January 12, 2022, Plaintiff appealed the ALJ's denial to the Appeals Council.

On December 6, 2022, the Appeals Council denied Plaintiff's request for review. On February 1, 2023, the undersigned counsel commenced an action on behalf of Plaintiff pursuant to 42 U.S.C. § 405(g) and/or 42 U.S.C. § 1383 (c) (3) seeking to review the decision of the Commissioner of Social Security. Roman v. Kijakazi, 3:23-cv-00128-SDV. Plaintiff filed a Motion to Reverse the Decision of the Commissioner on June 12, 2023.

ECF No. 18. On July 14, 2023, Defendant agreed to a voluntary remand to the Agency under Sentence Four of 42 U.S.C. § 405(g). ECF No. 22. On July 17, 2023, this Court entered a Judgment in accordance with the parties' stipulation for a remand. ECF No. 24. Subsequent to the entry of judgment, Plaintiff's counsel made a request to the Commissioner for EAJA fees in the amount of \$4,553.96 to be paid to Plaintiff's counsel.

The Commissioner agreed to pay Plaintiff's counsel for approximately 19.5 hours of work at a \$241.52 hourly rate. The Court approved the parties' Joint Stipulation for EAJA fees in the amount of \$4,553.96. ECF No. 26.

After the remand, on March 7, 2024, ALJ John Aletta held a second administrative hearing in Plaintiff's case. On June 20, 2024, ALJ Aletta issued another decision denying Plaintiff's application for disability benefits.

On August 28, 2024, Plaintiff filed an action pursuant to section 205 (g) of the Social Security Act, 42 U.S.C. § 405(g) seeking to review ALJ Aletta's second denial of Plaintiff's application for Title II disability benefits. Roman v. O'Malley, 3:24-cv-1382 - MEG. ECF No. 1. Following the filing of the answer, the Commissioner filed a Motion for Remand on consent that was granted on October 22, 2024.

ECF No. 12, 13. Judgment entered on October 24, 2024. ECF No. 14. On October 9, 2025, a third administrative hearing before an ALJ was held in Plaintiff's case. This time, the hearing was held before a new ALJ, Alexander Borré.

On November 14, 2025, ALJ Borré issued a fully favorable decision in Plaintiff's claim. The ALJ found that Plaintiff has been disabled under the Social Security act since June 25, 2017. ECF No.

15, Ex. C. II. TIMELINESS OF THE MOTION Generally, a fee application under § 406(b) must be filed within 14 days after the entry of judgment. Fed. R. Civ. P. 54(d)(2)(B)(i).

Rule 54(a)(2)(B) as applied to § 406(b) motions for attorneys' fees, requires that a party moving for attorneys' fees file the motion within 14 days of notice of a benefits award. *Sinkler v. Berryhill*, 932 F.3d 83, 85 (2d Cir. 2019). Additionally, a presumption applies that a notice is received "three days after mailing." *Id.* at 89 n.5; see also Fed. R. Civ.

P. 6(d). On December 24, 2025, the Administration issued a Notice of Award ("NOA"), advising that it was withholding twenty-five percent of the total past-due benefits, or \$25,449.00, for the satisfaction of attorney's fees pursuant to Section 406(b) of the Act. ECF No. 15, Ex. D. Attorney Ramos advised the Court that, on or about December 26, 2025, the Social Security Administration ("SSA") paid Plaintiff's counsel \$9,200 out of the past-due benefits owed to Plaintiff.

Plaintiff filed this Motion for Approval of 42 U.S.C. § 406(b) Attorney's Fees on January 2, 2026. Accordingly, the Motion for Approval of 42 U.S.C. § 406(b) Attorney's Fees was timely filed. III. THE REASONABLENESS OF THE REQUESTED FEE. Section 406(b) provides, in relevant part, as follows: Whenever a court renders a judgment favorable to a claimant under this subchapter who was represented before the court by an attorney, the court may determine and allow as part of its judgment a reasonable fee for such representation, not in excess of 25 percent of the total of the past-due benefits to which the claimant is entitled by reason of such judgment[.]

42 U.S.C. § 406(b)(1)(A). In other words, § 406(b) allows a successful claimant's attorney to seek court approval of his or her fees, not to exceed twenty-five percent of the total past-due benefits. Section 406(b) "calls for court review of [contingent-fee] arrangements as an independent check, to assure that they yield reasonable results in particular cases." *Gisbrecht v. Barnhart*, 535 U.S. 789, 807 (2002).

This review is subject to "one boundary line: Agreements are unenforceable to the extent that they provide for fees exceeding 25 percent of the past-due benefits." *Id.* "Within the 25 percent boundary,... the attorney for the successful claimant must show that the fee sought is reasonable for the services rendered." *Id.* Accordingly, a fee is not automatically recoverable simply because it is equal to or less than twenty-five percent of the client's total past-due benefits. "To the contrary, because section 406(b) requires an affirmative judicial finding that the fee allowed is 'reasonable,' the attorney bears the burden of persuasion that the statutory requirement has been satisfied." *Id.* at 807 n.17.

As such, the Commissioner's failure to oppose the motion is not dispositive. *Mix v. Comm'r of Soc. Sec.*, No. 6:14-CV-06219 (MAT), 2017 WL 2222247, at *2 (W.D.N.Y. May 22, 2017). Several factors are relevant to the reasonableness analysis, including the following: (1) "whether the contingency percentage is within the 25% cap[;]" (2) "whether there has been fraud or

overreaching in making the agreement[;]” and (3) “whether the requested amount is so large as to be a windfall to the attorney.” *Wells v. Sullivan*, 907 F.2d 367, 372 (2d Cir.

1990). Also relevant are the following: (1) “the character of the representation and the results the representative achieved[;]” (2) “the amount of time counsel spent on the case[;]” (3) whether “the attorney is responsible for delay[;]” and (4) “the lawyer's normal hourly billing charge for noncontingent-fee cases.” *Gisbrecht*, 535 U.S. at 808. When determining whether a requested fee constitutes a windfall, courts are required to consider: (1) “the ability and expertise of the lawyers and whether they were particularly efficient[.]” (2) “the nature and length of the professional relationship with the claimant—including any representation at the agency level[.]” (3) “the satisfaction of the disabled claimant[.]” and (4) “how uncertain it was that the case would result in an award of benefits and the effort it took to achieve that result.” *Fields v. Kijakazi*, 24 F.4th 845, 854-55 (2d Cir.

2022). Here, Plaintiff's counsel seeks \$25,449.00, which is not greater than 25 percent of the statutory cap of Plaintiff's past-due benefits. ECF No.15, Ex. D (NOA in the amount of \$101,796.00 in past-due benefits). Utilizing the factors set forth above, the Court finds that there is no evidence of fraud or overreaching in the making of the contingency agreement between counsel and Plaintiff.

ECF No. 15, Ex. A. Counsel's law firm provided effective representation of Plaintiff's interests since February 2023, resulting in Plaintiff successfully receiving the benefits sought. There is no reason to believe that Plaintiff is dissatisfied with the outcome of such representation.

Here, the success of Plaintiff's claims was uncertain as demonstrated by multiple denials of her applications at the agency level. Accordingly, the hours expended by counsel's law firm were reasonable in light of the issues presented and the extent of representation.

The requested fee would result in a de facto hourly rate of \$1,305.00 (\$25,449.00 divided by 19.5 hours) for work performed over the course of several years. ECF No. 15, Ex. B. The Court finds that neither the de facto hourly rate, nor the overall requested attorneys' fees amount, constitutes a windfall. Attorney Ramos's efforts resulted in obtaining a “Sentence Four” remand twice and ultimately a favorable ruling by the ALJ awarding a retroactive benefit as of June 25, 2017.

ECF No.15. The effective hourly rate of \$1,305.00 within the range of other Section § 406(b) fee awards approved in this Circuit. See e.g., *Fields*, 24 F.4th at 854-56 (an effective hourly rate of \$1,556.98 was not a “windfall”); *Sue-Anne O. M. v. Kijakazi*, No. 3:20-CV-00301 (MEG), 2023 WL 3737712, at *3 (D. Conn. May 31, 2023) (effective hourly rate of \$1,100.82 was reasonable); *Bate v. Berryhill*, No. 18-CV-1229 (ER), 2020 WL 728784, at *3 (S.D.N.Y.

Feb. 13, 2020) (the hourly rate of \$1,506.32 was reasonable); *Valle v. Colvin*, No. 13-CV-2876 (JPO), 2019 WL 2118841, at *3 (S.D.N.Y. May 15, 2019) (effective hourly rate of \$1,079.72 did

not constitute “windfall” to counsel).

Accordingly, in light of the above, the Court finds that a de facto hourly rate of \$1,300.00 is reasonable and in line with awards generally approved in this District for similar work performed. The Court is also “mindful that ‘payment for an attorney in a social security case is inevitably uncertain.’” *Buckley v. Berryhill*, 15-CV-0341-A, 2018 WL 3368434, at *2 (W.D.N.Y.

July 10, 2018) (quoting *Wells*, 907 F.2d at 371). Accordingly, “the Second Circuit has recognized that contingency risks are necessary factors in determining reasonable fees under § 406(b).” *Id.* (quotation marks omitted).

The Court also notes that counsel is required to return the previously awarded EAJA fee of \$4,553.96 to Plaintiff received in October 2023. ECF No. 15-1 at 9, 11. See *Gisbrecht*, 535 U.S. at 796 (“Fee awards may be made under both [EAJA and § 406(b)], but the claimant's attorney must refund to the claimant the amount of the smaller fee....”). Furthermore, counsel will also return to Plaintiff the \$9,200.00 past-due benefits he received by direct deposit from the SSA on December 26, 2025.

ECF No. 15-1 at 11. IV. CONCLUSION For the foregoing reasons, Plaintiff's Motion for Approval of 42 U.S.C. § 406(b) Attorney's Fees, (ECF No. 15) is granted, and the Court hereby orders as follows: (1) Plaintiff's counsel shall be paid attorneys' fees in the amount of \$25,449.00 out of funds withheld from Plaintiff's past-due benefits; and (2) Plaintiff's counsel is hereby directed to refund to Plaintiff the previously awarded EAJA fee of \$4,553.96 and the \$9,200.00 past-due benefits he received on December 26, 2025 and certify the refund to the Court within fourteen days of the transaction.

The Court also notes that Plaintiff's counsel has waived his right to charge and collect a fee under Section 206(a) of the Social Security Act for work performed at the agency level. This is not a Recommended Ruling. This is an order regarding attorney's fees which is reviewable pursuant to the “clearly erroneous” statutory standard of review. 28 U.S.C. § 636(b)(1)(A); *Fed.*

R. Civ. P. 72(a); and *D. Conn. L. Civ. R. 72.2*. As such, it is an order of the Court unless reversed or modified by the district judge upon motion timely made. See *Vainwright v. Berryhill*, No. 3:15CV01025(JCH)(SALM), 2017 WL 3493608, at *3 (D. Conn. Aug. 15, 2017)(ruling on a motion for attorney's fees pursuant to 42 U.S.C. § 406(b)(1)). /s/ Maria E. Garcia, USMJ Hon. Maria E. Garcia United States Magistrate Judge